

**STATE OF MINNESOTA
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

CONCILIATION AGREEMENT

In the Matter of the DFL Senate Caucus (Registration No. 20011);

1. A routine staff review by the Minnesota Campaign Finance and Public Disclosure Board of the DFL Senate Caucus' 2013 Year-end Report of Receipts revealed two contributions which, as reported, constituted violations of Minnesota Statutes, Chapter 10A.
2. The DFL Senate Caucus reported that on February 28, 2013, it received contributions from Nancy B. Hylden in the amount of \$500 and from Sherry Roed Munyon in the amount of \$50. Ms. Hylden and Ms. Munyon are both lobbyists registered with the Board. February 28, 2013, was during the 2013 regular session of the Minnesota legislature, a time when the DFL Senate Caucus was prohibited from soliciting or accepting lobbyist contributions.
3. The DFL Senate Caucus informed the Board in a letter dated March 28, 2014, that the contributions from Ms. Hylden and Ms. Munyon were solicited by a telephone marketing firm using a list that inadvertently included these lobbyists.
4. The DFL Senate Caucus returned the prohibited contributions to Ms. Hylden and Ms. Munyon on June 20, 2014.
5. To resolve this matter informally and to avoid these violations in the future the DFL Senate Caucus agrees that it will:
 - a. Work to remove lobbyists from its solicitation lists used during a regular session of the legislature. This effort will include using the Board's list of registered lobbyists in the creation of solicitation lists. The DFL Senate Caucus will also provide the Board's list of registered lobbyists to third party vendors involved in the creation of solicitation lists so that vendors can eliminate lobbyist names from the lists.
 - b. Examine its current processes used for data entry and implement measures to ensure that lobbyist contributions received during a legislative session are returned within the 90 days allowed by statute. After completing these efforts, the DFL Senate Caucus will notify the Executive Director of the actions taken.
 - c. Train its data entry staff to recognize the prohibition on lobbyists' contributions during the legislative session.
6. The DFL Senate Caucus agrees that the Board's acceptance of this agreement constitutes the imposition of a civil penalty in the amount of \$550 against the DFL Senate Caucus for soliciting and accepting contributions that were prohibited by Minnesota Statutes section

10A.273, subdivision 1(a). The penalty is, by the terms of this agreement, stayed for a period of two years from the date the agreement is approved by the Board. If the DFL Senate Caucus violates Minnesota Statutes section 10A.273 within two years of the date the agreement is approved by the Board, the civil penalty is due immediately. If the DFL Senate Caucus does not violate Minnesota Statutes section 10A.273 within two years of the date the agreement is approved by the Board, the civil penalty is waived.

7. If the DFL Senate Caucus does not comply with the provisions of this agreement, this matter may be reopened by the Board and the Board may take such actions as it deems appropriate.

/s/ Thomas Kukielka Dated: September 15, 2014
Thomas Kukielka, Treasurer
DFL Senate Caucus

/s/ Deanna Wiener Dated: October 7, 2014
Deanna Wiener, Chair
Campaign Finance and Public Disclosure Board