STATE OF MINNESOTA

CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

CONCILIATION

AGREEMENT

In the matter of the (Jim) Vickerman Volunteers Committee (#11946);

Pursuant to Minnesota Statutes, section 10A.28, subdivision 3, the Campaign Finance and Public Disclosure Board and Senator James Vickerman (hereinafter referred to as "the Candidate") hereby agree as follows:

- 1. During 2006, the (Jim) Vickerman Volunteers Committee ("the Committee") accepted \$12,175 in contributions from special sources. These sources include registered lobbyists from whom the Committee accepted \$2,725, political committees or political funds from which the Committee accepted \$8,250 in cash and a \$200 in-kind contribution, and \$1,000 from large givers. The total amount of these contributions exceeded by \$175 the applicable limit on aggregate contributions from special sources, which for a state senate candidate was \$12,000. The amount of \$175 in excess contributions was not returned within 60 days as required by Minnesota Statutes, section 10A.15, subdivision 3.
- 2. In correspondence dated November 13, 2006, Wava Vickerman, treasurer, stated "I was unaware of the \$200 in-kind or how that would count the same as a check...I have always tried to be sure that our records are clear and correct."

- 3. Board records show that this is the first calendar year in which the Committee reported acceptance of contributions that exceeded the applicable aggregate contribution limit. The Committee registered with the Board on April 30, 1986.
- 4. The parties agree that the Committee accepted excessive contributions from special sources resulting in an inadvertent violation of Minnesota Statutes, section 10A. 27, subdivision 11, in calendar year 2006.
- 5. The Candidate hereby agrees to return to contributors described in paragraph 1 an amount sufficient to bring the committee in compliance with Minnesota Statutes, section 10A.27, subdivision 11. Copies of the check(s) and the accompanying letter(s) returning the excess amount must be forwarded to the Board within 30 days after the date this Conciliation Agreement is signed by the Board chair.
- 6. The Board imposes a civil penalty of \$175, one times the amount by which the contributions exceeded the applicable limit, to be paid to the Board for deposit in the general fund of the state.
- 7. The Candidate hereby agrees to forward to the Board \$175 by check or money order payable to the STATE OF MINNESOTA within 30 days after the date this Agreement is signed by the Board chair. It is agreed by the parties that payment of the civil penalty of \$175, and this Conciliation Agreement will be a bar to any civil proceeding under Minnesota Statutes, section 10A.28, subdivisions 3 and 4.
- 8. It is further understood and agreed, however, that if the civil penalty of \$175 is not paid within the time specified in paragraph 7 above, then the Candidate will be personally liable to pay a civil penalty, under Minnesota Statutes, sections 10A.28 and 10A.34, subdivision 1, in an amount calculated as follows:

(a) \$350 which is two times the amount by which the contributions exceeded the statutory limit,

if payment is received 31 to 60 days after the date this Agreement is signed by the Board Chair;

(b) \$525 which is three times the amount by which the contributions exceeded the statutory

limit, if payment is received 61 to 90 days after the date this Agreement is signed by the Board

Chair;

(c) \$700 which is four times the amount by which the contributions exceeded the statutory

limit, if payment is received more than 90 days after the date this Agreement is signed by the Board

Chair.

9. It is further understood and agreed that this Agreement is confidential until signed by the Candidate

and the Board Chair; the signed Agreement then shall become a matter of public record, and the statutory

requirement of confidentiality shall no longer apply. Minnesota Statutes, sections 10A.02, subdivision 11

and 10A.28, subdivision 3.

Senator James Vickerman

Approved by the Campaign Finance and Public Disclosure Board

Bob Milbert, Chair

Campaign Finance and Public Disclosure Board