STATE OF MINNESOTA

CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

CONCILIATION

AGREEMENT

In the matter of the Friends of Tom Bakk Committee (#15629);

Pursuant to Minnesota Statutes, section 10A.28, subdivision 3, the Campaign Finance and Public Disclosure Board and Senator Tom Bakk (hereinafter referred to as "the Candidate") hereby agree as follows:

- 1. During 2006, the Friends of Tom Bakk Committee ("the Committee") accepted \$12,125 in contributions from special sources. These sources include registered lobbyists from whom the Committee accepted \$2,825, and political committees or political funds from which the Committee accepted \$9,300 in cash contributions. The total amount of these contributions exceeded by \$125 the applicable limit on aggregate contributions from special sources, which for a state senate candidate was \$12,000. The Committee accepted a \$125 contribution from a lobbyist who did not disclose the lobbyist registration number with the contribution. The amount of \$125 in excess contributions was not returned within 60 days as required by Minnesota Statutes, section 10A.15, subdivision 3.
- 2. In correspondence dated February 8, 2007, Senator Bakk, stated "After reviewing the records of my principal campaign, it appears we inadvertently listed this donation as being received from an individual due to the fact that no registration number was listed on the check we received and I did not realize the individual who sent the contribution was registered with the Board."

- 3. Board records show that this is the first calendar year in which the Committee reported acceptance of contributions that exceeded the applicable aggregate contribution limit. The Committee registered with the Board on April 2, 2002.
- 4. The parties agree that the Committee accepted excessive contributions from special sources resulting in an inadvertent violation of Minnesota Statutes, section 10A. 27, subdivision 11, in calendar year 2006.
- 5. The Candidate hereby agrees to return to contributors described in paragraph 1 an amount sufficient to bring the committee in compliance with Minnesota Statutes, section 10A.27, subdivision 11. Copies of the check(s) and the accompanying letter(s) returning the excess amount must be forwarded to the Board within 30 days after the date this Conciliation Agreement is signed by the Board chair.
- 6. The Board imposes no civil penalty on the Committee because there was no registration number to identify a special source donor as a lobbyist.
- 7. It is agreed by the parties that providing the copies specified in paragraph 5, and this Conciliation Agreement will be a bar to any civil proceeding under Minnesota Statutes, section 10A.28, subdivisions 3 and 4.
- 8. It is further understood and agreed, however, that if the copies of the check(s) and letter(s) are not provided within the time specified in paragraph 5 above, then the Candidate will be personally liable to pay a civil penalty, under Minnesota Statutes, sections 10A.28 and 10A.34, subdivision 1, in an amount calculated as follows:

(a) \$125 which is one times the amount by which the contributions exceeded the statutory limit, if the copies specified in paragraph 5 are not received within 30 days after the date this Agreement is signed by the Board Chair:

(b) \$250 which is two times the amount by which the contributions exceeded the statutory limit, if payment is received 61 to 90 days after the date this Agreement is signed by the Board Chair;

(c) \$375 which is three times the amount by which the contributions exceeded the statutory limit, if payment is received more than 90 days after the date this Agreement is signed by the Board Chair.

9. It is further understood and agreed that this Agreement is confidential until signed by the Candidate and the Board Chair; the signed Agreement then shall become a matter of public record, and the statutory requirement of confidentiality shall no longer apply. Minnesota Statutes, sections 10A.02, subdivision 11 and 10A.28, subdivision 3.

Dated: 3-23-07

Dated: 3-29.07

Senator Tom Bakk

Approved by the Campaign Finance and Public Disclosure Board

Felicia Boyd, Chair

Campaign Finance and Public Disclosure Board