### STATE OF MINNESOTA CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

## FINDINGS AND ORDER IN THE MATTER OF A CONTRIBUTION MADE WITHOUT A LOBBYIST REGISTRATION NUMBER BY BRIAN RICE

## Summary of the Facts

In response to an inquiry from the Campaign Finance and Public Disclosure Board ("the Board"), the People for (Rick) Hansen Committee stated that the committee received a contribution of \$100 from Brian Rice on January 1, 2007. The committee provided the Board with a copy of the check used to make the contribution. Mr. Rice made the contribution without providing his lobbyist registration number on the check. Mr. Rice first registered with the Board as a lobbyist in 1986.

Minnesota Statutes, Section 10A.15, subdivision 5, prohibits a registered lobbyist from making a contribution without providing the lobbyist's name and registration number. A lobbyist that violates this section is subject to a civil penalty imposed by the Board of up to \$1,000.

By letter dated February 28, 2008, the Board notified Brian Rice of the correspondence received from the People for (Rick) Hansen Committee and the statutory provision that appeared to be violated. By letter dated March 21, 2008, Mr. Rice provided that, "It has been my practice in the past to include my lobbyist registration number in the memo section of any checks when I contribute to candidates for state office. Apparently, in this case, I inadvertently failed to provide my lobbyist registration number in the memo section."

This matter was considered by the Board in executive session on April 15, 2008. The Findings are based on the correspondence from Brian Rice, the People for Rick Hansen Committee, and Board records.

Based on the above Summary of the Facts and Relevant Statutes, the Board makes the following:

## Findings Concerning Probable Cause

There is evidence that Brian Rice failed to indicate his lobbyist registration number on a contribution to the People for (Rick) Hansen Committee. The Board finds that there is probable cause to believe that Mr. Rice inadvertently violated Minnesota Statues, section 10A.15, subdivision 5.

## Based on the above Findings, the Board issues the following:

#### Order

- 1. For failure to provide a lobbyist registration number on or with the contribution the Board orders Brian Rice to pay a civil penalty of \$100, which is one times the amount of the contribution. This civil penalty must be paid within 30 days.
- 2. If Brian Rice does not comply with the provisions of this order, the Board's Executive Director shall refer this matter to the Ramsey County Attorney for civil enforcement pursuant to Minnesota Statutes, section 10A.273.
- 3. The Board investigation of this matter is entered into the public record in accordance with Minnesota Statutes, section 10A.02, subdivision 11, and upon payment by Brian Rice of the civil penalties imposed herein, the matter is concluded.

Dated: April 15, 2008

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Sven Wehrwein, Chair Campaign Finance and Public Disclosure Board

## **Relevant Statutes**

Minnesota Statues section 10A.15, subdivision 5. **Registration number on checks.** A contribution made to a candidate by a lobbyist, political committee, political fund, or party unit must show the name of the lobbyist, political committee, political fund, or party unit and the number under which it is registered with the board.

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