STATE OF MINNESOTA CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

Findings In The Matter of the Acceptance of a Prohibited Contribution During the 2010 Legislative Session from Ernest Lehmann, Registered Lobbyist, to the Patricia Anderson for State Auditor Committee

Summary of the Facts

Minnesota Statutes, section 10A.273, subdivision 1(a), prohibits a candidate for a constitutional office or the candidate's principal campaign committee from soliciting or accepting a contribution from a registered lobbyist during a regular legislative session. A candidate that violates this section is subject to a civil penalty of up to \$1,000.

Minnesota Statutes, section 10A.273, subdivision 1(b), prohibits a registered lobbyist from making a contribution to a candidate for legislature or for constitutional office, or the candidate's principal campaign committee during a regular legislative session. A lobbyist who violates this section is subject to a civil penalty imposed by the Campaign Finance and Public Disclosure Board ("the Board") of up to \$1,000.

Minnesota Statutes, Section 10A.15, subdivision 5, prohibits a registered lobbyist from making a contribution without providing the lobbyist's name and registration number on the check used to make the contribution. A lobbyist who violates this section is subject to a civil penalty imposed by the Board of up to \$1,000.

The 2010 pre-general election Report of Receipts and Expenditures filed with the Board by the Patricia Anderson for State Auditor Committee (the "Committee") disclosed a \$100 contribution from Ernest Lehman, a registered lobbyist, on April 25, 2010. The 2010 Legislative Session was held from February 4 through May 17, 2010.

In response to a Board inquiry, Doug Anderson, treasurer, confirmed that the Committee received the contribution during the 2010 Legislative Session. He further states that the Committee was unaware that Mr. Lehmann was a registered lobbyist and the lobbyist registration number was not included with the contribution. The Committee has returned \$100 to Mr. Lehmann and provided a copy of the check and letter used to return the contribution.

In a letter dated December 13, 2010, Ernest Lehmann confirmed making the contribution during the legislative session.

This matter was considered by the Board in executive session on January 10, 2011. The Board's decision is based on the correspondence received from Doug Anderson, Ernest Lehmann, and Board records.

Based on the information outlined in the above Summary of the Facts and Relevant Statutes, the Board makes the following:

Finding Concerning Probable Cause

- 1. There is probable cause to believe that Ernest Lehmann violated Minnesota Statutes, section 10A.273, subdivision 1(b) by contributing to the Patricia Anderson for State Auditor Committee during the 2010 regular legislative session.
- There is probable cause to believe that the Patricia Anderson for State Auditor Committee violated Minnesota Statutes, section 10A.273, subdivision 1(a), when the committee accepted the contribution from Mr. Lehmann during the 2010 regular legislative session.
- 3. The Board finds that there is probable cause to believe that Mr. Lehmann violated Minnesota Statues, section 10A.15, subdivision 5, when he failed to indicate his lobbyist registration number on the contribution to the Patricia Anderson for State Auditor Committee.
- 4. There is probable cause to believe that the Patricia Anderson for State Auditor Committee accepted the contribution from Mr. Lehmann because there was no registration number to identify the donor as a lobbyist.

Based on the above Findings Concerning Probable Cause, the Board issues the following:

ORDER

- 1. The Board imposes no civil penalty on the Patricia Anderson for State Auditor Committee for acceptance of a contribution from Mr. Lehmann during the regular 2010 legislative session.
- 2. The Board imposes a civil penalty of \$100, which is one times the amount of the contribution, on Ernest Lehmann, for contributing to a principal campaign committee during the 2010 legislative session in violation of Minnesota Statutes, section 10A.273, subdivision 1(b).
- The Board imposes an additional civil penalty of \$100 on Ernest Lehmann, for failure to provide a lobbyist registration number on the check used to make the contribution to the Patricia Anderson for State Auditor Committee in violation of Minnesota Statues, section 10A.15, subdivision 5.
- 4. Ernest Lehmann is directed to forward to the Board payment of the \$200 civil penalty, by check or money order payable to the State of Minnesota, within 30 days of receipt of this order.
- 5. If Ernest Lehmann does not comply with the provisions of this order, the Board's Executive Director may request that the Attorney General bring an action for the remedies available under Minnesota Statute, section 10A.34.

6. The Board investigation of this matter is entered into the public record in accordance with Minnesota Statutes, section 10A.02, subdivision 11. The matter is concluded.

Dated: January 10, 2011 _/s/_John Scanlon_

John Scanlon, Chair Campaign Finance and Public Disclosure Board

Relevant Statutes

- 1. Minnesota Statues section 10A.15, subdivision 5. **Registration number on checks.** A contribution made to a candidate by a lobbyist, political committee, political fund, or party unit must show the name of the lobbyist, political committee, political fund, or party unit and the number under which it is registered with the board.
- 2. Minnesota Statutes, section10A.273, subdivision 1. **Contributions during legislative session**. (a) A candidate for the legislature or for constitutional office, the candidate's principal campaign committee, or a political committee or party unit established by all or a part of the party organization within a house of the legislature, must not solicit or accept a contribution from a registered lobbyist, political committee, political fund, or dissolving principal campaign committee, or from a party unit established by the party organization within a house of the legislature, during a regular session of the legislature.