

**STATE OF MINNESOTA
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

**CONCILIATION
AGREEMENT**

In the Matter of Becker-Finn (Jamie) for 42B (17940);

1. The Becker-Finn (Jamie) for 42B committee is the principal campaign committee of Jamie Becker-Finn (the candidate). During the routine reconciliation audit of the 2016 year-end reports, Board staff discovered that the Becker-Finn committee had not reported a \$500 contribution from a political committee. The committee examined its records and then filed an amended year-end report disclosing the acceptance of \$12,825 in special source contributions. This amount exceeded the \$12,600 limit on aggregate special source contributions for the 2015-2016 election cycle segment by \$225. The \$225 that exceeded the limit was not returned within 90 days and was therefore deemed accepted under Minnesota Statutes section 10A.15, subdivision 3.

2. In an email to Board staff, Isabella Dougherty, the committee's new treasurer, acknowledged that the committee had exceeded the special source limit and attributed the violation to accounting errors. Ms. Dougherty stated that the committee has made several changes and improvements to its financial recordkeeping processes to help ensure future compliance with Chapter 10A. The committee has returned \$225 to special source contributors.

3. Board records indicate that this is the first instance in which the committee reported the acceptance of contributions that exceeded the special source limit. The committee registered with the Board on January 27, 2016.

4. The parties agree that the committee accepted excessive contributions from special sources in violation of Minnesota Statutes section 10A.27, subdivision 11, during the 2015-2016 election cycle segment.

5. To resolve this matter informally, and to avoid these violations in the future, the candidate and the committee agree that they will:

- a. Comply with the revised financial recordkeeping processes developed by the committee to ensure that all contributions are recorded and reported;
- b. Run a batch compliance report after each time contributions are entered into the software and take steps to remedy any violations the software identifies so that problems may be resolved within the 90 days statutorily provided for the return of a contribution before it is deemed accepted; and
- c. Update the Campaign Finance Reporter software whenever the software notifies the user that an update is available.

6. The candidate agrees that the Board's acceptance of this agreement constitutes the imposition of a civil penalty in the amount of \$225 against the candidate for accepting contributions in excess of the limit imposed by Minnesota Statutes section 10A.27, subdivision 11. Of this penalty, \$60 is due within 30 days of the date that the agreement is signed by both parties and the remaining \$165 is, by the terms of this agreement, stayed until January 1, 2019, for this violation. If the committee violates Minnesota Statutes section 10A.27, subdivision 11, before

January 1, 2019, the outstanding civil penalty is due immediately and the Board may impose such other penalties as may be appropriate. If the committee does not violate Minnesota Statutes section 10A.27, subdivision 11, within that timeframe, the outstanding civil penalty is waived.

7. If the candidate or the committee does not comply with the provisions of this agreement, this matter may be reopened by the Board and the Board may take such actions as it deems appropriate.

/s/ Jamie Becker-Fin Dated: October 13, 2017
Jamie Becker-Finn
Becker-Finn (Jamie) for 42B

/s/ Daniel N. Rosen Dated: October 4, 2017
Daniel N. Rosen, Chair
Campaign Finance and Public Disclosure Board