

Minnesota

Campaign Finance and Public Disclosure Board Meeting

Wednesday, October 6, 2021
10:00 A.M.

Conducted remotely via Webex due to COVID-19 pandemic

REGULAR SESSION AGENDA

1. Approval of September 1, 2021, minutes
2. Chair's report
 - a. 2021 meeting schedule
3. Executive director report
 - a. Political Contribution Refunds issued in 2020
 - b. 2020 Campaign Finance Summary
4. Enforcement report
5. Reconciliation of Contributions in 2020
6. Advisory Opinion 454
7. Legal report
8. Other business

EXECUTIVE SESSION

Immediately following regular session

**STATE OF MINNESOTA
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

.....
September 1, 2021

Meeting conducted remotely though Webex due to COVID-19 pandemic

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MINUTES

Members present: Flynn, Leppik, Rashid, Rosen (left after executive session), Soule, Swanson

Others present: Sigurdson, Engelhardt, Olson, Pope, staff; Hartshorn, counsel

MINUTES (July 28, 2021)

After discussion, the following motion was made:

Member Flynn's motion: To approve the July 28, 2021, minutes as drafted.

Vote on motion: A roll call vote was taken. All members voted in the affirmative.

CHAIR'S REPORT

A. 2021 meeting schedule

The next Board meeting is scheduled for 10:00 a.m. on Wednesday, October 6, 2021.

EXECUTIVE DIRECTOR REPORT

Mr. Sigurdson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Mr. Sigurdson told members that all state agencies are required to annually certify that they have reviewed their internal control systems and procedures, and are either in compliance with the standards and policies established by Minnesota Management and Budget (MMB), or are taking the actions described to gain compliance with those standards. Mr. Sigurdson said that the self-assessment tool and certification cover 65 state policies ranging from code of conduct training for staff to the procedures used to prevent fraud and safeguard public funds. Mr. Sigurdson stated that this was the first year that the Board could certify that it had policies or controls in place that complied with all applicable standards.

Mr. Sigurdson next told members that staff had scheduled Webex compliance training sessions in September and October and that many people had already registered for those classes. Mr. Sigurdson also said that the Centennial Office Building remained closed to the public. Mr. Sigurdson stated that staff was rotating so that only two or three people were physically present in the office each day.

A. Review of legislative recommendations regarding lobbying

Mr. Sigurdson next reviewed the chronology of events for the lobbyist legislative recommendations that had been prepared at the request of Chair Swanson. Mr. Sigurdson noted that work on the legislation began in October of 2019 and continued until the House bill was laid on the table in March of 2021. Mr. Sigurdson said that he soon would reach out to the Minnesota Governmental Relations Council (MGRC) to begin discussions about the legislation in preparation for the 2022 session.

B. Request for Board guidance – contributions to charities

Mr. Sigurdson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Mr. Sigurdson told members that Anton Lazzaro was a prolific political contributor who recently had been charged with several crimes. Mr. Sigurdson said that many candidate committees and party units that had received contributions from Mr. Lazzaro had announced that instead of returning those contributions to Mr. Lazzaro, they would be donating an equivalent amount to charity. Mr. Sigurdson said that the entities were not required to return these funds or to donate them. Mr. Sigurdson also stated that campaign finance laws allow committees and party units to donate to 501(c)(3) charities but that there is a \$100 per charity, per calendar year limit on these donations. Mr. Sigurdson said that although staff had alerted all committees and party units that had received contributions from Mr. Lazzaro in the past about the \$100 limit, staff expected to see violations of the charitable contribution limit on 2021 year-end reports. Mr. Sigurdson stated that the usual remedy for these violations was for the committee or party unit get the money back from the charity. If the donor was a candidate committee, the candidate also could use personal funds to reimburse the committee for the amount of the donation that was over \$100. Mr. Sigurdson said that he wanted members to be aware of this potential issue and asked for guidance on how to respond. Members discussed the matter and recommended additional outreach in the coming months to make candidates and party units aware of the limit in time to cure any violations. Members may revisit the issue after the 2021 year-end reports have been filed and the scope of the problem is known.

C. 2020 Lobbyist Disbursement Summary

Mr. Sigurdson presented members with a copy of the 2020 Lobbyist Disbursement Summary that is attached to and made a part of these minutes. Mr. Sigurdson told members that the summary was one of four reports required by statute and that the other three reports would be presented to members at upcoming meetings. Mr. Sigurdson said that the summary was provided for informational purposes only and that no Board action was necessary.

ENFORCEMENT REPORT

A. Discussion items

1. Marcus Harcus (House candidate) and lobbyist (2632) and MN Campaign for Full Legalization (7379) – Late fees and civil penalties for multiple reports and candidate EIS

Mr. Olson told members that Mr. Harcus owed a late filing fee of \$100 and a civil penalty of \$1,000 for his candidate statement of economic interest, which was due June 16, 2020 and filed May 20, 2021. Mr. Olson said that Mr. Harcus was the sole lobbyist, and the executive director, of MN Campaign for Full Legalization. Mr. Olson said that Mr. Harcus owed a total of \$6,200 in late filing fees and civil penalties for the lobbyist disbursement reports listed below:

- Report due January 18, 2021 and filed May 20, 2021 – \$1,000 LFF
- Report due June 15, 2020 and filed May 20, 2021 – \$1,000 LFF and \$1,000 CP
- Report due January 15, 2020 and filed May 20, 2021 – \$1,000 LFF and \$1,000 CP
- Report due January 15, 2019 and filed March 16, 2019 – \$1,000 LFF
- Report due June 15, 2018 and filed June 27, 2018 – \$200 LFF

Mr. Olson said that MN Campaign for Full Legalization owed a total of \$4,275 in late filing fees and civil penalties for the lobbyist principal reports listed below:

- Report due March 15, 2021 and filed May 20, 2021 – \$1,000 LFF and \$1,000 CP
- Report due March 16, 2020 and filed May 20, 2021 – \$1,000 LFF and \$1,000 CP
- Report due March 15, 2019 and filed April 1, 2019 – \$275 LFF

Mr. Olson stated that in June 2019 the Board had reduced the total amount owed at that time for late filing fees for lobbyist disbursement reports from \$1,200 to \$200, and the LFF for the lobbyist principal report due in March 2019 from \$275 to \$200, contingent upon payment of the remaining balance. No payment was received despite two letters sent to Mr. Harcus seeking payment. Mr. Olson said that the amounts owed therefore reverted back to the original amounts. The Board referred the matter to the Attorney General's Office in September 2020.

Mr. Olson told members that Mr. Harcus had terminated his lobbyist registration effective May 20, 2021, and had timely filed both the lobbyist disbursement report due June 15, 2021, and the lobbyist principal report covering 2021 that will be due on March 15, 2022. Mr. Olson also said that Mr. Harcus no longer had an active principal campaign committee registered with the Board and had no outstanding or upcoming reports. Mr. Olson stated that Mr. Harcus was asking that the total amount owed of \$11,575 be reduced to \$1,000, which was the balance remaining in the bank account of MN Campaign for Full Legalization. Mr. Olson said that Mr. Harcus had explained that his failure to timely file multiple reports was caused by personal problems and health issues that had hampered his ability to deal with the outstanding issues over the past several years. Mr. Olson told members that there was no staff recommendation in this matter because there were no comparable past cases for comparison.

Marcus H Marcus then addressed the Board. Mr. H Marcus described the personal and medical issues that had prevented him from timely filing his reports. Mr. H Marcus said that he planned to dissolve MN Campaign for Full Legalization and that his only lobbying in the future would be as a citizen lobbyist. Mr. H Marcus also clarified that there actually was only about \$15 in the account of MN Campaign for Full Legalization. Mr. H Marcus thanked staff for helping him and the Board for considering his request.

After discussion, the following motion was made:

Member Soule's motion:

To reduce the late filing fees for the lobbyist disbursement reports to \$2,500; to waive all other late filing fees and civil penalties owed; to require \$1,000 of the \$2,500 owed to be paid according to a payment plan developed by the executive director; to stay payment of the remaining \$1,500 for two years and then waive this amount if Mr. H Marcus has had no other late reports; and to put the litigation on hold during this time.

Vote on motion:

A roll call vote was taken. All members voted in the affirmative.

2. Jenny Rhoades for Governor (18141) and Jenny Rhoades (Senate candidate) – Late fees for two reports of receipts and expenditures and late fee and civil penalty for candidate EIS

Mr. Olson told members that Ms. Rhoades owed late filing fees of \$25 for the 2017 year-end report of receipts and expenditures and \$525 for the 2018 year-end/termination report of Jenny Rhoades for Governor (18141). Mr. Olson stated that the Rhoades committee had registered in April 2017 and had disclosed less than \$500 in total receipts and less than \$500 in total disbursements during the course of its existence. Mr. Olson said that Ms. Rhoades had explained that she attempted to file a termination report covering 2018 via the CFR software prior to the 2018 year-end report coming due on January 31, 2019. Mr. Olson stated that a 2018 1st quarter report was timely filed via the software in April 2018, but that Board staff had no record of any subsequent attempts to upload a report prior to the due date of the 2018 year-end report. Mr. Olson said that a report covering 2018, labeled as a termination report, was filed on March 4, 2019, via the CFR software and that the late filing fee of \$525 was based on that date. Mr. Olson stated that the termination report was later amended to list a cash balance of less than \$100, allowing the committee to be terminated. Mr. Olson said that Board staff recommended that the late filing fees totaling \$550 for the 2017 year-end and 2018 year-end/termination reports be waived because the committee did not receive contributions or make expenditures in excess of \$750, and therefore was not required to register with the Board.

Mr. Olson also said that Ms. Rhoades was a candidate for state senator in 2020 and owed a late filing fee of \$100 and a civil penalty of \$1,000 for her candidate statement of economic interest, which was due June 15, 2020, and filed July 8, 2021. Mr. Olson said that Ms. Rhoades had stated that she was

not aware of her failure to file her candidate EIS until she was served with a summons and complaint on June 29, 2021. Mr. Olson said that on July 7, July 30, and August 21, 2020, letters were sent to the address listed on the affidavit of candidacy that Ms. Rhoades had filed, explaining that her EIS had not been received. A copy of the letter dated August 21, 2020, also was sent to the email address that Ms. Rhoades listed on her affidavit of candidacy and her principal campaign committee's statement of organization, which was the same email address that Ms. Rhoades had used on several occasions to communicate with Board staff.

Mr. Olson told members that Ms. Rhoades also had said that she uploaded the wrong attachment in July 2020. Mr. Olson said that it was unclear which attachment she was referring to, but that Ms. Rhoades had emailed a copy of a paper 2020 pre-primary report for the Jenny Rhoades for SD49 committee (18681) to Board staff on July 27, 2020. Mr. Olson said that the body of that email did not contain any text and the subject line was "Report 18681," appearing to indicate that the intent of the email was to file a pre-primary report, rather than an EIS. Regardless, Board staff mailed two letters and emailed Ms. Rhoades after that date, informing her that an EIS had not been received.

Mr. Olson said that Ms. Rhoades had no prior waiver requests pertaining to her terminated principal campaign committees or to an EIS. Mr. Olson stated that Ms. Rhoades had explained the various personal problems that she encountered in 2020 within her waiver request. Mr. Olson said that Board staff recommended that the civil penalty be reduced from \$1,000 to \$500, which combined with the \$100 late filing fee would leave a balance owed of \$600 for the candidate EIS.

Jenny Rhoades then addressed the Board. Ms. Rhoades described the software issues that she encountered in 2018 when she was trying to file her campaign finance reports. Ms. Rhoades also described the medical and personal issues that prevented her from timely filing her candidate statement of economic interest.

After discussion, the following motion was made:

Member Rosen's motion:

To adopt the staff recommendation by waiving the late filing fees totaling \$550 incurred by the Jenny Rhoades for Governor committee and reducing the civil penalty for the candidate EIS from \$1,000 to \$500.

Vote on motion.

A roll call vote was taken. All members voted in the affirmative.

B. Waiver requests

1. MMGMA PAC (80017) – September 2020, 2020 pre-general, 2020 year-end - \$3,000 LFFs

Data was entered in the CFR software and the fund attempted to upload each report on its due date. The Board's computer logs show that each upload was attempted and failed and that upload of the year-end report was attempted twice. The uploads may have failed for a variety of reasons including

loss of internet connection during the upload process or a firewall issue. Political funds are not required to file a report if they have had no financial activity since the period covered by a previous report, so Board staff did not contact the fund immediately after the reports were due. The issue was discovered as part of the reconciliation process and the missing reports were filed 6/30/2021 after the fund realized they had not been received. The fund reported a cash balance of \$464 as of the end of 2020.

RECOMMENDED ACTION: Waive

Courtney Jasper, a lobbyist for the MMGMA, addressed the Board to thank staff for the assistance provided with filing the reports and the waiver request.

After discussion, the following motion was made:

Member Leppik's motion: To waive the late filing fees.

Vote on motion: A roll call vote was taken. All members voted in the affirmative.

Name of Candidate or Committee	Late Fee/ Civil Penalty Amount	Reason for Fine	Factors for Waiver and Recommended Action	Board Member's Motion	Motion	Vote on Motion
2. Robert (Rob) Vanasek (1369)	\$175 LFFs (\$25 x 7)	1st 2021 Lobbyist	Lobbyist was preoccupied with client project and special legislative session and filed seven reports due 6/15/2021 one day late. Lobbyist has not incurred a late filing fee since 2015. RECOMMENDED ACTION: Reduce to \$100	Member Flynn	To approve the staff recommendation.	A roll call vote was taken. All members voted in the affirmative.

3. YWCA of Minneapolis (5052) – 2020 lobbyist principal - \$250 LFF

Responsibility for filing the report due 3/15/2021 was transferred to a new employee and the principal believed that a report did not need to be filed if there were no lobbying expenditures. The report was filed 3/29/2021. In May 2020, a \$1,000 CP was waived and a \$1,000 LFF for the 2018 report was reduced to \$500 because the designated lobbyist experienced personal issues that delayed the filing of the report. RECOMMENDED ACTION: Reduce to \$125

Luna Allen-Bakerian, Race, Equity, and Public Policy Strategist for the YWCA of Minneapolis, addressed the Board. Ms. Allen-Bakerian told members that the report was not timely filed due to staff turnover and the fact that working from home prevented staff from seeing the mailed notice until after the due date. Ms. Allen-Bakerian thanked staff for the help filing the report.

After discussion, the following motion was made:

Member Leppik's motion: To reduce the late filing fee to \$125.

Vote on motion: A roll call vote was taken. All members voted in the affirmative.

C. Informational Items

1. Payment of civil penalty for recordkeeping violation

Minneapolis DFL Committee, \$3,000

2. Payment of civil penalty for exceeding individual contribution limit

Brad Kovach Committee to Elect for House, \$600
Isaacson (Jason) for SD 42, \$100
Campaign of Ann Johnson Stewart, \$31.72

3. Payment of civil penalty for corporate contribution

John Neisen Enterprises LLC, \$250

4. Partial payment of civil penalties for conversion to personal use and false certification

Tamara Jones, \$186

5. Payment of civil penalty for exceeding aggregate special source contribution limit

Jon Koznick for House, \$100

6. Payment of civil penalty for exceeding party unit aggregate contribution limit

Isaacson (Jason) for SD 42, \$100
Doria Drost For House, \$100

7. Payment of late filing fee for 2020 year-end report of receipts and expenditures

67th Senate District DFL, \$500

8. Payment of late filing fee for 2020 pre-general 24-hour notice

OutFront Minnesota Action, \$250
IBEW Minnesota State Council PAC, \$250
Carpenters Local 322, \$250

9. Payment of late filing fee for September 2020 report of receipts and expenditures

Minneapolis Regional Labor Federation, \$25

10. Payment of late filing fee for 2020 pre-primary 24-hour notice

Minnesota Seasonal Recreational Property Owners PAC, \$250
Minneapolis Regional Labor Federation, \$250

11. Payment of late filing fee for 2018 year-end report of receipts and expenditures

Minneapolis DFL Committee, \$1,000

12. Payment of late filing fee for lobbyist disbursement report due 6/15/2021

Thomas Freeman, \$175
Emily Nachtigal, \$175
Jeff Forester, \$25
Laura LaCroix-Dalluhn, \$25

13. Payment of late filing fee for lobbyist disbursement report due 6/15/2020

Jeff Forester, \$100

14. Forwarded anonymous contributions

Carver County RPM, \$200

REVIEW OF RECENT JUDICIAL OPINIONS

A. Americans for Prosperity Foundation v. Bonta

Mr. Olson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Mr. Olson told members that this case arose out of a California law requiring charitable organizations that solicit contributions in that state to register with the state attorney general and to file annually a copy of the Form 990 that they filed with the IRS, including Schedule B. Schedule B generally consists of a list with the name and address of, and amount contributed by, each person who contributed at least \$5,000 to the organization. Mr. Olson said that several 501(c)(3) organizations challenged the Schedule B disclosure requirement. In July 2021, the United State Supreme Court issued a 6-3 decision striking down California's Schedule B requirement on its face, with the majority concluding that the requirement burdened donors' First Amendment rights and was not narrowly tailored to an important government interest.

Mr. Olson stated that the justices had split with respect to what level of scrutiny generally applies to disclosure requirements and briefly reviewed the positions taken by the justices. Mr. Olson said that the six justices in the majority each joined the portion of the Court's opinion holding that "[w]hile exacting scrutiny does not require that disclosure regimes be the least restrictive means of achieving their ends, it does require that they be narrowly tailored to the government's asserted interest." The majority went on to state that a substantial relationship between the governmental interest and the means employed "is necessary but not sufficient to ensure that the government adequately considers the potential for First Amendment harms before requiring that organizations reveal sensitive information about their members and supporters. Where exacting scrutiny applies, the challenged requirement must be narrowly tailored to the interest it promotes, even if it is not the least restrictive means of achieving that end." Mr. Olson said that the majority also held that a facial challenge to a disclosure

requirement need not include evidence “that donors to a substantial number of organizations will be subjected to harassment and reprisals,” if the disclosure requirement is not narrowly tailored.

Mr. Olson stated that applying exacting scrutiny, the majority noted that while “California has an important interest in preventing wrongdoing by charitable organizations,” “[t]here is a dramatic mismatch” between that interest and the Schedule B disclosure requirement, because California did not cite “a single, concrete instance in which pre-investigation collection of a Schedule B did anything to advance the Attorney General’s investigative, regulatory or enforcement efforts.” Mr. Olson said that the majority went on to note that disclosure requirements can chill freedom of association even when there is no disclosure to the general public, and ultimately concluded that California’s Schedule B disclosure requirement was not narrowly tailored and was invalid on its face.

Mr. Olson then reviewed the potential impact of the case on Chapter 10A. Mr. Olson noted that Chapter 10A differs from California’s Schedule B disclosure requirement in at least two ways. First, the purpose of disclosure under Chapter 10A is to ensure that the disclosed information is available to the general public, which, in the campaign finance context, the United States Supreme Court has long held is “the least restrictive means of curbing the evils of campaign ignorance and corruption . . .” Mr. Olson said that the second difference is that the information disclosed pursuant to Chapter 10A is routinely used by the Board to enforce Chapter 10A and by journalists and the general public for many purposes, including bringing possible violations of Chapter 10A to the attention of the Board. Mr. Olson stated that these distinctions decreased the likelihood that the Court’s decision would have a direct impact on Chapter 10A. Mr. Olson told members, however, that the Court’s clear articulation of a tailoring requirement applicable to disclosure requirements subject to exacting scrutiny might make it easier to challenge the constitutionality of disclosure requirements if those requirements were likely to chill speech or association.

B. Thompson v. Hebdon

Mr. Olson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Mr. Olson told members that this case arose out of an Alaska law that prohibited individuals from giving contributions in excess of \$500 per calendar year to any particular state candidate or to any political group aside from political parties and independent expenditure and ballot question groups. Mr. Olson said that the law was challenged by individuals who wanted to give contributions over the limit and who argued, in part, that the limit was so low that it violated the First Amendment. Mr. Olson stated that a federal district court upheld the \$500 limit as did the Ninth Circuit Court of Appeals. However, in November 2019, the United States Supreme Court ordered the Ninth Circuit to reconsider its decision in light of *Randall v. Sorrell*. Mr. Olson said that in *Randall*, six Supreme Court justices agreed that Vermont’s contribution limits violated the First Amendment and five of them joined opinions that explicitly stated that part of the reason the contribution limits were constitutionally infirm was because the dollar amounts were simply too low.

Mr. Olson told members that on remand a divided three-judge Ninth Circuit Court of Appeals panel struck down Alaska’s \$500 individual contribution limit. Mr. Olson said that the majority concluded that the annual limit restricted the amount of funds available to challengers because they typically do not

enter political races until the year of the general election and therefore could not raise money in the off-year. Mr. Olson stated that the majority also concluded that the already-low limit was not indexed for inflation and was the same as it had been in 1996, and that Alaska had not established a special justification for such a low limit. Mr. Olson said that the Ninth Circuit now was deciding whether to reconsider the case *en banc*.

Mr. Olson said that although there was no immediate impact on the validity of Chapter 10A's contribution limits, some aspects of the majority's opinion were notable in light of similarities and dissimilarities between Alaska's individual contribution limit and Chapter 10A. Mr. Olson stated that the court noted that Alaska's individual contribution limit was not indexed to inflation, which was one of the factors set forth in *Randall*. Mr. Olson noted that while Chapter 10A's aggregate special source contribution limits are indexed to inflation, the individual contribution limits and party unit and dissolving principal campaign committee aggregate contribution limits are static. Mr. Olson said, however, that those limits had been increased considerably in 2013.

Mr. Olson next told members that Chapter 10A's individual contribution limit applies to two-year election cycle segments rather than single calendar years. Mr. Olson said that the issue of challengers potentially having only an election year to accept contributions therefore did not result in an effectively lower individual contribution limit. Mr. Olson finally noted that Alaska's \$500 individual contribution limit applied to all state candidates, including candidates for governor and lieutenant governor, whereas Chapter 10A establishes varying contribution limits depending on the office sought that are significantly higher than the Alaska limit.

LEGAL COUNSEL'S REPORT

Mr. Hartshorn presented members with a legal report that is attached to and made a part of these minutes. Mr. Hartshorn told members that the Gunderson matter had been resolved and that the Harcus and Rhoades matters would be placed on hold to allow them to pay their fees in accordance with the waivers just granted. Mr. Hartshorn said that the Partlow matter also was on hold due to a request for reconsideration. Mr. Hartshorn stated that work was moving forward on the summary and default judgment motions in the other cases.

OTHER BUSINESS

There was no other business to report.

EXECUTIVE SESSION

The chair recessed the regular session of the meeting and called to order the executive session. Upon recess of the executive session, the chair had nothing to report into regular session.

There being no other business, the meeting was adjourned by the chair.

Respectfully submitted,

Jeff Sigurdson
Executive Director

Attachments:

Executive director report

Memorandum regarding request for Board guidance

2020 Lobbyist Disbursement Summary

Memorandum regarding Americans for Prosperity Foundation v. Bonta

Memorandum regarding Thompson v. Hebdon

Legal report



MINNESOTA

CAMPAIGN FINANCE BOARD

Board Meeting Dates for Calendar Year 2021

Meetings are at **10:00 A.M.** unless otherwise noted.

2021

Wednesday, November 3

Wednesday, December 1



MINNESOTA CAMPAIGN FINANCE BOARD

Date: September 29, 2021

To: Board Members

From: Jeff Sigurdson, Executive Director

Telephone: 651-539-1189

Re: Executive Director's Report

Campaign Finance Training: Staff conducted a Webex campaign finance compliance training class on September 14, 2020. About 40 candidates and treasurers attended the training. The next Webex training is scheduled for the evening of October 7th at 6:00 PM; as of the date of this memo 53 individuals have signed up for the class. A recording of the September 14th class is also available on the Board's website.

Political Contribution Refunds in 2020: The political contribution refund (PCR) program is administered by the Department of Revenue (DOR) as provided in Minnesota Statutes section 290.06. The program provides that an eligible Minnesota voter who makes a cash contribution to a candidate who has signed the public subsidy agreement, or to a major or minor political party unit, may apply for a refund from the DOR. The maximum amount that may be refunded in a calendar year is \$50 per person, or \$100 per married couple. The DOR tracks refunds so that no individual receives more than a \$50 refund in a calendar year.

The Campaign Finance Board provides a computer file to the DOR that lists all candidate committees that have a current public subsidy agreement on file and all political party units registered with the Board. The DOR uses that information to verify that the donor gave to a candidate or party unit eligible to issue a PCR receipt. The Board also provides paper PCR receipts to eligible candidates and party units and has developed the Campaign Finance Reporter software so that the software can be used to generate a PCR receipt.

In August of each year the DOR reports to the Board the number of PCR refunds, and the total value of the refunds, issued to donors in the prior calendar year. The report provides the refund totals for individual candidate committees and political party units. The report is posted on the Board's website at: <https://cfb.mn.gov/citizen-resources/board-programs/public-subsidy-of-campaigns/historical-use-of-public-subsidy-program/>. On the website there are separate reports for candidates and party units for the years 2013 through 2020.

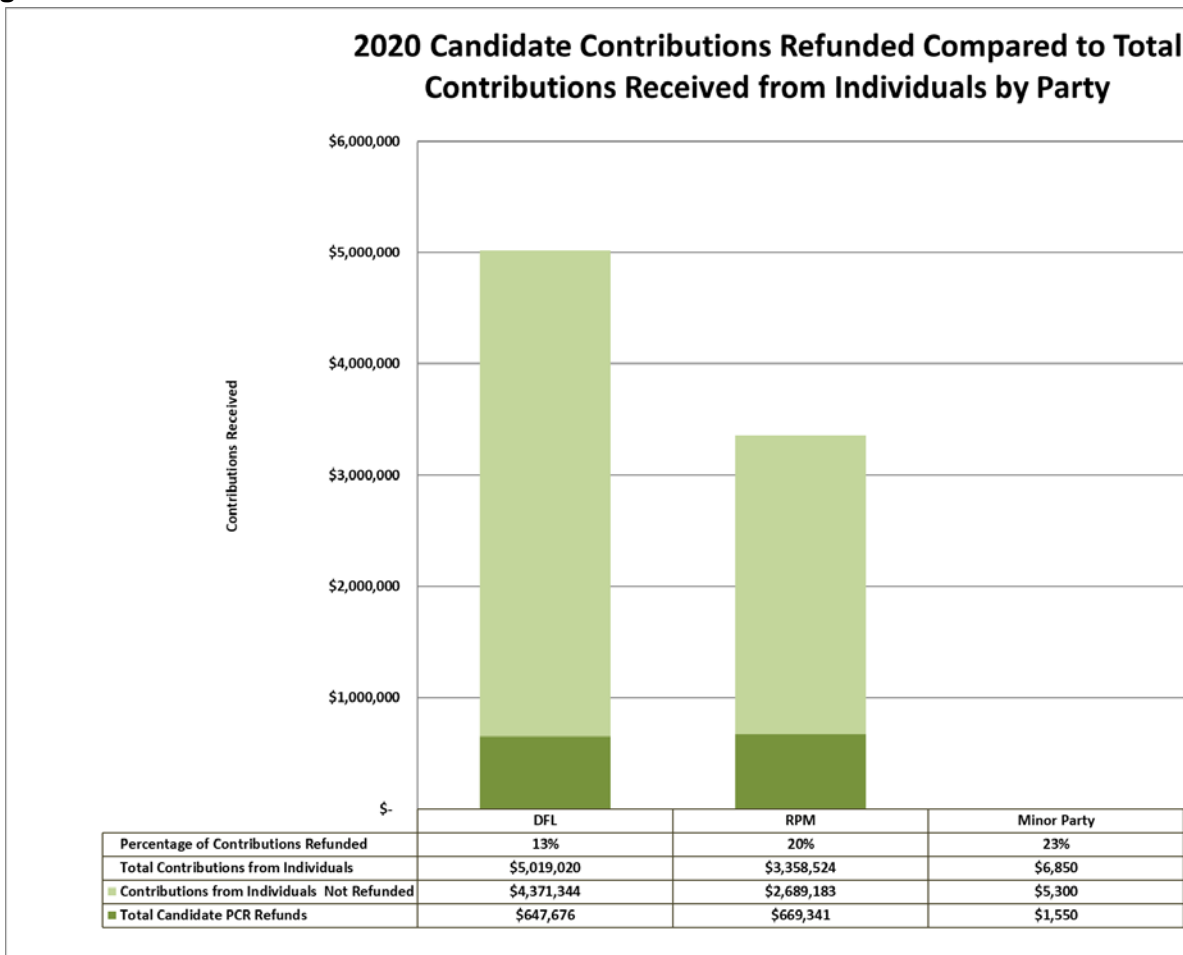
The Board compares the PCR refunds issued for contributions to candidates and political party units to the contributions disclosed on the reports of receipts and expenditures filed with the Board. The comparison is used to verify that the value of the refunds issued to contributors to a

candidate or party unit do not exceed the contributions reported as received by that same candidate or party unit.

In 2020 the DOR issued 35,293 refunds with a total value of \$3,517,084. Of that amount \$1,326,904 was issued for contributions made to candidates, and \$2,190,180 was issued for contributions to political parties.

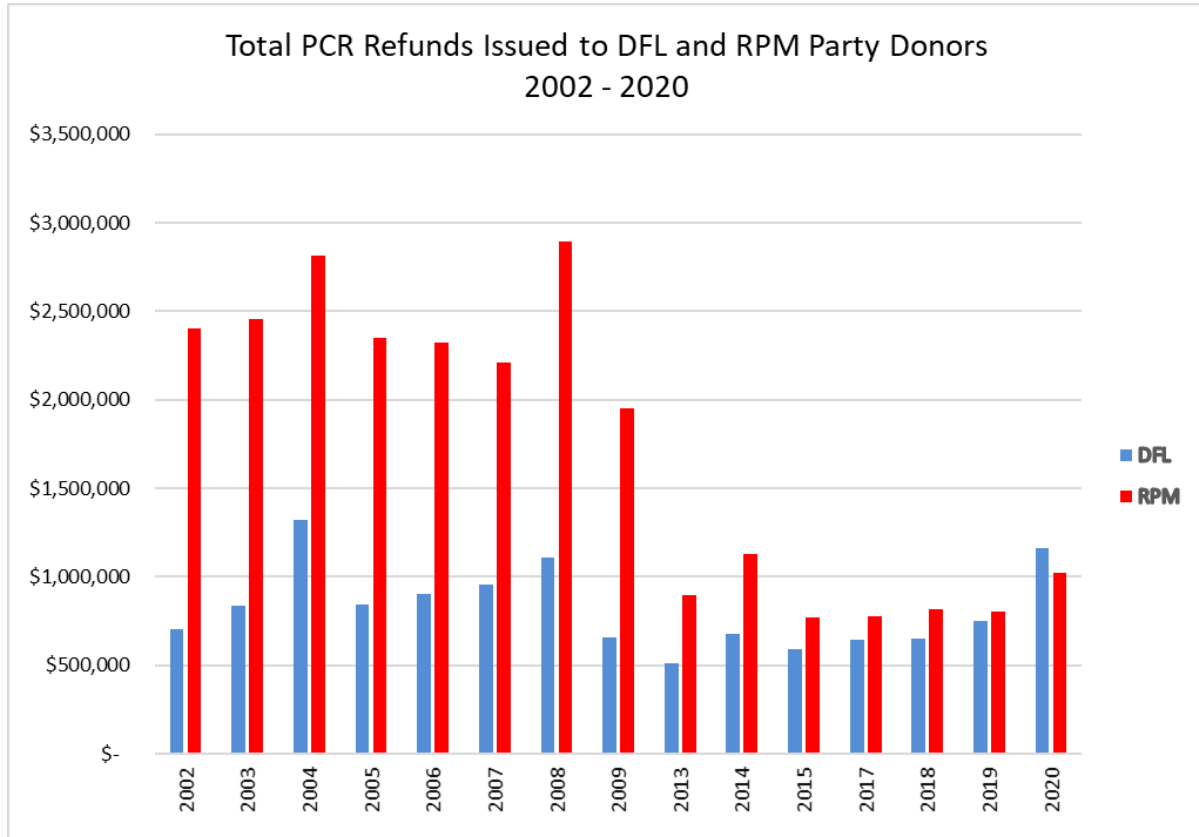
In 2020, individuals contributed about \$1,700,000 more in total contributions to DFL candidates than RPM candidates. Nonetheless, in total, individuals who contributed to RPM candidates were refunded more by the DOR than contributors to DFL candidates. The DOR refunded about 20% of all funds contributed to RPM candidates by individuals, compared to about 13% for individuals who contributed to DFL candidates, as seen in Figure 1.

Figure 1



Historically, RPM party units have issued more PCR receipts than DFL party units, but for the first time since at least 2002, individuals who contributed to DFL party units received more in total refunds, \$1,162,659, than contributors to RPM party units, \$1,022,355, see Figure 2.

Figure 2



In 2020 contributors to minor political parties received the following total refunds: the Independence Alliance Party \$600, the Legal Marijuana Now Party \$200, the Libertarian Party of Minnesota \$1,150, the Green Party of Minnesota \$1,930, and the Grassroots Legalize Cannabis Party \$1,125.

The 2020 reports for PCR refunds issued by specific candidate committees and political party units are attached to this report.

2020 Campaign Finance Summary

Attached to this report is the campaign finance summary covering the 2019 – 2020 election segment. The report is filed with the legislative reference library. So that the report is as accurate as possible it is not finished until after the reconciliation of contributions from registered committees referenced above is substantially complete.

Because of size considerations I'm not including a print out of the summary in the mailed meeting material. The summary is 559 pages long. It is available on the Board's website at [2019 - 2020 Campaign Finance Summary \(mn.gov\)](https://www.mn.gov) .

Attachments

- 2020 PCR Refunds by Candidate Committees
- 2020 PCR Refunds by Political Party Units

2020 Contribution Refund Summary for Candidate Committees

Note: Contributions from a married couple filing jointly are reported as one contribution

Candidate Name	Office Sought	Contributions Refunded	Amount
DFL			
Abahsain, Jill	Senate - 12	33	\$1,960.00
Abeyta, Joseph	House - 5B	22	\$1,050.00
Acomb, Patty	House - 44B	159	\$7,477.33
Agbaje, Esther	House - 59B	45	\$2,665.00
Albrecht, Rita	Senate - 5	245	\$14,400.11
Alvarado, Fernando	Senate - 17	77	\$5,037.33
Anderson, Michele	Senate - 8	20	\$1,183.32
Ansbacher, Cynthia (Cindy)	House - 1B	12	\$710.00
Bacon, Patricia A Fahey	House - 23A	70	\$4,700.83
Bahner, Kristin	House - 34B	76	\$3,954.88
Bakk, Thomas (Tom)	Senate - 3	2	\$125.00
Bakke, Heather	House - 18B	37	\$2,400.00
Baumberger, Chris	House - 22A	18	\$1,055.00
Becker-Finn, Jamie	House - 42B	63	\$3,334.12
Berg, Kaela Jo	House - 56B	30	\$1,346.40
Berg, Renae Ann	House - 32A	1	\$50.00
Berger, Austin	House - 45A	3	\$125.00
Bernardy, Connie	House - 41A	81	\$5,631.36
Bierman, Robert	House - 57A	92	\$6,565.09
Bigham, Karla	Senate - 54	141	\$9,258.18
Blaha, Julie	State Auditor	17	\$950.00

Candidate Name	Office Sought	Contributions Refunded	Amount
Boldon, Liz	House - 25B	33	\$1,387.65
Borrud, Aleta	Senate - 26	156	\$8,311.17
Bradley, Husniyah Dent	House - 63B	5	\$269.99
Brand, Jeff	House - 19A	41	\$2,723.32
Brazelton, Chris	Senate - 29	47	\$2,957.25
Brinkmeier, Arlan	House - 47A	15	\$683.33
Brock, Randy	House - 26B	82	\$4,151.00
Brown, Bradley (Brad)	House - 31A	30	\$1,461.42
Bruns, Matthew (Matt)	House - 21A	38	\$1,865.00
Cahill, Caitlin	House - 33A	77	\$4,893.87
Calhoun, Tamara	House - 14A	88	\$4,993.90
Cardarelle, Renee	House - 29A	32	\$1,688.30
Carlson, (Robert) Andrew	House - 50B	81	\$4,686.14
Carlson, James	Senate - 51	114	\$7,310.90
Carollo, Ben	House - 13B	5	\$325.00
Champion, Bobby Joe	Senate - 59	5	\$240.90
Christensen, Shelly (Michelle)	House - 39B	64	\$3,311.56
Clafin, Anne	House - 54A	33	\$1,801.56
Clark, Tyrel	Senate - 26	23	\$1,539.99
Clausen, Gregory	Senate - 57	54	\$4,370.90
Cwodzinski, Steve	Senate - 48	225	\$13,854.11
Dalal, Piyali Nath	House - 60A	1	\$50.00
Davnie, Jim	House - 63A	4	\$250.00
Dehn, Raymond	House - 59B	21	\$1,185.84
Depoister, Daonna	House - 45A	31	\$2,425.00

Candidate Name	Office Sought	Contributions Refunded	Amount
Dibble, D Scott	Senate - 61	66	\$3,586.66
Diesslin, Elise	House - 21B	23	\$1,174.73
Dolan, Benjamin	House - 17A	72	\$4,825.50
Drost, Doria Kendra	House - 16A	17	\$960.00
Dziedzic, Kari (Karen)	Senate - 60	84	\$5,675.47
Eaton, Chris	Senate - 40	28	\$1,454.17
Ecklund, Robert	House - 3A	134	\$10,698.16
Edelson, Heather	House - 49A	33	\$2,083.33
Eken, Kent	Senate - 4	128	\$9,846.38
Elkins, Steve	House - 49B	59	\$3,510.71
Ellison, Keith	Attorney General	130	\$4,803.59
Erdmann, Jeff	House - 51B	9	\$500.00
Erickson, Michael (Mike)	House - 35A	28	\$1,751.66
Fateh, Omar	Senate - 62	21	\$1,060.00
Feist, Sandra	House - 41B	77	\$4,780.20
Ferguson, Eric	House - 63B	5	\$300.00
Fike, Joshua	Senate - 32	8	\$450.00
Fischer, Peter	House - 43A	39	\$2,515.00
Flick, Sara	Senate - 25	38	\$1,296.29
Fontenello, Jordan	House - 28B	17	\$760.00
Franzen, Melisa Lopez	Senate - 49	44	\$2,426.19
Frazier, Cedrick	House - 45A	29	\$1,516.88
Frechette, John (Jack)	House - 11B	2	\$150.00
Frederick, Luke	House - 19B	9	\$633.33
Fredrick, Kris	House - 38A	79	\$4,855.55

Candidate Name	Office Sought	Contributions Refunded	Amount
Freiberg, Mike	House - 45B	113	\$5,930.61
Frentz, Nick Andrew	Senate - 19	10	\$683.88
Gomez, Aisha	House - 62B	3	\$75.00
Granlund, Mary-Rose	House - 41B	1	\$100.00
Greenman, Emma	House - 63B	77	\$4,936.89
Halverson, Laurie	House - 51B	2	\$160.00
Hansen, Richard (Rick)	House - 52A	100	\$6,368.78
Hanson, Jessica	House - 56A	48	\$2,544.09
Hausman, Alice	House - 66A	3	\$175.00
Hawj, Foung	Senate - 67	36	\$2,475.00
Hayden, Jeffrey (Jeff)	Senate - 62	14	\$889.99
Hendrickson, Charles	House - 4A	5	\$300.00
Her, Kaohly	House - 64A	9	\$516.66
Hering, Alex	House - 9A	33	\$2,200.00
Herrick, Lynn	House - 22B	19	\$1,500.00
Hill, Josiah	Senate - 39	166	\$10,177.47
Hobot, Chad	House - 30A	10	\$650.00
Hoffman, John	Senate - 36	47	\$2,936.90
Hollins, Athena	House - 66B	38	\$1,710.77
Hornstein, Frank	House - 61A	18	\$1,096.67
Hortman, Melissa	House - 36B	110	\$7,278.35
Howard, Michael	House - 50A	47	\$2,722.09
Huot, John Duffy	House - 57B	29	\$1,734.05
Intermill, Jessica	House - 60A	11	\$694.74
Isaacson, Jason (Ike)	Senate - 42	11	\$649.48

Candidate Name	Office Sought	Contributions Refunded	Amount
Johnson, Brittney Cole	House - 8A	8	\$550.00
Jordan, Sydney	House - 60A	3	\$150.00
Kaehler, Ralph	Senate - 21	87	\$4,606.17
Keeler, Heather	House - 4A	67	\$3,409.34
Kent, Susan	Senate - 53	103	\$5,303.03
Kessler, Daniel	House - 47B	81	\$4,712.60
Kimmel, Marinda	House - 16B	82	\$5,151.67
Kittelton, Roger	Senate - 21	5	\$350.00
Klein, Matthew	Senate - 52	38	\$2,407.15
Koegel, Erin	House - 37A	29	\$1,928.77
Koehler, Amanda	House - 41B	9	\$660.00
Kortgard, Logan	House - 17B	9	\$575.00
Kotyza-Witthuhn, Carlie	House - 48B	41	\$1,917.74
Kovach, Brad	House - 30B	7	\$400.00
Krist, Brent	Senate - 15	35	\$2,084.26
Kruger, Sarah Elizabeth	Senate - 28	108	\$6,427.37
Kunesh-Podein, Mary	House - 41B	3	\$250.00
Kunesh-Podein, Mary	Senate - 41	50	\$3,053.33
Larson, Susan (Sue)	House - 31B	8	\$400.00
Latz, Ronald	Senate - 46	17	\$1,211.66
Lee, Fue	House - 59A	17	\$900.00
Lee, Michelle	Senate - 11	88	\$4,510.82
Lesch, John	House - 66B	26	\$1,495.98
Liebling, Tina	House - 26A	51	\$3,037.86
Liend, Jeremiah	House - 2A	25	\$1,105.00

Candidate Name	Office Sought	Contributions Refunded	Amount
Lillie, Leon Michael	House - 43B	17	\$1,100.00
Lindstrom, Connie	House - 1A	13	\$650.00
Lippert, Todd	House - 20B	153	\$8,687.58
Lislegard, David	House - 6B	36	\$2,815.00
Little, Matt	Senate - 58	162	\$9,124.95
Long, James (Jamie)	House - 61B	15	\$1,036.66
Luthner, Kate	Senate - 31	27	\$1,915.00
Maguire, Mike	House - 51B	17	\$1,207.13
Malchow, Katie	House - 32B	26	\$1,760.00
Malik, Amir Joseph	House - 37B	134	\$9,725.00
Mariani, Carlos	House - 65B	1	\$50.00
Marquart, Paul	House - 4B	57	\$4,750.00
Marshall, Shawna	Senate - 22	33	\$1,831.11
Martinez, Thomas	House - 27A	88	\$5,010.00
Marty, John	Senate - 66	40	\$1,826.65
Masin, Sandra	House - 51A	16	\$851.43
Matchett, Amanda	House - 37B	4	\$249.99
Mattick, Jason	House - 19B	1	\$50.00
McCartney Knighton, Lavern	Senate - 65	13	\$662.12
McClelland, Leroy	House - 23B	35	\$2,269.16
McEwen, Jen	Senate - 7	109	\$6,035.02
McLean, Nancy	Senate - 39	16	\$897.32
Menk, Dale	House - 10A	22	\$1,256.66
Miller, Addie	Senate - 47	121	\$6,631.49
Moller, Kelly	House - 42A	140	\$9,117.56

Candidate Name	Office Sought	Contributions Refunded	Amount
Moran, Rena	House - 65A	2	\$150.00
Moroles, Tyler	House - 63B	1	\$33.33
Mozey, Ann M.I.	House - 39A	19	\$1,247.31
Murphy, Erin	Senate - 64	55	\$2,992.14
Murphy, Mary	House - 3B	37	\$2,677.00
Neculescu, Sonia	House - 60A	1	\$50.00
Nelsen, Andrea	House - 55B	7	\$420.00
Nelson, Michael	House - 40A	41	\$2,685.00
Nelson, David	Senate - 35	2	\$100.00
Nesje, Cari Ness	House - 66A	4	\$160.00
Newton, Jerry	Senate - 37	159	\$7,798.32
Nguyen, Diane	Senate - 30	22	\$1,140.75
Noor, Mohamud	House - 60B	2	\$100.00
Odowa, Sahra	Senate - 55	14	\$383.22
Olson, Elizabeth (Liz)	House - 7B	44	\$2,398.40
Olson, Jon	Senate - 20	203	\$11,139.84
Olson, Maret	House - 41B	6	\$300.00
Pappas, Sandra	Senate - 65	36	\$2,265.19
Pelowski Jr, Gene	House - 28A	4	\$350.00
Perkins, Reed	Senate - 1	19	\$1,278.79
Persell, John	House - 5A	55	\$3,637.42
Peters, A. John	Senate - 9	23	\$1,260.71
Pinto, David	House - 64B	121	\$6,090.04
Poppe, Jeanne	House - 27B	72	\$4,790.45
Port, Lindsey	Senate - 56	194	\$9,085.90

Candidate Name	Office Sought	Contributions Refunded	Amount
Preese, Erin	House - 58A	53	\$2,665.76
Prom, Erina	House - 20A	22	\$1,025.91
Pryor, Laurie	House - 48A	76	\$4,648.71
Putnam, Aric	Senate - 14	115	\$6,463.64
Rahman, Aswar	House - 60A	4	\$175.00
Raines, Brian	House - 34A	16	\$1,100.00
Ray, Patricia Torres	Senate - 63	21	\$1,234.58
Rest, Ann	Senate - 45	54	\$3,020.69
Reyer, Lizabeth	House - 51B	50	\$2,732.50
Richardson, Ruth	House - 52B	8	\$600.00
Rosh, Joe	House - 29B	55	\$3,967.56
Roy, Leonard Alan	Senate - 2	78	\$4,061.56
Ruffalo, Jason	House - 35B	31	\$1,811.67
Samuelson, Steve	Senate - 10	27	\$1,571.67
Sandell, Stephen (Steve)	House - 53B	106	\$5,365.20
Sandstede, Julie	House - 6A	2	\$90.00
Schirmers, Ben	House - 12B	30	\$1,920.00
Schmock, Calvin Robert	House - 15A	21	\$1,270.45
Schultz, Jennifer	House - 7A	67	\$5,007.29
Shea, Tom	House - 24A	70	\$4,531.04
Shea, Kevin	Senate - 56	54	\$3,885.00
Simonson, Erik	Senate - 7	47	\$2,927.01
Smart, Murray	House - 12A	47	\$3,016.67
Sparks, Daniel	Senate - 27	28	\$2,004.54
Spolarich, Gaylene	House - 10B	4	\$177.78

Candidate Name	Office Sought	Contributions Refunded	Amount
Steinkamp, Roger	Senate - 24	28	\$1,529.39
Stephens, Marquita	Senate - 53	26	\$1,318.33
Stephenson, Zachary	House - 36A	28	\$1,608.33
Stewart, Ann Johnson	Senate - 44	376	\$19,210.67
Stofferahn, Justin	Senate - 38	200	\$10,309.49
Sundin, Mike	House - 11A	21	\$1,600.00
Tabke, Brad	House - 55A	100	\$6,524.98
Thiessen, Ronald (Ron) Jame	House - 15B	14	\$790.00
Thompson, John	House - 67A	47	\$2,281.66
Timmerman, Robert	Senate - 56	4	\$350.00
Tomassoni, David	Senate - 6	119	\$9,475.79
Tschimperle, Chad	Senate - 18	56	\$4,125.00
Tucker II, Richard	Senate - 56	28	\$2,150.00
Vang, Samantha	House - 40B	2	\$100.00
Waits, Kelsey	House - 54B	79	\$4,995.08
Wazlawik, Ami	House - 38B	113	\$6,578.60
Wenner, Carol	House - 8B	83	\$5,188.61
Westlin, Bonnie	Senate - 34	187	\$9,780.13
Westlund, Katy	House - 13A	14	\$705.00
Whitaker, Susan	House - 60A	1	\$30.00
Wiger, Charles (Chuck)	Senate - 43	45	\$3,475.00
Wiklund, Melissa Halvorson	Senate - 50	111	\$6,181.29
Willemsen, Michael	Senate - 13	4	\$225.00
Winkler, Ryan	House - 46A	29	\$1,917.50
Wolf, Sara	House - 58B	18	\$900.00

Candidate Name	Office Sought	Contributions Refunded	Amount
Wolgamott, Dan	House - 14B	35	\$2,246.15
Wright, Laura	House - 9B	4	\$350.00
Xiong, Tou	House - 53A	9	\$900.00
Xiong, Jay	House - 67B	27	\$1,520.53
Youakim, Cheryl	House - 46B	57	\$3,901.24
	Party Total	11,075	\$655,020.66
GRP			
Wright, Chris	Senate - 63	2	\$150.00
	Party Total	2	\$150.00
Other			
Seymore, Christopher Lovell	Governor	1	\$50.00
Wilson, Myron	House - 15B	18	\$1,350.00
	Party Total	19	\$1,400.00
RPM			
Abeler, Jim	Senate - 35	70	\$4,975.00
Akland, D. Susan (Susie)	House - 19A	91	\$6,750.00
Albright, Tony	House - 55B	1	\$100.00
Anderson, Paul	Senate - 59	11	\$550.00
Anderson, Patricia	House - 38B	11	\$950.00
Anderson, Paul	House - 12B	69	\$5,825.00
Anderson, Paul	Senate - 44	2	\$100.00
Anderson, Brian	House - 4B	14	\$950.00
Anderson, Bruce	Senate - 29	80	\$6,375.00
Attia, Karen	Senate - 36	39	\$2,300.00
Babin, Paul	House - 43A	17	\$1,150.00
Backer, Jeff	House - 12A	52	\$3,930.90

Candidate Name	Office Sought	Contributions Refunded	Amount
Bahr, Calvin (Cal)	House - 31B	4	\$250.00
Baker, David (Dave)	House - 17B	52	\$4,074.99
Bennett, Peggy	House - 27A	94	\$7,380.00
Benson, Michelle	Senate - 31	18	\$1,450.00
Bergstrom, Donna	Senate - 7	15	\$1,150.00
Bjornson, Bryan	Senate - 46	1	\$50.00
Bliss, Matt	House - 5A	67	\$4,857.15
Boe, Greg	House - 47B	41	\$3,250.00
Brandmire, Paul	House - 14B	4	\$350.00
Bruins, Roxana	Senate - 45	1	\$50.00
Buria, Julie	House - 6B	42	\$3,494.00
Burkel, John	House - 1A	69	\$5,806.87
Bush, Kenneth	House - 25B	1	\$50.00
Chamberlain, Roger	Senate - 38	11	\$800.00
Coleman, Julia	Senate - 47	33	\$2,329.99
Dahms, Gary	Senate - 16	196	\$9,272.13
Daniels, Brian	House - 24B	83	\$6,927.63
Daudt, Kurt	House - 31A	41	\$3,250.45
de la Paz, Mariah	House - 52A	11	\$529.99
Demuth, Lisa	House - 13A	29	\$1,910.90
Dettmer, Bob	House - 39A	27	\$1,917.73
Dornink, Gene	Senate - 27	102	\$8,925.00
Dotseth, Jeff	House - 11A	75	\$5,440.70
Draheim, Rich	Senate - 20	23	\$1,075.00
Drazkowski, Steven	House - 21B	78	\$5,785.10

Candidate Name	Office Sought	Contributions Refunded	Amount
Duckworth, Zach	Senate - 58	135	\$9,005.00
Dupre, Julie	Senate - 49	28	\$1,550.00
Eichorn, Justin	Senate - 5	78	\$5,433.72
Engen, Elliott	House - 38B	24	\$1,795.00
Erickson, Susan	House - 41A	21	\$1,475.00
Erickson, Sondra	House - 15A	88	\$7,375.00
Fabian, Daniel	House - 1A	1	\$25.00
Farnsworth, Robert (Rob)	House - 6A	49	\$4,237.50
Finney, Sue	House - 42B	16	\$1,050.00
Fitzgerald, Ken	House - 45B	15	\$1,283.33
Franke, Keith	House - 54A	37	\$2,170.25
Franson, Mary	House - 8B	85	\$6,467.88
Funk, Thomas	Senate - 47	99	\$7,716.66
Ganzer, Brad	House - 34A	18	\$1,350.00
Garofalo, Patrick	House - 58B	50	\$4,275.00
Garofalo, Joseph Nicholas	House - 39B	69	\$4,501.57
Gazelka, Paul	Senate - 9	75	\$5,675.00
Goggin, Michael	Senate - 21	46	\$2,836.37
Green, Steve	House - 2B	91	\$7,121.66
Grimsley, Ben	House - 4B	2	\$200.00
Grossell, Matthew (Matt)	House - 2A	56	\$4,550.00
Gruenhagen, Glenn	House - 18B	155	\$12,039.99
Hahn, Edwin	House - 4A	13	\$669.99
Haley, Barbara Ann May	House - 21A	139	\$11,522.56
Hall, Dan	Senate - 56	264	\$21,743.06

Candidate Name	Office Sought	Contributions Refunded	Amount
Hamilton, Rod	House - 22B	3	\$175.00
Heinrich, John	House - 35A	36	\$2,499.11
Heintzeman, Joshua	House - 10A	148	\$11,905.00
Hertaus, Jerome Allan (Jerry)	House - 33A	2	\$100.00
Herzog, Jordan	House - 43B	19	\$1,523.33
Heyer, Gary	House - 50B	15	\$900.00
Hjelle, Andrew	House - 3B	14	\$1,270.00
Hogan, Christopher	Senate - 3	5	\$350.00
Holmberg, Mary	Senate - 60	12	\$850.00
Holmgren, Paul	Senate - 65	21	\$1,050.00
Holmstadt, Leilani	Senate - 54	71	\$5,312.03
Houle, Tamara (Tammy)	House - 16B	5	\$285.71
Housley, Karin	Senate - 39	52	\$2,857.73
Howe, Jeff	Senate - 13	68	\$5,900.00
Igo, Spencer	House - 5B	31	\$2,191.66
Ingebrigtsen, William (Bill)	Senate - 8	102	\$8,399.20
Jahner-Byrne, Kelly	House - 53B	64	\$4,613.46
Jasinski, John	Senate - 24	87	\$6,645.00
Jiang, Jeff	Senate - 48	288	\$16,126.44
Jimenez, Sandra	House - 57B	29	\$1,517.50
Jimenez, Jose	Senate - 57	20	\$1,250.00
Johnson, Mark Timothy	Senate - 1	57	\$4,523.69
Johnson, Brian	House - 32A	42	\$3,140.38
Johnston, Art	House - 7B	8	\$380.00
Johnston, William	House - 53A	25	\$1,650.00

Candidate Name	Office Sought	Contributions Refunded	Amount
Jurgens, Tony	House - 54B	73	\$5,930.00
Kiel, Debra (Deb)	House - 1B	81	\$6,855.00
Kiffmeyer, Mary	Senate - 30	61	\$4,617.91
Koran, Mark William	Senate - 32	66	\$4,675.00
Koznick, Jon	House - 58A	62	\$5,540.00
Kresha, Ronald	House - 9B	81	\$6,025.00
Lang, Andrew	Senate - 17	18	\$1,525.00
Layman, Sandy	House - 5B	3	\$250.00
Limmer, Warren	Senate - 34	147	\$11,623.71
Link, Holly Henslin	House - 48B	20	\$1,158.33
Lonnquist, Cynthia	House - 52B	28	\$1,262.76
Loonan, Robert (Bob)	House - 55A	57	\$4,640.00
Lucero, Eric	House - 30B	81	\$6,015.00
Lueck, Dale	House - 10B	61	\$2,916.67
Malsom, Doug	House - 38A	25	\$1,299.99
Manninen, Thomas	House - 3A	19	\$1,550.00
Maresh, William	House - 36A	37	\$2,835.00
Marvin, Robert	Senate - 40	17	\$1,150.00
Mathews, Andrew	Senate - 15	40	\$2,749.74
McDonald, Joseph (Joe)	House - 29A	45	\$3,044.99
Mekeland, Shane	House - 15B	48	\$3,608.33
Melin, Gary	House - 26A	23	\$1,736.76
Miller, Tim	House - 17A	50	\$4,161.66
Miller, Jeremy	Senate - 28	78	\$6,465.26
Missling, Scot	House - 61B	1	\$50.00

Candidate Name	Office Sought	Contributions Refunded	Amount
Moore, Melissa	House - 46B	18	\$899.99
Moravchik, Joe	House - 20B	39	\$2,958.33
Moren, John	Senate - 6	39	\$3,000.00
Mueller, Patricia	House - 27B	65	\$4,901.66
Mumbleau, Dean Wm	Senate - 50	15	\$799.99
Munson, Jeremy	House - 23B	100	\$7,104.73
Murray, Mikki	House - 66B	7	\$450.00
Myers, Andrew	House - 33B	44	\$3,292.68
Myhra, Pam	House - 56A	128	\$7,795.91
Napper, Diane	Senate - 63	35	\$1,724.29
Nash, Jim	House - 47A	54	\$4,542.24
Nelson, Carla	Senate - 26	179	\$11,915.00
Nelson, Nathan	House - 11B	45	\$4,100.00
Nelson, Tom	House - 23A	2	\$141.67
Neu, Anne	House - 32B	13	\$828.33
Newman, Scott	Senate - 18	108	\$8,046.26
Nouis, Perry	House - 44A	2	\$150.00
Novotny, Paul	House - 30A	108	\$7,018.58
O'Driscoll, Tim	House - 13B	49	\$3,550.00
Olson, Mark	House - 15B	1	\$50.00
Olson, Megan	House - 57A	7	\$550.00
Olson, Christian Bjorn	House - 23A	48	\$3,770.00
O'Neill, Marion Olivia	House - 29B	13	\$855.00
Osmeck, David	Senate - 33	35	\$2,605.55
Pafko, Frank	House - 63B	14	\$1,200.00

Candidate Name	Office Sought	Contributions Refunded	Amount
Petersburg, John	House - 24A	61	\$4,417.85
Peterson, Roz	House - 56B	74	\$5,573.32
Pfarr, Brian	House - 20A	47	\$3,550.00
Pierson, Nels	House - 26B	12	\$950.00
Pohlman, Lisa	House - 61B	4	\$225.00
Poston, John	House - 9A	6	\$350.00
Pratt, Eric	Senate - 55	28	\$2,010.25
Quam, Duane	House - 25A	2	\$100.00
Raleigh, Donald	House - 38A	55	\$3,975.00
Rarick, Jason	Senate - 11	28	\$2,161.66
Rasmusson, Jordan	House - 8A	164	\$12,921.51
Relph, Jerry	Senate - 14	32	\$2,825.00
Robbins, Kristin	House - 34A	42	\$3,190.23
Rose, Brett	House - 66A	4	\$200.00
Rosen, Julie	Senate - 23	62	\$5,000.00
Runbeck, Linda	House - 38A	29	\$2,250.50
Ruud, Carrie	Senate - 10	35	\$2,924.99
Sanford, Brad	Senate - 37	44	\$2,761.66
Sausser, Melissa	Senate - 58	2	\$50.00
Schomacker, Joe	House - 22A	39	\$2,591.13
Schwanke, Ben	Senate - 42	121	\$6,346.25
Scott, Peggy Sue	House - 35B	78	\$6,880.00
Senjem, David	Senate - 25	147	\$11,732.37
Settell, Tomas	Senate - 52	32	\$2,112.50
Shilepsky, Alan	House - 59B	16	\$1,100.00

Candidate Name	Office Sought	Contributions Refunded	Amount
Simmons, Scott	House - 36B	59	\$4,033.33
Simon, Yvonne	House - 23B	38	\$2,850.00
Sina, Candice (Candy)	Senate - 42	27	\$1,940.00
Smith, Fern	House - 51B	46	\$3,160.52
Solano, Joshua	House - 57A	2	\$150.00
Stanton, Linda	Senate - 53	2	\$135.00
Stephens, Mary Giuliani	Senate - 53	172	\$14,315.59
Sukalski, Michael	House - 23A	31	\$2,375.00
Sullivan, Thomas Gordon	House - 7A	17	\$1,199.99
Swedzinski, Christopher	House - 16A	99	\$7,984.92
Taylor, Anne	House - 46A	3	\$150.00
Thalman, Joe	House - 49B	12	\$613.51
Theis, Tama	House - 14A	36	\$2,917.47
Torkelson, Paul	House - 16B	59	\$4,005.00
Trossen, Dori	House - 34B	41	\$2,793.57
True, David	House - 40A	3	\$150.00
Turk, Fred	House - 67B	18	\$1,250.00
Ulrick, John	House - 15B	8	\$500.00
Urdahl, Dean	House - 18A	32	\$2,395.19
Utke, Paul	Senate - 2	28	\$1,370.00
Vogel, Lucia Marina	Senate - 41	31	\$1,525.00
Weber, Bill	Senate - 22	71	\$5,351.31
Wendling, Kenneth	House - 37A	11	\$650.00
Wessels, Eric	House - 48A	32	\$2,200.00
West, Nolan	House - 37B	81	\$6,123.33

Candidate Name	Office Sought	Contributions Refunded	Amount
Westrom, Torrey	Senate - 12	79	\$5,374.36
Willetts, Douglas	Senate - 51	52	\$3,746.67
Wilson, Steven	House - 25B	35	\$3,150.00
Zurick, Patrick	House - 51A	22	\$978.09
	Party Total	9,154	\$669,341.70
	Grand Total	20,250	\$1,325,912.36

2020 Contribution Refund Summary for Political Party Units

Note: Contributions from a married couple filing jointly are reported as one contribution

Party Units	Contributions Refunded	Amount
Grassroots Legalize Cannabis Party		
Grassroots-Legalize Cannabis Party	20	\$1,225.00
Subtotals:	20	\$1,225.00
Green Party of Minnesota		
4th Congressional District GPM	2	\$70.45
Green Party of Minn	149	\$1,859.58
Subtotals:	151	\$1,930.03
Independence Alliance Party		
Independence-Alliance Party	8	\$600.00
Subtotals:	8	\$600.00
Legal Marijuana Now Party		
Legal Marijuana Now Party	3	\$200.00
Subtotals:	3	\$200.00
Libertarian Party of Minnesota		
Libertarian Party of Minn	17	\$1,150.00
Subtotals:	17	\$1,150.00
Minnesota Democratic Farmer Labor Party		
1st Congressional District DFL	1	\$100.00

Party Units	Contributions Refunded	Amount
2nd Congressional District DFL	28	\$1,232.15
3rd Congressional District DFL	3	\$200.00
4th Senate District DFL	1	\$100.00
5B House District DFL	6	\$350.00
5th Congressional District DFL	1	\$50.00
6th Congressional District DFL	1	\$100.00
6th Senate District DFL	1	\$50.00
7th Senate District DFL	14	\$695.00
8th Congressional District DFL	1	\$100.00
11A House District DFL	4	\$200.00
13th Senate District DFL	7	\$550.00
14th Senate District DFL	27	\$1,706.80
16th Senate District DFL	5	\$300.00
19th Senate District DFL	9	\$550.00
20th Senate District DFL	3	\$250.00
21st Senate District DFL	1	\$50.00
25B House District DFL (Olmsted-25)	49	\$3,783.33
25th Senate District DFL	2	\$100.00
26th Senate District DFL	12	\$232.50
29th Senate District DFL	6	\$400.00
30th Senate District DFL	4	\$200.00
31st Senate District DFL	6	\$260.00
32nd Senate District DFL	49	\$3,955.00
33rd Senate District DFL	35	\$2,835.00
34th Senate District DFL	45	\$3,383.33
35th Senate District DFL	5	\$450.00

Party Units	Contributions Refunded	Amount
36th Senate District DFL	1	\$50.00
37th Senate District DFL	3	\$200.00
38th Senate District DFL	4	\$208.33
39th Senate District DFL	16	\$942.32
40th Senate District DFL	2	\$150.00
41st Senate District DFL	29	\$1,211.20
42nd Senate District DFL	12	\$722.22
43rd Senate District DFL	5	\$350.00
44th Senate District DFL	39	\$2,740.00
45th Senate District DFL	12	\$473.06
46th Senate District DFL	43	\$2,009.61
48th Senate District DFL	31	\$2,332.00
49th Senate District DFL	19	\$877.39
50th Senate District DFL	17	\$1,188.46
51st Senate District DFL	17	\$987.26
52nd Senate District DFL	13	\$996.09
53rd Senate District DFL	40	\$2,523.48
55th Senate District DFL	1	\$50.00
56th Senate District DFL	10	\$750.00
57th Senate District DFL	4	\$250.00
59th Senate District DFL	4	\$88.33
60th Senate District DFL	21	\$881.90
61st Senate District DFL	13	\$684.29
63rd Senate District DFL	9	\$525.00
64th Senate District DFL	8	\$335.87
65th Senate District DFL	17	\$888.07

Party Units	Contributions Refunded	Amount
67th Senate District DFL	1	\$50.00
Aitkin County DFL	37	\$3,120.00
Becker County DFL	59	\$4,666.65
Beltrami County DFL	61	\$4,146.18
Big Stone County DFL	31	\$2,450.00
Blue Earth County DFL	20	\$1,198.33
Brown County DFL	14	\$818.33
Cass County DFL	35	\$2,272.80
Chippewa County DFL	7	\$380.00
Clay County DFL	10	\$695.00
Cook County DFL	41	\$2,800.00
Cottonwood County DFL	10	\$850.00
Crow Wing County DFL	22	\$1,522.22
DFL House Caucus	690	\$40,886.36
DFL Senate Caucus	531	\$28,700.24
Dodge County DFL	25	\$2,036.67
Douglas County DFL	52	\$3,468.04
Faribault County DFL	8	\$600.00
Fillmore County DFL	23	\$1,275.00
Freeborn County DFL	12	\$975.00
Goodhue County DFL (Goodhue 21)	23	\$1,410.81
Grant County DFL	39	\$3,249.99
Houston County DFL	2	\$150.00
Hubbard County DFL	47	\$3,850.00
Itasca County DFL	61	\$4,505.00
Jackson County DFL	9	\$750.00

Party Units	Contributions Refunded	Amount
Kanabec County DFL	5	\$350.00
Kandiyohi County DFL	107	\$7,785.70
Koochiching County DFL	1	\$100.00
Lac qui Parle County DFL	11	\$1,000.00
Lake County DFL	60	\$4,375.00
Lake of the Woods DFL	6	\$300.00
LeSueur County DFL	11	\$788.18
Lyon County DFL	29	\$1,730.00
McLeod County DFL	1	\$50.00
Meeker County DFL	10	\$850.00
Mille Lacs County DFL	7	\$490.00
Minn DFL State Central Committee	15598	\$938,327.60
Morrison County DFL	72	\$5,940.00
Mower County DFL	14	\$870.00
Murray County DFL	2	\$200.00
Nobles County DFL	4	\$225.00
Otter Tail County DFL	32	\$2,010.00
Pennington County DFL	2	\$150.00
Pine County DFL (HD 11B)	4	\$350.00
Pipestone County DFL	8	\$600.00
Polk County DFL	36	\$2,855.00
Pope County DFL	31	\$2,250.00
Redwood County DFL	6	\$433.33
Renville County DFL	17	\$1,182.50
Rice County DFL	21	\$1,304.08
Rock County DFL	30	\$2,550.00

Party Units	Contributions Refunded	Amount
Sibley County DFL	5	\$400.00
St Louis County DFL (St Louis-06)	40	\$3,011.81
Stearns County DFL (Stearns-12)	30	\$2,180.00
Steele County DFL	13	\$945.44
Stevens County DFL	1	\$100.00
Swift County DFL	41	\$3,080.00
Todd County DFL	35	\$2,384.00
Traverse County DFL	25	\$1,978.56
Wabasha County DFL	5	\$370.00
Wadena County DFL	7	\$425.00
Waseca County DFL	56	\$4,264.29
Watonwan County DFL	16	\$1,350.00
Wilkin County DFL	3	\$300.00
Winona County DFL	50	\$2,279.32
Yellow Medicine County DFL	15	\$1,100.00
Subtotals:	19,053	\$1,162,659.42

Republican Party of Minnesota

1st Congressional District RPM	7	\$236.76
2nd Congressional District RPM	16	\$1,014.52
3rd Congressional District RPM	1	\$100.00
7A House District RPM	1	\$50.00
7th Congressional District RPM	4	\$400.00
8th Congressional District RPM	2	\$100.00
13th Senate District RPM	3	\$250.00
15A House District RPM	1	\$25.00
15B House District RPM	1	\$100.00

Party Units	Contributions Refunded	Amount
30th Senate District RPM	1	\$100.00
31st Senate District RPM	5	\$350.00
33rd Senate District RPM	14	\$906.42
34th Senate District RPM	5	\$380.00
35th Senate District RPM	30	\$1,535.00
36th Senate District RPM	4	\$325.00
37th Senate District RPM	2	\$55.00
38th Senate District RPM	7	\$500.00
39th Senate District RPM	9	\$335.45
40th Senate District RPM	7	\$331.67
41st Senate District RPM	3	\$200.00
42A House District RPM	13	\$920.00
42B House District RPM	10	\$560.00
44th Senate District RPM	2	\$100.00
45th Senate District RPM	2	\$100.00
46th Senate District RPM	18	\$1,204.29
48th Senate District RPM	27	\$2,216.66
49th Senate District RPM	80	\$5,370.16
50th Senate District RPM	1	\$100.00
51st Senate District RPM	69	\$5,620.00
52A House District RPM	5	\$250.00
52B House District RPM	5	\$275.00
57th Senate District RPM	4	\$300.00
58th Senate District RPM	7	\$450.00
59th Senate District RPM	3	\$250.00
61st Senate District RPM	12	\$700.00

Party Units	Contributions Refunded	Amount
62nd Senate District RPM	4	\$200.00
63rd Senate District RPM	3	\$250.00
64th Senate District RPM	6	\$400.00
65th Senate District RPM	1	\$50.00
Aitkin County RPM	7	\$325.00
Becker County RPM	14	\$1,150.00
Beltrami County RPM	66	\$5,272.42
Blue Earth County RPM	27	\$1,510.70
Brown County RPM	25	\$1,953.69
Carlton County RPM	5	\$297.61
Carver County RPM	4	\$325.00
Cass County RPM	17	\$1,485.00
Chippewa County RPM	4	\$300.00
Chisago County RPM	7	\$594.00
Clay County RPM	13	\$825.00
Clearwater County RPM	45	\$3,883.33
Cook County RPM	13	\$1,050.00
Cottonwood County RPM	2	\$100.00
Crow Wing County RPM	6	\$350.00
Dodge County RPM	9	\$600.00
Douglas County RPM	121	\$8,838.82
Faribault County RPM	22	\$1,900.00
Fillmore County RPM	10	\$850.00
Freeborn County RPM	34	\$2,950.00
Goodhue County RPM	38	\$2,900.00
Grant County RPM	46	\$4,150.00

Party Units	Contributions Refunded	Amount
Houston County RPM	4	\$400.00
HRCC	1439	\$91,070.87
Hubbard County RPM	13	\$1,000.00
Itasca County RPM	31	\$2,391.65
Jackson County RPM	56	\$4,550.00
Kanabec County RPM	15	\$1,230.00
Kandiyohi County RPM	46	\$3,280.00
Lac qui Parle County RPM	35	\$3,141.66
Lake County RPM	4	\$300.00
Lake of the Woods RPM	6	\$500.00
LeSueur County RPM	110	\$9,600.00
Lyon County RPM	43	\$3,584.99
Marshall County RPM	10	\$800.00
Martin County RPM	10	\$690.00
McLeod County RPM	15	\$1,150.00
Meeker County RPM	4	\$200.00
Morrison County RPM	22	\$1,565.00
Mower County RPM	5	\$391.66
Murray County RPM	17	\$1,350.00
Nicollet County RPM	9	\$750.00
Nobles County RPM	16	\$1,150.00
Olmsted County RPM	20	\$1,130.00
Otter Tail County RPM	21	\$1,013.67
Pennington County RPM	59	\$4,175.00
Pine County RPM	24	\$1,745.00
Pipestone County RPM	32	\$2,131.05

Party Units	Contributions Refunded	Amount
Polk County RPM	2	\$150.00
Pope County RPM	37	\$2,995.71
Redwood County RPM	32	\$2,516.67
Renville County RPM	30	\$2,591.66
Republican Party of Minn	10520	\$748,834.14
Rice County RPM	20	\$1,450.00
Rock County RPM	21	\$1,750.00
Roseau County RPM	8	\$726.92
Scott County RPM	7	\$380.00
Senate Victory Fund (SVF)	586	\$39,474.22
St Louis County RPM	17	\$1,163.00
Steele County RPM	48	\$4,014.28
Swift County RPM	2	\$200.00
Todd County RPM	10	\$710.00
Traverse County RPM	1	\$75.00
Wabasha County RPM	18	\$1,250.00
Wadena County RPM	15	\$1,025.00
Waseca County RPM	29	\$2,450.00
Wilkin County RPM	17	\$1,350.00
Winona County RPM	14	\$995.00
Wright County RPM	2	\$70.45
Yellow Medicine County RPM	9	\$671.73
Subtotals:	14,441	\$1,022,355.83
Grand Totals:	33,693	\$2,190,120.28



MINNESOTA

CAMPAIGN FINANCE BOARD

Date: September 29, 2021

To: Board members
Counsel Hartshorn

From: Andrew Olson, Legal/Management Analyst

Subject: Enforcement report for consideration at the October 6, 2021 Board meeting

A. Discussion Items

1. Administrative termination of lobbyist Rafael Espinosa (4696)

AFSCME 65 and Carver County Local 2789 have requested that the lobbyist registration of Mr. Espinosa be terminated retroactive to February 9, 2021, as he is no longer employed by those principals. Board staff attempted to contact Mr. Espinosa to ask him to file termination statements, but he has not done so. A reporting lobbyist for the same principals filed disbursement reports inclusive of Mr. Espinosa through May 2021, so there will be no outstanding reports if the termination is retroactive to February 2021.

2. Balance adjustment request - Mahoney (Tim) for House (15823)

The Mahoney committee's actual cash balance at the end of 2020 was \$3,010.42, which is \$400.17 less than the ending cash balance of \$3,410.59 listed on the committee's amended 2020 year-end report. The committee believes that the discrepancy likely accumulated over the course of several years, prior to 2019, and is unsure of the cause. The committee is therefore requesting a downward balance adjustment of \$400.17 to its 2020 ending cash balance. The committee will file a termination report by mid-October.

B. Waiver Requests

#	Committee/ Entity	Late Fee/ Civil Penalty	Report Due	Factors	Prior Waivers	Recommended Action
1	Duluth Building Trades Voluntary Party Fund (30217)	\$1,000 LFF	2020 Pre-Primary 24-Hour Notice	24-hour notice due 7/25/2020 regarding a \$2,000 contribution from the fund's supporting association was not filed and the contribution was first disclosed on the September report filed 9/22/2020. The supporting association was not aware of the 24-hour notice requirement. Over the course of the past decade the fund has not reported the receipt of any contributions that would have triggered the 24-hour notice requirement. The Board typically reduces 24-hour notice late fees for first-time violations to \$250. The fund reported a cash balance of \$547 as of 12/31/2020.	No	Reduce to \$250
2	Jaden Partlow (Senate Candidate)	\$100 LFF \$1,000 CP	Original EIS	Candidate's EIS was due 6/15/2020 and was filed 7/8/2021. Candidate stated that he was unaware of the need to file an EIS despite multiple communications from Board staff and no motion was made to grant his waiver request at the July 2021 Board meeting. Candidate is seeking reconsideration of his request due to his inability to pay the amount owed, and in the alternative has requested a payment plan of \$100 per month. Candidate was referred to the Attorney General's Office in Sept. 2020 and was served with a summons and complaint on 6/18/2021.	No	Approve requested payment plan of \$100 per month

C. Informational Items

1. Payment of civil penalty for corporate contribution

Sibley County DFL, \$500

2. Payment of civil penalty for recordkeeping violation

Mahoney (Tim) for House, \$475

3. Payment of civil penalty for prohibited contribution during legislative session

Minnesotans for Julie Blaha, \$250

4. Partial payment of civil penalties for conversion to personal use and false certification

Tamara Jones, \$186

5. Payment of civil penalty for exceeding individual contribution limit

IFO Political Action Committee Fund (Inter Faculty Organization), \$100

6. Payment of civil penalty for exceeding party unit aggregate contribution limit

Citizens for Jeff Backer Jr House, \$100

7. Payment of late filing fee for 2020 year-end report of receipts and expenditures

60th Senate District RPM, \$250

Somali American Women Political Action Committee, \$250

Minnesotans for Julie Blaha, \$75 (failure to timely amend)

8. Payment of late filing fee for 2020 pre-general 24-hour notice

Volunteers for Dori (Trossen), \$250

Take Action PAC, \$250

9. Payment of late filing fee for 2020 pre-primary 24-hour notice

North Central States Carpenters PAC, \$250

10. Payment of late filing fee for 2019 year-end report of receipts and expenditures

Joe Patino Campaign, \$250

11. Partial payment of late filing fee for lobbyist disbursement report due 1/15/2020

Marcus Harcus, \$150

12. Payment of late filing fee for original EIS

Kelly Gunderson, \$100

13. Payment of civil penalty for original EIS

Kelly Gunderson, \$500

14. Return of public subsidy due to subsidy exceeding expenditures

Matt Klein for Senate, \$1,468.57

15. Forwarded anonymous contributions

Freeborn County RPM, \$50



Joseph Schulte
Public Affairs Coordinator
AFSCME Council 65
3335 West St. Germain Street
Suite 107
St. Cloud, MN 56301

Shannon Douvier
Executive Director

Jo Musel Parr
Field and Organizing
Services Director

Beverly Pekarna
Finance and Benefits
Administrator

Date 8/24/21

To whom it concerns,

We are writing to inform the Minnesota Campaign Finance board that Rafael Espinosa no longer is a lobbyist with AFSCME Council 65 nor Carver County Local 2789. We are unable to get in touch with him to make certain he terminates his lobbyist registration for our organization. We request that he be removed from both organizations.

Thank you,

Shannon Douvier
Executive Director
AFSCME Council 65

Joseph Schulte
Public Affairs Coordinator
AFSCME Council 65

American Federation of State, County and Municipal Employees, AFL-CIO

TEL (218) 885-3242 FAX (218) 885-3245 TOLL FREE (888) 474-3242 WEB www.afscme65.org

118 Central Ave
Nashauk, MN 55769

3335 West St. Germain Street, Suite 107
St. Cloud, MN 56301

101 South Fairfax Ave
Sioux Falls, SD 57103

From: Shannon Douvier <SDouvier@afscme65.org>
Sent: Tuesday, September 07, 2021 8:51 AM
To: Olson, Andrew (CFB) <Andrew.D.Olson@state.mn.us>; Joseph Schulte <JSchulte@afscme65.org>
Cc: Ross, Erika (CFB) <Erika.T.Ross@state.mn.us>
Subject: RE: Lobbyist Registration of Rafael Espinosa

Good Morning Andrew,

Rafael never actually lobbied on behalf of AFSCME Council 65, he was the assigned Labor Representative to Local 2789 and participated in negotiations and since they are a metro county that is why he was registered. He went out on a leave February 9th, 2021 and never returned to his position.

Let me know if you need anything further.

Thank you,

Shannon Douvier

Executive Director
AFSCME Council 65
3335 West St. Germain Street
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St. Cloud, MN 56301
SDouvier@afscme65.org
218-885-3242 Ext 201
320-640-0135

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From: [Zoll, David J.](#)
To: [Olson, Andrew \(CFB\)](#)
Cc: [Nauen, Charles N.](#); [Potteiger, Heather N.](#)
Subject: Mahoney for House Committee - Reg. No. 15823
Date: Friday, September 24, 2021 5:05:04 PM

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Andrew,

I am writing on behalf of the Mahoney for House Committee to request a downward balance adjustment of \$400.17. As explained in my July 7, 2021 letter, the 2020 Year-End Report shows a balance of \$3,410.59 which is \$400.17 of higher than the December 31, 2020 balance of \$3,010.42 in the Committee's checking account. This minor discrepancy predates the 2019 Year End Report and amounts to an average discrepancy of only \$18.18 per year for the 22 years since the Committee was formed.

As you know, Representative Mahoney retired from the legislature following the 2020 session and the Committee is being dissolved. We request this balance adjustment so that the Committee may file its final report by mid-October.

Thank you.

David J. Zoll | Attorney
LOCKRIDGE GRINDAL NAUEN P.L.L.P.
100 Washington Avenue S | Suite 2200 | Minneapolis MN 55401
O: 612-596-4028 | C: 612-719-8123 | www.locklaw.com

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From: Craig Olson <president@duluthbuildingtrades.com>
Sent: Monday, September 13, 2021 1:47 PM
To: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>
Cc: Stan Paczynski <spaczynski@bac1mn-nd.org>
Subject: Waiver Request

Duluth Building & Construction Trades Council would like to request a waiver for the late filing fee.

This fund is hardly active & we didn't realize there was a time frame to report within 24 hours of receipt in 2020 (or any year).

We may have this every 2-3 years & didn't realize it happened during that time.

Please consider our request & thank you for your help today to have us understand this portion of the Campaign Finance filing.

Dana Marciniak

Craig Olson, President
Duluth Building & Construction Trades Council
2002 London Road
Duluth MN 55812
218-724-6466
f 218-724-7359
c 218-393-2276

Jaden Partlow (Senate candidate)

From: Jaden Partlow <darthbane1997@gmail.com>
Sent: Thursday, August 26, 2021 5:14 PM
To: Olson, Andrew (CFB) <Andrew.D.Olson@state.mn.us>
Subject: Re: Waiver Request Re Late Filing Fee and Civil Penalty

I apologize sincerely for the late response, but i work fulltime to support myself and my disabled father any time im not sleeping or working. My money goes to bills and very little to luxury or leisure.

This being said, i would like to plea destitution. If you still require the fees be paid down, i can attempt to commit to a payment plan, but i am unlikely to be able to do more than 100 a month just so i can ensure my bills are paid and i can eat.

You may consider this the only response i am able to provide at this time. Again, i apologize.

Jaden C. Partlow



MINNESOTA CAMPAIGN FINANCE BOARD

DATE: September 22, 2021

TO: Board Members

FROM: Jeff Sigurdson
Executive Director

TELEPHONE: 651-539-1189

SUBJECT: Yearly Update on Reconciliation of Contributions between Registered Committees

Background

In the fall of 2013, the Star Tribune published an article describing problems found in the database of contributions to state candidates, political party units, and political committees and funds provided to the paper by the Campaign Finance and Public Disclosure Board. In particular the Star Tribune found that it could not reconcile over \$20 million dollars in contributions reported between registered committees during the years 2000 to 2012. Staff confirmed that the problems identified in the article existed, and during the remainder of 2013, all of 2014, and the first quarter of 2015, worked to reduce the number of contributions between registered entities that did not reconcile.

At the August 2015 Board meeting staff reported to the Board on the progress made in reconciling contributions, and reported on nine steps implemented by the executive director to minimize unreconciled contributions in future reporting years. The Board directed staff to stop the active reconciliation of contributions made prior to 2014, and to report annually to the Board regarding the reconciliation of contributions for the prior reporting year. This memo provides the status of the reconciliation of contributions between registered entities reported in 2020.

Reconciliation of 2020

The 2020 year-end reports of receipts and expenditures were due on February 1, 2021. The reports were processed using procedures designed to limit the number of unreconciled contributions caused by data entry errors. These procedures include double checking the data entry of paper reports by staff and requiring treasurers to submit complete amended reports if warranted.

In Table 1 the 2020 reconciliation numbers are highlighted in grey. The years 2010 – 2019 are provided for comparison.

Table 1

Year	Total Itemized Transfers Between Registered Committees	Amount Initially Not Reconciled	Percentage Initially Reconciled	Current Amount Not Reconciled	Percentage Currently Reconciled
2010	\$25,459,972	\$4,791,084	81.18%	\$31,968	99.87%
2011	\$4,087,836	\$500,960	87.75%	\$5,870	99.86%
2012	\$32,772,360	\$4,326,600	86.80%	\$19,614	99.94%
2013	\$4,506,703	\$417,657	90.73%	\$8,167	99.82%
2014	\$24,647,813	\$1,955,927	92.06%	\$30,561	99.88%
2015	\$5,125,778	\$530,272	89.65%	\$1,430	99.97%
2016	\$32,920,683	\$5,621,789	83.02%	\$20,858	99.94%
2017	\$5,548,494	\$180,393	96.69%	\$7,175	99.87%
2018	\$43,457,655	\$2,514,075	94.21%	\$10,500	99.98%
2019	\$8,015,000	\$363,378	95.47%	\$5,165	99.93%
2020	\$40,444,505	\$2,533,949	93.73%	\$3,065	99.99%
Totals	\$226,986,799	\$23,736,084	89.54%	\$144,373	99.94%

The reconciliation process takes considerable staff time to complete. Staff initially reached out informally through email to treasurers with a reconciliation issue and asked them to review their records on specific contributions. A significant number of problems were resolved through emails and subsequent amendments. Formal letters requiring a response were mailed to 343 candidate committees and 281 political committees and party units in March and April of this year. In almost all cases, amendments were secured from the donor, the recipient, or both to resolve the discrepancies. Staff is still working with five committees to resolve contributions that do not reconcile.



MINNESOTA

CAMPAIGN FINANCE BOARD

Date: September 28, 2021

To: Interested Members of the Public

From: Jeff Sigurdson, Executive Director

Telephone: 651-539-1189

Re: Advisory Opinion 454

This advisory opinion request was received on September 2, 2021. The requester is a political party unit registered with the Board. The party unit does not wish to make their request public. Therefore, the draft opinion that is provided to the public does not identify the requestor. The Board will only discuss the public version of the draft opinion during regular session.

Attachments:

Public version of draft advisory opinion 454

**State of Minnesota
Campaign Finance & Public Disclosure Board
Suite 190, Centennial Building. 658 Cedar Street. St. Paul, MN 55155-1603**

**THE FOLLOWING PUBLICATION DOES NOT IDENTIFY THE
REQUESTER OF THE ADVISORY OPINION, WHICH IS NON PUBLIC DATA
under Minn. Stat. § 10A.02, subd. 12(b)**

ADVISORY OPINION 454

SUMMARY

A political party unit may lease meeting space for use by elected members of the party and other individuals who pay a membership fee for use of the facility. The payment of a membership fee is a contribution to the party unit. The value of the facility may, in part, constitute in-kind contributions to the campaign committees of elected members.

Facts

As a representative of a registered political party unit (the party unit), you ask the Campaign Finance and Public Disclosure Board for an advisory opinion based on the following facts which were provided to the Board in a written request and through conversations with Board staff.

1. The party unit holds events for its members during the legislative session. Finding a location to hold these events, and for informal meetings by members, has been complicated by the pandemic and its related restrictions on the use of public space and the limited availability of private space.
2. The party unit intends to lease space for use by the party unit, elected members of the party, staff, and invited guests during the legislative session. The party unit will pay fair market value for use of the space.
3. The party unit views the cost of meeting space for its activities and its members as an expenditure for "office and other space" that supports the political purpose of re-electing its members.¹ The space will be used, in part, to support the development of legislation that supports the party's political agenda. Passage of legislation and development of policies that are in line with the party's goals will directly support the election of party candidates.
4. The leased space will not be open to the general public. In order to use the facility elected members of the party will be required to pay a membership fee that is specifically for access to the facility. The amount of the membership fee has not been

¹ Minnesota Statutes section 211B.12 listing permitted uses of funds collected for political purposes.

determined, but any fees collected will be used for the party unit's administrative costs. The party unit does not intend to pay for the lease of the facility through the membership fees paid by elected members of the party.

5. The party unit will offer limited memberships to individuals who are not elected office holders. The limited memberships will provide access to use the facility, but will not provide any other rights or duties within the party unit. Limited memberships will be offered at the discretion of the party unit. The cost of a limited membership may be greater than the membership fee for elected members of the party unit.
6. The party unit will not allow candidates to hold fundraisers at the facility during the legislative session.
7. Food and beverages will be available for purchase at the meeting facility at fair market value. Members will be responsible for the purchase of any food or beverage items for themselves or guests.
8. The party unit and its elected members are aware of and comply with the prohibition on contributions from lobbyists during the legislative session. See Minn. Stat. § 10A.273.
9. The party unit and its elected members are aware of and comply with the gift prohibition between lobbyists and public officials. See Minn. Stat. § 10A.071.

With this background in mind, the party unit asks the following questions.

Issue One

Does providing elected members with a meeting space result in a contribution from the party unit to the elected members which must be reported to the Board?

Opinion One

Yes. Minnesota Statutes section 10A.01, subdivision 4, defines an approved expenditure as follows:

“Approved expenditure” means an expenditure made on behalf of a candidate by an entity other than the principal campaign committee of the candidate, if the expenditure is made with the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of the candidate, the candidate’s principal campaign committee, or the candidate’s agent. An approved expenditure is a contribution to that candidate.

Minnesota Rules part 4503.0800, subpart 4, further provides, “The fair market value of shared office space or services provided to a candidate without reimbursement is a donation in kind.”

As described in the facts of the request, the membership fees paid by elected members will be applied against the administrative overhead of the party unit, and will not pay for the cost of the meeting space. For elected members, the value of the use of the facility is an approved expenditure by the party unit (a type of donation in kind) on behalf of those candidates. By definition, an approved expenditure is a contribution to the elected member's campaign committee.

The approved expenditure for use of the meeting facility is a contribution from a political party unit, and will count against the aggregate political party unit limit of the elected member's campaign committee. Because the approved expenditure counts against the political party contribution limit, if the value of the approved expenditure exceeds \$20 an elected member's campaign committee must provide a written acknowledgement to the party unit of the donation and authorizing the approved expenditure at a set amount.²

In determining the value of the meeting facility to elected members the party unit should subtract from the cost paid for the leased space and any associated costs for operating the facility the value to the party unit of holding its meetings at the location. The remaining cost is a benefit that then would be allocated among the members.

The party unit will report the value of the use of the facility as a contribution to the elected members' campaign committees. The donation will be itemized if the value of the membership is over \$200, or if the value of the membership combined with any other donation made by the party unit to the elected member during the calendar year exceeds \$200. The elected member will also report the donation in kind from the party unit on the schedule for party unit contributions, again itemizing the contribution if the value is over \$200 either individually or in aggregate with other contributions from the party unit. A donation in kind is also reported as either a campaign expenditure or a non-campaign disbursement during the same reporting period in which it is received.³

Issue Two

Does the purchase of a limited membership by a non-elected individual result in a contribution to the party unit?

Opinion Two

Yes. The membership dues are payments for services provided by the party unit, and the party unit is free to use the membership dues for any political purpose. The payment of dues, regardless of whether paid for by an elected or limited member, will be reported as contributions during the reporting period in which the payment is received. Itemization of the donation will occur if the member's dues exceed \$200 in a calendar year, or if the dues in combination with other contributions to the party unit exceed \$200 during the calendar year.

² Minnesota Statutes section 10A.17, subdivision 2

³ Minnesota Statutes section 10A.20, subdivision 3, (c) and (h)

The Board notes that Minnesota Statutes section 10A.271 provides that a political party unit that sells goods or services must provide notice to the purchaser that the payment for the item is a political contribution.

Issue Three

If a limited membership is purchased by a registered lobbyist prior to the beginning of the legislative session, will use of the membership during the session result in a violation of the sessional contribution prohibition?

Opinion Three

No. The sessional contribution prohibition applies only to soliciting or accepting a contribution from a registered lobbyist during a regular session of the legislature. A “regular session” starts at 12:00 a.m. on the first day of each annual session and ends at 11:59 p.m. on the last day of each annual session.⁴

Although access to the meeting facility will be provided during a regular legislative session, the contribution occurs when payment of the membership dues is physically received by the party unit, or if the party unit accepts payment of membership dues through electronic means, on the date when the lobbyist makes the contribution.⁵

Lobbyists who purchase limited memberships should do so with personal funds. If the association that the lobbyist represents directly pays for the membership, or reimburses the lobbyist for the membership dues, the result will either be a prohibited corporate contribution to the party unit,⁶ or a contribution from an unregistered association that may require underlying disclosure of the source of funds used to pay the membership dues.⁷

Issued: October 6, 2021

Stephen Swanson, Chair
Campaign Finance and Public Disclosure Board

⁴ Minnesota Statutes section 10A.273, subdivision 3

⁵ Minnesota Statutes section 10A.15, subdivision 2a, paragraphs (b), (c)

⁶ Minnesota Statutes section 211B.15, subdivision 2

⁷ Minnesota Statutes section 10A.27, subdivision 13

**CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD
October 2021**

ACTIVE FILES

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Personally Served	Default Hearing Date	Date Judgment Entered	Case Status
Sandra (Sandi) Blaeser		2018 Public Official Statement of Economic Interest 2019 Public Official Statement of Economic Interest	\$100 LFF and \$1,000 CP \$100 LFF and \$1,000 CP	9/11/20	6/3/21			
Chilah Brown Michele Berger	Brown (Chilah) for Senate	Unfiled 2016 Year- End Report of Receipts and Expenditures Unpaid late filing fee on 10/31/16 Pre- General Election Report	\$1,000 LFF \$1,000 CP \$50 LFF	3/6/18	8/10/18 1/8/21 2/18/21	11/15/21 (summary judgment)		
Alyssa Eichman	Swing Right PAC	Unfiled 2018 Year- End Report of Receipts and Expenditures Late Filing of 2018 Pre-General Report due 10/29/18; filed on 10/30/18	\$1,000 LFF \$1,000 CP \$50 LFF	10/5/20	2/23/21 3/6/21	9/24/21		Court granted default judgment at 9/24 hearing

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Personally Served	Default Hearing Date	Date Judgment Entered	Case Status
Marcus Harcus	MN Campaign for Full Legalization	Original Statement of Economic Interest, due 6/16/20	\$100 LFF \$1,000 CP	10/5/20	4/27/21			Payment plan established. Board requested hold until fees and penalties are paid.
		Lobbyist Disbursement Report due 6/15/20	\$1,000 LFF \$1,000 CP					
		Lobbyist Disbursement Report due 1/15/20	\$1,000 LFF \$1,000 CP					
		Late Filing of Lobbyist Disbursement Report due 1/15/19; filed on 6/16/19	\$1,000 LFF					
		Late Filing of Lobbyist Disbursement Report due 6/15/18, filed on 6/27/18	\$200 LFF					
		Annual Lobbyist Principal Report, due 3/16/20	\$1,000 LFF \$1,000 CP					
Beau Hullerman		Candidate Statement of Economic Interest due 6/16/20	\$100 LFF \$1,000CP	9/23/20	6/18/21			
Tim Johnson		Candidate Statement of Economic Interest due 6/16/20	\$100 LFF \$1,000CP	9/11/20	8/9/21			

