STATE OF MINNESOTA CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

CONCILIATION AGREEMENT

In the matter of the Senator (LeRoy) Stumpf Reelection Committee (11572);

Pursuant to Minnesota Statutes section 10A.28, subdivision 3, the Campaign Finance and Public Disclosure Board and Senator LeRoy Stumpf (the Candidate) agree as follows:

1. The Senator Stumpf Reelection Committee (the Committee) is the principal campaign committee of Senator LeRoy Stumpf. On its 2013 amended year-end Report of Receipts and Expenditures and its 2014 amended year-end Report of Receipts and Expenditures, the Committee reported that it had accepted \$6,875 in total contributions from special sources. These sources include registered lobbyists, from whom the Committee accepted \$2,925, and political committees or political funds, from which the Committee accepted \$3,950. The total amount of these contributions exceeds the applicable limit on aggregate contributions from special sources during the 2013-2014 non-election segment, which for a state senate candidate is \$6,000. The \$875 that exceeded the limit was not returned within 90 days, and was therefore deemed accepted under Minnesota Statutes section 10A.15, subdivision 3.

2. In letters received by the Board on March 12, 2015, and on July 23, 2015, the Committee's treasurer provided to the Board copies of the checks returning the excess contributions.

3. Board records show that this is the first instance in which the Committee reported acceptance of contributions that exceeded the special source limit. The Committee registered with the Board on July 29, 1982.

4. The parties agree that the Committee accepted excessive contributions from special sources in violation of Minnesota Statutes section 10A.27, subdivision 11, in the 2013-2014 non-election segment.

5. To resolve this matter informally, and to avoid these violations in the future, the Candidate and the Committee agree that they will:

a. Begin filing reports electronically in 2016 using the Campaign Finance Reporter software, unless a new electronic filing waiver has been granted by the Board.

b. And, if the Committee files reports electronically:

i. Ensure that the Committee's treasurer reviews and enters contributions into the Campaign Finance Reporter software within 60 days of receipt;

ii. Run a batch compliance report after each time contributions are entered and take steps to remedy any violations the software identifies so that problems may be resolved within the 90 days that the statutes provide for the return of a contribution before it is deemed accepted;

iii. Update the Campaign Finance Reporter software whenever the software notifies the user that an update is available; and

iv. Contact Board technical staff to report and receive assistance with any software problems.

c. If the Committee is not filing reports electronically at that time, it will manually keep records regarding the special source limit and update and review the records every 60 days for potential violations.

6. The Candidate agrees that the Board's acceptance of this agreement constitutes the imposition of a civil penalty in the amount of \$875 against the Candidate for accepting contributions in excess of the limit imposed by Minnesota Statutes section 10A.27, subdivision 11. \$218.75 of the penalty is due within 30 days of the date the agreement is signed by both parties. \$656.25 of the penalty is, by the terms of this agreement, stayed until January 1, 2017. If the Candidate violates Minnesota Statutes section 10A.27, subdivision 11 before January 1, 2017, the outstanding civil penalty is due immediately. If the Candidate does not violate Minnesota Statutes section 10A.27, subdivision 11 within that timeframe, the outstanding civil penalty is waived.

7. If the Candidate does not comply with the provisions of this agreement, this matter may be reopened by the Board and the Board may take such actions as it deems appropriate.

| /s/ LeRoy Stumpf | |
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| Senator LeRoy Stumpf | |
| Senator Stumpf Reelection Committee | |

Dated: August 7, 2015

<u>/s/ Gary Goldsmith</u> Gary Goldsmith, Executive Director Campaign Finance and Public Disclosure Board Dated: August 5, 2015

Agreement approved by Board at meeting of <u>August 4</u>, 2015

<u>/s/ George A. Beck</u> George A. Beck, Chair Campaign Finance and Public Disclosure Board