State of Minnesota

Campaign Finance & Public Disclosure Board First Floor South, Centennial Building . 658 Cedar Street . St. Paul, MN 55155-1603

THE FOLLOWING PUBLICATION DOES NOT IDENTIFY THE REQUESTER OF THE ADVISORY OPINION, WHICH IS NONPUBLIC DATA under Minn. Stat. § 10A.02, subd. 12(b)

RE: Definition of Lobbyist Principal

ADVISORY OPINION 297

SUMMARY

A political subdivision is not included in the categories of entities which may be lobbyist principals under Minnesota Statutes Chapter 10A.

FACTS

As a public official, you ask the Campaign Finance and Public Disclosure Board (Board) for an advisory opinion based on the following facts:

- 1. You are a member of the legislature.
- 2. You have been invited to take a trip to learn about a project with which you are involved in the legislature.
- 3. A Minnesota county is willing to pay your expenses for this trip.
- 4. The County has lobbyists registered with the Board.
- 5. No lobbyist has requested the county to make this trip available to you or to pay your expenses for it.

ISSUE

Is a gift to an official from a Minnesota county which retains a lobbyist a prohibited gift from a lobbyist principal?

OPINION

No. A Minnesota county is not a lobbyist principal; thus, a gift from a Minnesota county to an official is not a prohibited gift under Minn. Stat. § 10A.071 as long as the gift was not requested by a lobbyist.

A Minnesota county is a "political subdivision" as defined in Minn. Stat. § 10A.01, subd. 27.

Under Minn. Stat. § 10A.01, subd. 28, a "lobbyist principal means an <u>individual or association</u> . . . " (emphasis added).

In 1990, when the above definition of lobbyist principal was established, the definition of lobbyist was also revised. The legislature defined a lobbyist principal as "an individual or association . . . ". On the other hand, a lobbyist was defined as a person engaged by an "individual, association, political subdivision or public higher education system. . .".

Principles of statutory interpretation require that meaning be given to each word or phrase used in a statute. If the definition of "association" includes political subdivisions and public higher education systems, then those phrases in the definition of a lobbyist are redundant and have no meaning. The Board concludes that a political subdivision is something different from an individual or association.

A lobbyist principal must be "an individual or association". A political subdivision is neither; thus, a political subdivision is not a lobbyist principal even though it may have a lobbyist. The gift described in this request is not prohibited because the gift prohibition of Minn. Stat. § 10A.071 applies only to gifts given or requested by lobbyists or lobbyist principals. (The Board reached a similar conclusion with regard to public higher education systems in Advisory Opinion 224.)

Issued: July 24, 1998

Carolyn Rodriguez, Chair

Carolyn Rodinguez, Chair Campaign Finance and Public Disclosure Board

CITED STATUTES

10A.01 DEFINITIONS.

. . .

Subd. 3. "Association" means business, corporation, firm, partnership, committee, labor organization, club, or any other group of two or more persons, which includes more than an immediate family, acting in concert.

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Subd. 11. (a) "Lobbyist" means an individual:

(1) engaged for pay or other consideration, or authorized to spend money by another individual, association, political subdivision, or public higher education system . . .

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Subd. 27. Political subdivision. "Political subdivision" means the metropolitan council, a metropolitan agency as defined in section 473.121, subdivision 5a, a municipality as defined in section 471.345, subdivision 1, the Minnesota state high school league, and Minnesota Technology, Inc.

Subd. 28. Principal. "Principal" means an individual or association . . .

10A.071 CERTAIN GIFTS BY LOBBYISTS AND PRINCIPALS PROHIBITED. Subdivision 1. **Definitions.**

(a) The definitions in this subdivision apply to this section.

(b) "Gift" means money, real or personal property, a service, a loan, a forbearance or forgiveness of indebtedness, or a promise of future employment, that is given and received without the giver receiving consideration of equal or greater value in return.

(c) "Official" means a public official, an employee of the legislature, or a local official of a metropolitan governmental unit.

Subd. 2. **Prohibition.** A lobbyist or principal may not give a gift or request another to give a gift to an official.An official may not accept a gift from a lobbyist or principal.

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