

Minnesota

# ***Campaign Finance and Public Disclosure Board Meeting***

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Wednesday, October 7, 2020  
10:00 A.M.  
Conducted remotely via Webex due to COVID-19 pandemic

## **REGULAR SESSION AGENDA**

1. Approval of minutes
  - a. September 9, 2020
2. Chair's report
  - a. 2020 meeting schedule
3. Executive director report
  - a. Report on political contribution refunds issued in 2019
  - b. Update on legislative recommendations for lobbying program
4. Enforcement report
5. Prima facie determination
6. Legal report
7. Other business

## **EXECUTIVE SESSION**

Immediately following regular session



**STATE OF MINNESOTA  
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

.....  
**September 9, 2020**

**Meeting conducted remotely though Webex due to COVID-19 pandemic**

.....  
**MINUTES**

The meeting was called to order by Chair Haugen.

Members present: Flynn, Haugen, Leppik, Rosen, Swanson

Members absent: Rashid

Others present: Sigurdson, Engelhardt, Olson, Pope, staff; Hartshorn, counsel

**MINUTES** (August 14, 2020)

After discussion, the following motion was made:

Member Flynn's motion:

To approve the August 14, 2020, minutes as drafted.

Vote on motion:

A roll call vote was taken. All members voted in the affirmative.

**CHAIR'S REPORT**

**A. 2020 meeting schedule**

After discussion, members agreed that future meetings will begin at 10:00 a.m. The next Board meeting is scheduled for 10:00 a.m. on Wednesday, October 7, 2020.

**EXECUTIVE DIRECTOR REPORT**

Mr. Sigurdson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Mr. Sigurdson told members that campaign finance reports for political committees and funds, state party units, legislative caucuses, and appellate court judicial candidates were due on September 22, 2020. Mr. Sigurdson also said that nearly 50 people had attended a compliance training class offered through Webex. Mr. Sigurdson said that given the popularity of the online classes, staff would continue to offer these trainings in the future.

### **A. Post primary public subsidy payments**

Mr. Sigurdson told members that the post primary public subsidy payments had been issued. Mr. Sigurdson then briefly reviewed the total amounts issued and explained how the payments varied depending on the candidate's party, location, and office sought.

### **B. 2019 Lobbyist Summary**

Mr. Sigurdson told members that the 2019 Lobbyist Summary had been issued. Mr. Sigurdson said that the summary contained 150 pages of information about the amounts spent on lobbying in 2019. Mr. Sigurdson briefly reviewed how the Board's legislative recommendations could change the information presented in the summary and on the Board's website. Mr. Sigurdson stated that he would be meeting with the Minnesota Governmental Relations Council on September 11, 2020, to discuss the legislative recommendations.

## **ENFORCEMENT REPORT**

### **A. Discussion items – Requests to refer matters to the Attorney General's Office**

#### **1. Swing Right PAC**

Mr. Olson told members that the Swing Right PAC (41214) was an independent expenditure political committee that had registered with the Board in October 2018. The committee filed a 2018 pre-general report on October 30, 2018, disclosing \$8,000 in receipts and \$962.50 in expenditures, leaving a cash balance of \$7,037.50. Mr. Olson said that the committee had failed to file year-end reports covering 2018 and 2019 and had not filed any reports covering 2020. Mr. Olson stated that the committee had incurred a \$1,000 late filing fee and a \$1,000 civil penalty for the 2018 year-end report, as well as a \$50 late filing fee for the 2018 pre-general report. Multiple letters mailed to the address listed on the committee's registration statement for Alyssa Eichman, the committee's treasurer and chair, had been returned as undeliverable. Mr. Olson said that a letter had been mailed to what staff believed to be Ms. Eichman's current address on July 1, 2020, but no response had been received. Mr. Olson said that staff was asking the Board to refer the matter to the Attorney General's Office to seek an order compelling filing of a 2018 year-end report and any additional reports that were required to be filed as well as payment of the balance owed.

#### **2. Marcus Harcus (House candidate and lobbyist) and MN Campaign for Full Legalization (lobbyist principal)**

Mr. Olson told members that Mr. Harcus had filed his affidavit of candidacy on June 2, 2020. His candidate statement of economic interest due June 16, 2020, had not been filed. Mr. Olson said that Mr. Harcus had incurred the maximum late filing fee of \$100 and the maximum civil penalty of \$1,000 for the EIS. Multiple notices had been sent to Mr. Harcus regarding the need to file the EIS.

Mr. Olson said that Mr. Harcus also had failed to file his lobbyist disbursement reports that were due June 15, 2020, and January 15, 2020. He had incurred the maximum late filing fee of \$1,000 and the

maximum civil penalty of \$1,000 for each of those reports. Mr. Olson stated that Mr. Harcus also owed late filing fees of \$1,000 for his lobbyist disbursement report due January 15, 2019, and \$200 for his lobbyist disbursement report due June 15, 2018. The Board previously had agreed to reduce the amount of \$1,200 to \$200, contingent upon payment of the remaining balance, but no payment had been received from Mr. Harcus.

Mr. Olson said that Mr. Harcus also was the executive director and sole lobbyist of lobbyist principal MN Campaign for Full Legalization, which had failed to file its 2019 annual report of lobbyist principal. The principal had incurred the maximum late filing fee of \$1,000 and the maximum civil penalty of \$1,000 for that report. Mr. Olson stated that the principal also owed a late filing fee of \$275 for its 2018 annual report of lobbyist principal. The Board previously had agreed to reduce the amount of \$275 to \$200, contingent upon payment of the remaining balance, but no payment had been received.

Mr. Olson said that staff was asking the Board to refer the matter to the Attorney General's Office to seek an order compelling filing of the EIS, the two lobbyist disbursement reports, and the report of lobbyist principal, as well as payment of the balance owed.

### **3. Jaden Partlow (Senate candidate)**

Mr. Olson told members that Mr. Partlow had filed his affidavit of candidacy on June 1, 2020. His candidate statement of economic interest due June 15, 2020, had not been filed. Mr. Olson said that Mr. Partlow had incurred the maximum late filing fee of \$100 and the maximum civil penalty of \$1,000 for the EIS. Multiple notices had been sent to Mr. Partlow regarding the need to file the EIS. Mr. Olson stated that staff was asking the Board to refer the matter to the Attorney General's Office to seek an order compelling filing of the EIS and payment of the balance owed.

### **4. Jenny Rhoades (Senate candidate)**

Mr. Olson told members that Ms. Rhoades had filed her affidavit of candidacy on June 1, 2020. Her candidate statement of economic interest due June 15, 2020, had not been filed. Mr. Olson said that Ms. Rhoades had incurred the maximum late filing fee of \$100 and the maximum civil penalty of \$1,000 for the EIS. Multiple notices had been sent to Ms. Rhoades regarding the need to file the EIS. Mr. Olson stated that staff was asking the Board to refer the matter to the Attorney General's Office to seek an order compelling filing of the EIS and payment of the balance owed.

### **5. Kelly Gunderson (House candidate)**

Mr. Olson told members that Ms. Gunderson had filed her affidavit of candidacy on June 2, 2020. Her candidate statement of economic interest due June 16, 2020, had not been filed. Mr. Olson said that Ms. Gunderson had incurred the maximum late filing fee of \$100 and the maximum civil penalty of \$1,000 for the EIS. Multiple notices had been sent to Ms. Gunderson regarding the need to file the EIS. Mr. Olson stated that staff was asking the Board to refer the matter to the Attorney General's Office to seek an order compelling filing of the EIS and payment of the balance owed.

**6. Beau Hullermann (House candidate)**

Mr. Olson told members that Mr. Hullermann had filed his affidavit of candidacy on June 2, 2020. His candidate statement of economic interest due June 16, 2020, had not been filed. Mr. Olson said that Mr. Hullermann had incurred the maximum late filing fee of \$100 and the maximum civil penalty of \$1,000 for the EIS. Multiple notices had been sent to Mr. Hullermann regarding the need to file the EIS. Mr. Olson stated that staff was asking the Board to refer the matter to the Attorney General’s Office to seek an order compelling filing of the EIS and payment of the balance owed.

**7. Tim Johnson (House candidate)**

Mr. Olson told members that Mr. Johnson had filed his affidavit of candidacy on June 2, 2020. His candidate statement of economic interest due June 16, 2020, had not been filed. Mr. Olson said that Mr. Johnson had incurred the maximum late filing fee of \$100 and the maximum civil penalty of \$1,000 for the EIS. Multiple notices had been sent to Mr. Johnson regarding the need to file the EIS. Mr. Olson stated that staff was asking the Board to refer the matter to the Attorney General’s Office to seek an order compelling filing of the EIS and payment of the balance owed.

After discussion, the following motion was made:

Member Leppik’s motion: To refer the matters in discussion items one through seven to the attorney general.

Vote on motion: A roll call vote was taken. All members voted in the affirmative.

**B. Waiver requests**

Name of Candidate or Committee	Late Fee & Civil Penalty Amount	Reason for Fine	Factors for waiver and recommended action	Board Member’s Motion	Motion	Vote on Motion
Southeast Metro Business PAC (40746)	\$750 LFF	2020 Pre-primary	Treasurer emailed Google Drive link to paper report before due date, but staff could not access report. Treasurer resent email thinking report was attached. After realizing that staff still had not received report treasurer promptly emailed it as attachment. Report was due 7/27/2020 and was filed on 8/17/2020. Committee reported cash balance of \$176 as of 7/20/2020. RECOMMENDED ACTION: Waive	Member Rosen	To approve the staff recommendation.	A roll call vote was taken. All members voted in the affirmative.

<p>Richfield RPM        City Committee        (20822)</p>	<p>\$750        LFF</p>	<p>2020 Pre-        primary</p>	<p>Treasurer stated he mailed no-change statement 7/21/2020. Board staff has no record of receipt. After receiving notice that report due 7/27/2020 was not filed, treasurer immediately emailed no-change statement to staff 8/17/2020. Party unit reported cash balance of \$823 as of 7/20/2020 and has had no financial activity since 2012.        RECOMMENDED ACTION:        Waive</p>	<p>Member        Rosen</p>	<p>To approve the        staff        recommendation.</p>	<p>A roll call        vote was        taken. All        members        voted in the        affirmative.</p>
<p>Courtney Jordan        Baechler        (Department of        Health)</p>	<p>\$25        LFF</p>	<p>2019 Annual        EIS</p>	<p>Official left position at end of 2019 and didn't realize she needed to file annual EIS. EIS was due 1/27/2020 and was filed 2/18/2020. RECOMMENDED ACTION: Waive</p>	<p>Member        Rosen</p>	<p>To approve the        staff        recommendation.</p>	<p>A roll call        vote was        taken. All        members        voted in the        affirmative.</p>
<p>Thomas Cook        (Pioneer-Sarah        Creek WMO)</p>	<p>\$100        LFF</p>	<p>2018 Annual        EIS</p>	<p>Official was appointed to WMO as representative of Greenfield City Council. He received notice of annual EIS but thought notice did not apply to him because he had left public office at end of 2018. After Board staff contacted him and explained EIS covered 2018 and still needed to be filed, official promptly filed on 3/13/2019.        RECOMMENDED ACTION:        Waive</p>	<p>Member        Rosen</p>	<p>To approve the        staff        recommendation.</p>	<p>A roll call        vote was        taken. All        members        voted in the        affirmative.</p>
<p>Lion4House        (David Lion)        (18679)</p>	<p>\$1,000        LFF</p>	<p>2020 Pre-        primary</p>	<p>First-time treasurer attempted to file report on 7/27/20 due date by emailing report form used by local candidates to an invalid website address. After receiving notice report was not received, treasurer completed correct form and filed it 8/24/2020. Committee reported cash balance of \$75 as of 7/20/2020.        RECOMMENDED ACTION:        Waive.</p>	<p>Member        Rosen</p>	<p>To approve the        staff        recommendation.</p>	<p>A roll call        vote was        taken. All        members        voted in the        affirmative.</p>

<p>Friends for Hoang Murphy (18442)</p>	<p>\$800 LFF</p>	<p>2020 Pre-primary</p>	<p>Candidate suspended campaign in mid-June. Treasurer was granted waiver from electronic filing requirement. Candidate thought waiver eliminated need to file report. After receiving notice report due 7/27/2020 was not filed, candidate promptly filed it on 8/14/2020. Committee reported cash balance of \$5,111.32 as of 7/20/2020. RECOMMENDED ACTION: Waive</p>	<p>Member Rosen</p>	<p>To approve the staff recommendation.</p>	<p>A roll call vote was taken. All members voted in the affirmative.</p>
<p>David Aafedt (1733)</p>	<p>\$75 LFF</p>	<p>1st 2020 Lobbyist</p>	<p>Lobbyist saved report via Board's website on 6/15/2020 due date and thought it was submitted. Immediately upon learning report was not received it was filed electronically on 6/18/2020. RECOMMENDED ACTION: Waive</p>	<p>Member Rosen</p>	<p>To approve the staff recommendation.</p>	<p>A roll call vote was taken. All members voted in the affirmative.</p>
<p>Justin Bell (2304)</p>	<p>\$100 LFF</p>	<p>1st 2020 Lobbyist</p>	<p>Principal's staff working remotely due to COVID-19 and other staffing changes caused mailed reminder to go unnoticed. Report due 6/15/20 was filed 6/19/20 after staff contacted lobbyist by phone. RECOMMENDED ACTION: Waive</p>	<p>Member Rosen</p>	<p>To approve the staff recommendation.</p>	<p>A roll call vote was taken. All members voted in the affirmative.</p>
<p>Stephanie Menning (3159)</p>	<p>\$100 LFF</p>	<p>1st 2020 Lobbyist</p>	<p>Principal's vendor's staff working remotely due to COVID-19 caused delays in receiving mail and completing report. Report due 6/15/2020 was filed 6/19/2020. RECOMMENDED ACTION: Waive</p>	<p>Member Rosen</p>	<p>To approve the staff recommendation.</p>	<p>A roll call vote was taken. All members voted in the affirmative.</p>
<p>Gary Amoroso (2736)</p>	<p>\$450 LFF</p>	<p>1st 2020 Lobbyist</p>	<p>Lobbyist doesn't recall receiving reminder, Principal's staff working remotely due to COVID-19 caused delays in receiving mail, and lobbyist was in process of retiring on 6/15/2020 due date. Report was filed 7/10/2020. RECOMMENDED ACTION: Waive</p>	<p>Member Rosen</p>	<p>To approve the staff recommendation.</p>	<p>A roll call vote was taken. All members voted in the affirmative.</p>

<p>Arjun Kataria (House candidate)</p>	<p>\$100 LFF \$1,000 CP</p>	<p>Candidate EIS</p>	<p>Candidate filed affidavit of candidacy 6/2/2020, making EIS due 6/16/2020. Candidate stated that he did not realize EIS was separate from campaign finance report and thought EIS needed to be filed only if he reached \$750 threshold for registering candidate committee. EIS was filed 8/10/2020. RECOMMENDED ACTION: Waive CP leaving \$100 LFF</p>	<p>Member Rosen</p>	<p>To approve the staff recommendation.</p>	<p>A roll call vote was taken. All members voted in the affirmative.</p>
<p>66A House District RPM (20441)</p>	<p>\$700 LFF</p>	<p>2020 Pre-primary</p>	<p>Former treasurer resigned due to health reasons but party unit's registration information was not updated so notices were sent to former treasurer. Report was due 7/27/2020 and was filed 8/14/2020 by new treasurer after receiving certified letter (he was party unit's chair). Party unit reported cash balance of \$1,921 as of 7/20/2020. RECOMMENDED ACTION: Reduce to \$200</p>	<p>Member Rosen</p>	<p>To approve the staff recommendation.</p>	<p>A roll call vote was taken. All members voted in the affirmative.</p>
<p>Whitmore (Isaiah) for 59B (18505)</p>	<p>\$1,000 LFF</p>	<p>2020 Pre-primary</p>	<p>Candidate did not understand how to complete report and he works during Board's office hours so he had difficulty contacting staff for assistance. Candidate didn't attempt to contact staff until after staff contacted him to explain report was late. Report was due 7/27/2020 and was filed 8/25/2020, listing a negative ending cash balance. RECOMMENDED ACTION: Reduce to \$200</p>	<p>Member Rosen</p>	<p>To approve the staff recommendation.</p>	<p>A roll call vote was taken. All members voted in the affirmative.</p>

**C. Informational items**

**1. Payment of civil penalty for corporate contribution**

Beltrami County RPM, \$200

**2. Payment of late filing fee for June 2020 report of receipts and expenditures**

Medical Alley PAC, \$100  
St Paul Area Chamber of Commerce PAC, \$25

**3. Payment of late filing fee for 2020 1<sup>st</sup> quarter report of receipts and expenditures**

Insurance Federation Political Action Committee, \$25  
Minnesota Jobs Coalition Legislative Fund, \$25

**4. Payment of late filing fee for lobbyist disbursement report due 6/15/2020**

Julian Loscalzo, \$700 (\$175 x 4 reports)  
James Paist, \$25

**5. Payment of late filing fee for original EIS**

Leon Lillie, \$45  
Roque Diaz, \$50

**6. Payment of late filing fee for 2019 annual EIS**

Bill Rowekamp, \$55

**7. Payment of late filing fee for 2018 annual EIS**

Thomas Swaim, \$100  
Stan Wendland, \$100

**8. Payment of civil penalty for 2018 annual EIS**

Thomas Swaim, \$1,000

**LEGAL COUNSEL'S REPORT**

Mr. Hartshorn presented members with a legal report that is attached to and made a part of these minutes. Mr. Hartshorn had nothing to add to the legal report.

**OTHER BUSINESS**

There was no other business to report.

**EXECUTIVE SESSION**

The chair recessed the regular session of the meeting and called to order the executive session. Upon recess of the executive session, the chair had the following to report into regular session:

Findings, conclusions, and order in the matter of the Pelowski (Gene) Volunteer Committee

Probable cause determination in the matter of the complaint of Erik Mortensen regarding Vote for Loonan (Robert)

Probable cause determination in the matter of the complaint of Mark Liebow regarding Melin (Gary) for MN House 26A

Probable cause determination in the matter of the complaint of Mark Liebow regarding Nels (Pierson III) for House

Final audit report – Public subsidy audit

There being no other business, the meeting was adjourned by the chair.

Respectfully submitted,

Jeff Sigurdson  
Executive Director

Attachments:

Executive director's report

2019 Lobbyist Summary

Legal report

Findings, conclusions, and order in the matter of the Pelowski (Gene) Volunteer Committee

Probable cause determination in the matter of the complaint of Erik Mortensen regarding Vote for Loonan (Robert)

Probable cause determination in the matter of the complaint of Mark Liebow regarding Melin (Gary) for MN House 26A

Probable cause determination in the matter of the complaint of Mark Liebow regarding Nels (Pierson III) for House

Final audit report – Public subsidy audit





# MINNESOTA

## CAMPAIGN FINANCE BOARD

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### **Board Meeting Dates for Calendar Year 2020**

Meetings are at **10:00 A.M.** unless otherwise noted.

**2020**

Friday, November 6

Wednesday, December 2





# MINNESOTA CAMPAIGN FINANCE BOARD

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**Date:** September 30, 2020

**To:** Board Members

**From:** Jeff Sigurdson, Executive Director

**Telephone:** 651-539-1189

**Re:** Executive Director's Report

**Campaign Finance Reports:** The 4th report of receipts and expenditures for political committees, political funds, and political party state central committees and legislative caucuses was due on September 22, 2020. The Board received 376 reports by the filing deadline, which is about 90% of the reports expected. Political funds are not required to file a report if the fund had no financial activity since the last reporting period.

The next report due is the pre-general election report. This reporting period includes all candidates on the state general election ballot. The report is due October 26, 2020.

**Campaign Finance Training:** Staff conducted an evening WebEx compliance training class on September 23, 2020. About 20 candidates and treasurers attended the training, which is down considerably from the average of over 50 attendees at prior WebEx training classes. Given the decline in attendance, and because a recording of a WebEx compliance training is available for viewing on the Board's website, live training sessions will be suspended until 2021.

**Political Contribution Refunds in 2019:** The political contribution refund (PCR) program is administered by the Department of Revenue as provided in Minnesota Statutes section 290.06. The program provides that an eligible Minnesota voter who makes a cash contribution to a candidate who has signed the public subsidy agreement, or to a major or minor political party unit, may apply for a refund from the Department of Revenue. The maximum amount that may be refunded in a calendar year is \$50 per person, or \$100 per married couple. The Department of Revenue tracks refund so that no individual receives more than a \$50 refund in a calendar year.

The Campaign Finance Board provides a computer file to the Department of Revenue that lists all candidate committees that have a current public subsidy agreement on file and all political party units registered with the Board. The Department of Revenue uses that information to verify that the donor gave to a candidate or party unit eligible to issue a PCR receipt. The Board also provides paper PCR receipts to eligible candidates and party units and has developed the Campaign Finance Reporter software so that the software can be used to generate a PCR receipt.

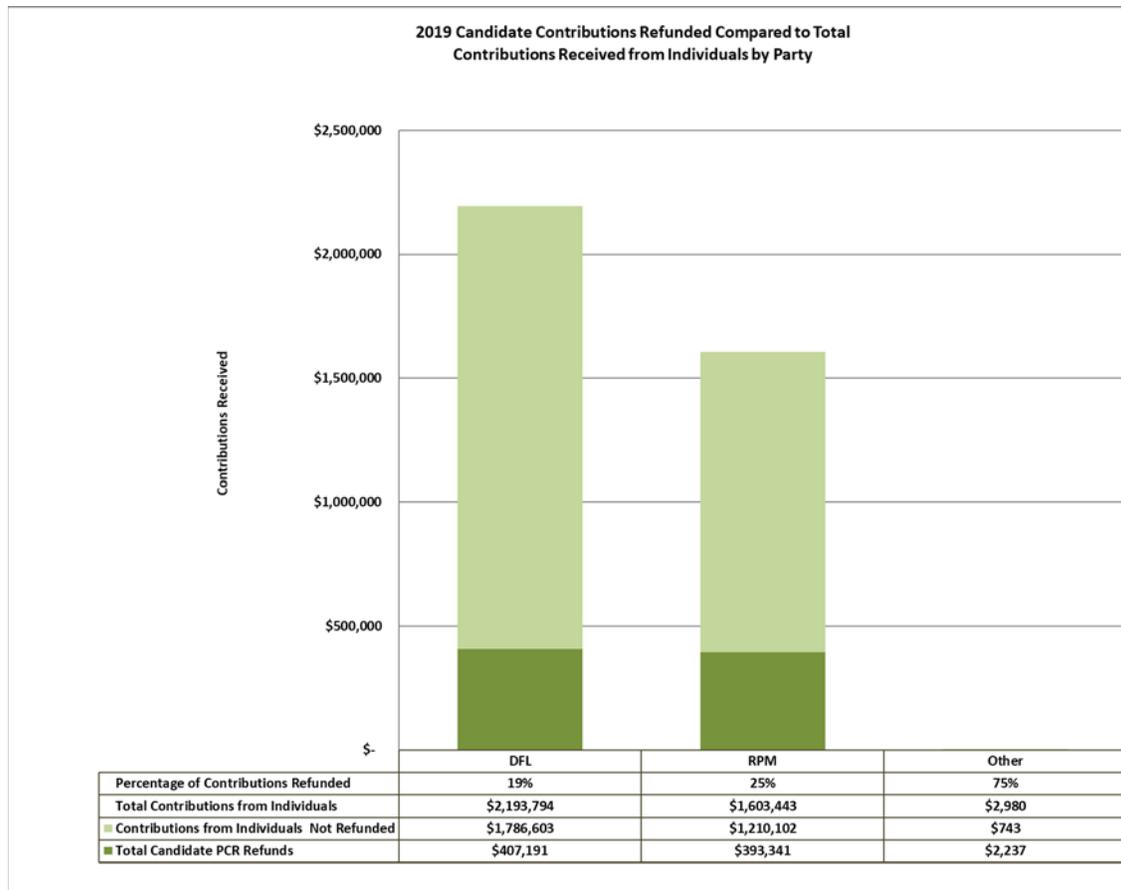
In August of each year the Department of Revenue reports to the Board the number of PCR refunds, and the total value of the refunds, issued to donors in the prior calendar year. The report provides the refund totals for individual candidate committees and political party units. The report is posted on the Board’s website at: <https://cfb.mn.gov/citizen-resources/board-programs/public-subsidy-of-campaigns/historical-use-of-public-subsidy-program/>. On the website there are separate reports for candidates and party units for the years 2013 through 2019.

The Board compares the PCR refunds issued for contributions to candidates and political party units to the contributions disclosed on the reports of receipts and expenditures filed with the Board. The comparison is used to verify that the value of the refunds issued to contributors to a candidate or party unit do not exceed the contributions reported as received by that same candidate or party unit.

In 2019 the Department of Revenue issued 35,293 refunds with a total value of \$2,366,276. Of that amount \$802,769 was issued for contributions made to candidates, and \$1,563,507 was issued for contributions to political parties.

Consistent with PCR refunds issued in 11 of the past 13 years, contributors to DFL candidates received more refunds, however the percentage of contributions from individuals refunded by the Department of Revenue was higher in 2019 for Republican candidates, as seen in Figure 1.

**Figure 1**



Historically, Republican party units have issued more PCR refunds than DFL party units, and that continued to be the case in 2019. Contributors to Republican party units received \$805,696 in refunds, contributors to DFL party units received \$752,308 in refunds.

The 2019 reports for PCR refunds issued for candidate committees and political party units are attached to this report.

**Legislative Recommendations for the Lobbying Program:** On September 11, 2020, I met via Zoom with members of the Minnesota Governmental Relations Council (MGRC) task force appointed for the purpose of reviewing and responding to the Board's legislative proposal for the lobbyist program. The members of the task force had several questions and concerns, but from my perspective the objections raised mainly related to two areas of the recommendations; how will a lobbyist determine which actions on behalf of a principal required at least 25% of the total effort for the principal and therefore must be reported, and whether reporting a bill or administrative rule revisor number is providing useful information to the public.

The members of the task force provided that very few lobbyists track their time. Instead contract lobbyists are usually paid a retainer fee for services provided. Setting up a time tracking system equivalent to those commonly used by attorneys would be, in their view, cost prohibitive and unduly burdensome. Further, the task force is concerned that using time alone to determine the 25% threshold could be misleading. For example, a principal may have had two major goals for the session, but using time alone as the standard could lead to a lobbyist reporting a time consuming, but relatively trivial effort to add a minor amendment to a piece of legislation instead of those goals.

From my perspective the proposed legislation does not require the use of a time tracking system. For all types of lobbying (administrative, legislative, metropolitan government, and Public Utilities Commission) the recommendations provide that "[t]he lobbyist must report a reasonable, good faith estimate of the total percentage of time spent on each of the actions listed . . . ." Nonetheless, time is the measuring stick used in the recommendations. A different approach could be to acknowledge that time alone is not the only factor in identifying significant issues for a principal, and to create a different method for identifying those issues. The reference to "percentage of time" could be replaced with language that required a lobbyist to report in retrospect the four most important issues for the principal, regardless of whether an issue was dealt with quickly, and therefore would not be identified if time were the only measurement used, or if a minor issue dragged on for the entire session.

The second major concern is that the recommendations currently require the lobbyist to identify the bill number, administrative rule revisor number, Public Utilities Commission docket number, or metropolitan governmental unit ordinance number that met the 25% of lobbying threshold. Members of the MGRC task force felt emphasis on specific bill numbers would not be particularly useful public disclosure, as many bills are folded into omnibus bills that are remarkably broad in subject matter, or the key language of interest to the principal is moved into multiple bills in an effort to be included in a bill that moves forward.

Board members may recall from prior discussions of the recommendations that I have expressed some reservations in using bill numbers for identifying significant lobbying efforts. Using bill numbers could obscure the issues of concern to the principal because it requires the public to know what was in the bill. Even immediately after a legislative session identifying subjects within a given bill requires specialized knowledge. Over the passage of time, it would require significant research to understand the content of the bills reported by the lobbyist.

One alternative approach would be to have lobbyists identify the specific subjects that were of major importance to a principal. My concern is that subject descriptions would be too broad, and would amount to little more than a repeat of the subjects of interest provided when the lobbyist registered for the principal.

I'm continuing to refine the legislative proposal for lobbying, and to the extent possible address the concerns from the MGRC task force. I intend to provide the Board with a modified version of the lobbying proposal at the November meeting. A cover memo and draft statutory language for the lobbying program legislative recommendations considered by the Board last year are available for review at: [https://cfb.mn.gov/pdf/legal/Lobbying\\_Proposal.pdf](https://cfb.mn.gov/pdf/legal/Lobbying_Proposal.pdf)

**Attachments**

2019 PCR Refunds by Candidate Committees

2019 PCR Refunds by Political Party Units

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## 2019 Contribution Refund Summary for Candidate Committees

Note: Contributions from a married couple filing jointly are reported as one contribution

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Candidate Name	Office Sought	Contribution Number	Refund
<b>DFL</b>			
Abeyta, Joseph	House - 5B	6	\$300.00
Acomb, Patty	House - 44B	93	\$4,650.96
Agbaje, Esther	House - 59B	7	\$376.92
Albrecht, Rita	Senate - 5	25	\$1,925.00
Austinson, John Wayne	Senate - 26	10	\$500.00
Bahner, Kristin	House - 34B	19	\$1,038.57
Bakk, Thomas (Tom)	Senate - 3	28	\$2,250.00
Becker-Finn, Jamie	House - 42B	58	\$3,419.41
Berg, Kaela Jo	House - 56B	10	\$540.00
Berger, Austin	House - 45A	4	\$250.00
Bernardy, Connie	House - 41A	61	\$4,403.33
Bierman, Robert	House - 57A	142	\$10,490.00
Bigham, Karla	Senate - 54	104	\$7,603.28
Blaha, Julie	State Auditor	12	\$983.33
Borrud, Aleta	Senate - 26	11	\$790.89
Brand, Jeff	House - 19A	38	\$2,614.99
Brown, Bradley (Brad)	House - 31A	1	\$50.00
Bruns, Matthew (Matt)	House - 21A	1	\$50.00
Burkhardt, Tim	House - 11B	37	\$2,404.80
Cantrell, Hunter	House - 56A	12	\$750.00
Cardarelle, Renee	House - 29A	19	\$1,150.00

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Carlson, Lyndon	House - 45A	12	\$805.00
Carlson, (Robert) Andrew	House - 50B	78	\$4,439.30
Carlson, James	Senate - 51	75	\$5,061.67
Carollo, Ben	House - 13B	2	\$100.00
Champion, Bobby Joe	Senate - 59	12	\$585.00
Christensen, Shelly (Michelle	House - 39B	28	\$1,706.43
Clafin, Anne	House - 54A	22	\$1,263.66
Clark, Tyrel	Senate - 26	52	\$4,000.00
Clausen, Gregory	Senate - 57	2	\$200.00
Considine, Jack (John)	House - 19B	2	\$150.00
Cwodzinski, Steve	Senate - 48	170	\$11,939.99
Dalal, Piyali Nath	House - 60A	15	\$981.99
Dehn, Raymond	House - 59B	7	\$466.66
Dibble, D Scott	Senate - 61	46	\$3,368.25
Dolson, Charles	Senate - 5	6	\$300.00
Dziedzic, Kari (Karen)	Senate - 60	69	\$4,625.00
Eaton, Chris	Senate - 40	5	\$250.00
Ecklund, Robert	House - 3A	120	\$9,323.47
Edelson, Heather	House - 49A	60	\$3,812.97
Eken, Kent	Senate - 4	111	\$9,186.76
Elkins, Steve	House - 49B	27	\$2,100.00
Ellison, Keith	Attorney General	30	\$1,450.00
Fateh, Omar	Senate - 62	9	\$550.00
Ferguson, Eric	House - 63B	1	\$50.00
Fischer, Peter	House - 43A	29	\$2,175.00

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Flowe, Anita	House - 17B	1	\$50.00
Folch, Tina	House - 54B	11	\$775.00
Franzen, Melisa Lopez	Senate - 49	41	\$2,947.63
Freiberg, Mike	House - 45B	67	\$3,860.00
Frentz, Nick Andrew	Senate - 19	29	\$2,238.31
Gomez, Aisha	House - 62B	340	\$18,247.50
Greenman, Emma	House - 63B	35	\$2,250.00
Halverson, Laurie	House - 51B	3	\$133.33
Hansen, Richard (Rick)	House - 52A	87	\$5,736.66
Hanson, Jessica	House - 56A	11	\$535.00
Hassan, Hodan	House - 62A	1	\$2.17
Hawj, Foung	Senate - 67	178	\$7,355.00
Her, Kaohly	House - 64A	1	\$50.00
Hill, Josiah	Senate - 39	170	\$12,720.00
Hobot, Chad	House - 30A	16	\$824.99
Hoffman, John	Senate - 36	23	\$1,459.51
Hornstein, Frank	House - 61A	34	\$2,205.42
Hortman, Melissa	House - 36B	129	\$8,593.32
Howard, Michael	House - 50A	31	\$1,695.00
Huot, John Duffy	House - 57B	45	\$2,885.80
Intermill, Jessica	House - 60A	17	\$949.98
Jordan, Sydney	House - 60A	6	\$350.00
Kahn, Phyllis	House - 60B	1	\$100.00
Kent, Susan	Senate - 53	13	\$900.00
Kessler, Daniel	House - 47B	25	\$1,850.00

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Kimmel, Marinda	House - 16B	27	\$1,644.98
Klassen, Heather	House - 23A	45	\$3,750.00
Klein, Matthew	Senate - 52	70	\$4,438.41
Koegel, Erin	House - 37A	31	\$1,975.00
Kotzya-Witthuhn, Carlie	House - 48B	5	\$375.00
Kunesh-Podein, Mary	House - 41B	29	\$1,906.66
Laine, Carolyn	Senate - 41	4	\$250.00
Latz, Ronald	Senate - 46	24	\$1,583.33
Lee, Michelle	Senate - 11	79	\$3,990.00
Lee, Fue	House - 59A	2	\$91.66
Lesch, John	House - 66B	39	\$2,060.14
Liebling, Tina	House - 26A	51	\$3,729.16
Lien, Benjamin	House - 4A	1	\$100.00
Lippert, Todd	House - 20B	39	\$2,565.83
Lislegard, David	House - 6B	65	\$4,414.78
Little, Matt	Senate - 58	111	\$7,176.81
Long, James (Jamie)	House - 61B	112	\$7,695.00
Lourey, Stu	Senate - 11	135	\$7,273.34
Mahoney, Timothy	House - 67A	1	\$50.00
Malik, Amir Joseph	House - 37B	127	\$8,709.99
Mann, Alice Santoro	House - 56B	10	\$549.99
Marquart, Paul	House - 4B	50	\$4,100.83
Marty, John	Senate - 66	52	\$2,830.00
Masin, Sandra	House - 51A	20	\$1,200.00
Matchett, Amanda	House - 37B	14	\$850.00

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
McLean, Nancy	Senate - 39	40	\$2,525.00
Menk, Dale	House - 10A	5	\$350.00
Miller, Addie	Senate - 47	21	\$1,425.00
Moller, Kelly	House - 42A	144	\$10,716.66
Moran, Rena	House - 65A	2	\$100.00
Moroles, Tyler	House - 63B	22	\$1,082.00
Mowery, Christopher	Senate - 39	1	\$100.00
Mozey, Ann M.I.	House - 39A	9	\$475.00
Murphy, Erin	Senate - 64	65	\$4,222.12
Murphy, Erin	Governor	90	\$5,714.00
Murphy, Mary	House - 3B	1	\$100.00
Murphy, Hoang	House - 67A	5	\$225.00
Neculescu, Sonia	House - 60A	3	\$150.00
Nelsen, Andrea	House - 55B	14	\$800.00
Nelson, Michael	House - 40A	30	\$1,964.09
Nesje, Cari Ness	House - 66A	19	\$1,169.99
Neumann, Aaron	House - 60A	9	\$450.00
Newton, Jerry	Senate - 37	99	\$4,758.33
Noor, Mohamud	House - 60B	4	\$250.00
Olson, Reed	House - 5A	4	\$250.00
Olson, Elizabeth (Liz)	House - 7B	19	\$1,265.00
Olson, Jon	Senate - 20	20	\$1,450.00
Pappas, Sandra	Senate - 65	8	\$433.22
Pelowski Jr, Gene	House - 28A	36	\$2,800.00
Persell, John	House - 5A	34	\$2,635.00

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Pinto, David	House - 64B	111	\$6,565.23
Poppe, Jeanne	House - 27B	48	\$3,593.33
Port, Lindsey	Senate - 56	25	\$1,434.99
Preese, Erin	House - 58A	51	\$3,425.00
Pryor, Laurie	House - 48A	15	\$757.69
Putnam, Aric	Senate - 14	80	\$5,480.00
Putnam, Aric	House - 14A	2	\$150.00
Rahman, Aswar	House - 60A	2	\$150.00
Ray, Patricia Torres	Senate - 63	27	\$1,650.00
Rest, Ann	Senate - 45	40	\$2,520.42
Rosh, Joe	House - 29B	26	\$1,738.18
Sandell, Stephen (Steve)	House - 53B	4	\$200.00
Sandstede, Julie	House - 6A	24	\$1,795.00
Sauke, Duane	House - 25B	2	\$100.00
Scapanski, Karla Jean	House - 15B	6	\$400.00
Schirmers, Ben	House - 12B	12	\$700.00
Schultz, Jennifer	House - 7A	43	\$3,500.00
Simonson, Erik	Senate - 7	33	\$2,276.66
Sokup, Davin	Senate - 20	12	\$675.00
Sparks, Daniel	Senate - 27	1	\$50.00
Stephenson, Zachary	House - 36A	36	\$2,224.61
Stewart, Ann Johnson	Senate - 44	70	\$4,210.00
Stofferahn, Justin	Senate - 38	21	\$1,403.75
Sunderman, Tanner	House - 66A	2	\$65.00
Sundin, Mike	House - 11A	44	\$3,450.00

Candidate Name	Office Sought	Contribution Number	Refund
Tabke, Brad	House - 55A	21	\$1,000.00
Timmerman, Robert	Senate - 56	17	\$1,410.00
Tomassoni, David	Senate - 6	118	\$9,105.30
Vang, Samantha	House - 40B	4	\$170.00
Waits, Kelsey	House - 54B	43	\$3,025.00
Wazlawik, Ami	House - 38B	78	\$5,352.00
Westlin, Bonnie	Senate - 34	83	\$5,381.57
Whitaker, Susan	House - 60A	7	\$299.99
Wiger, Charles (Chuck)	Senate - 43	36	\$2,500.00
Wiklund, Melissa Halvorson	Senate - 50	107	\$6,260.00
Willemsen, Michael	Senate - 13	3	\$200.00
Winkler, Ryan	House - 46A	52	\$3,778.92
Wolgamott, Dan	House - 14B	47	\$2,781.99
Xiong, Jay	House - 67B	23	\$1,250.00
Youakim, Cheryl	House - 46B	45	\$3,293.33
	<b>Party Total</b>	<b>6,271</b>	<b>\$407,191.53</b>
<b>Other</b>			
Wilson, Myron	House - 15B	28	\$2,237.04
	<b>Party Total</b>	<b>28</b>	<b>\$2,237.04</b>
<b>RPM</b>			
Abeler, Jim	Senate - 35	89	\$6,656.66
Akland, D. Susan (Susie)	House - 19A	31	\$1,700.00
Albright, Tony	House - 55B	1	\$50.00
Anderson, Bob	Senate - 54	1	\$100.00
Anderson, Patricia	House - 38B	65	\$5,250.00
Anderson, Paul	Senate - 44	30	\$2,083.33

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Anderson, Bruce	Senate - 29	64	\$5,425.00
Anderson, Paul	House - 12B	7	\$450.00
Backer, Jeff	House - 12A	56	\$4,494.64
Bahr, Calvin (Cal)	House - 31B	4	\$350.00
Baker, David (Dave)	House - 17B	31	\$2,580.00
Bennett, Peggy	House - 27A	102	\$8,389.50
Bliss, Matt	House - 5A	42	\$3,250.00
Boe, Greg	House - 47B	26	\$1,975.00
Buria, Julie	House - 6B	17	\$1,000.00
Chamberlain, Roger	Senate - 38	39	\$2,862.11
Coleman, Julia	Senate - 47	12	\$900.00
Dahms, Gary	Senate - 16	293	\$14,092.79
Daniels, Brian	House - 24B	66	\$5,006.07
Daudt, Kurt	House - 31A	42	\$3,237.62
Demuth, Lisa	House - 13A	6	\$485.00
Dettmer, Bob	House - 39A	25	\$2,200.00
Dornink, Gene	Senate - 27	111	\$9,822.61
Dotseth, Jeff	House - 11A	9	\$700.00
Draheim, Rich	Senate - 20	1	\$25.00
Drazkowski, Steven	House - 21B	29	\$2,175.00
Eichorn, Justin	Senate - 5	12	\$962.50
Erickson, Sondra	House - 15A	86	\$6,881.13
Erickson, Susan	House - 41A	9	\$700.00
Fabian, Daniel	House - 1A	12	\$875.00
Finney, Sue	House - 42B	13	\$870.00

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Franson, Mary	House - 8B	99	\$8,126.67
Funk, Thomas	Senate - 47	78	\$6,625.00
Ganzer, Brad	House - 34A	23	\$1,850.00
Gare, Josh	House - 20B	2	\$100.00
Garofalo, Patrick	House - 58B	28	\$2,475.00
Gazelka, Paul	Senate - 9	72	\$5,891.66
Goggin, Michael	Senate - 21	22	\$1,671.73
Green, Steve	House - 2B	51	\$4,100.00
Grossell, Matthew (Matt)	House - 2A	12	\$850.00
Gruenhagen, Glenn	House - 18B	150	\$12,133.51
Gunther, Robert	House - 23A	4	\$125.00
Haley, Barbara Ann May	House - 21A	66	\$5,521.73
Hall, Dan	Senate - 56	230	\$18,655.00
Hamilton, Rod	House - 22B	30	\$2,460.00
Heinrich, John	House - 35A	40	\$3,055.96
Heintzeman, Joshua	House - 10A	130	\$10,435.00
Herzog, Jordan	House - 43B	24	\$2,000.00
Housley, Karin	Senate - 39	148	\$9,344.99
Howe, Jeff	Senate - 13	24	\$1,235.00
Ingebrigtsen, William (Bill)	Senate - 8	79	\$6,343.33
Jahner-Byrne, Kelly	House - 53B	5	\$350.00
Jasinski, John	Senate - 24	2	\$200.00
Jensen, Scott Mitchell	Senate - 47	56	\$4,800.00
Jiang, Jeff	Senate - 48	244	\$11,270.02
Johnson, Brian	House - 32A	36	\$3,100.00

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Johnson, Mark Timothy	Senate - 1	20	\$1,466.66
Jurgens, Tony	House - 54B	42	\$3,375.00
Kiel, Debra (Deb)	House - 1B	45	\$4,108.33
Kiffmeyer, Mary	Senate - 30	33	\$2,595.00
Koran, Mark William	Senate - 32	20	\$1,625.00
Koznick, Jon	House - 58A	68	\$6,050.00
Kresha, Ronald	House - 9B	4	\$333.33
Lang, Andrew	Senate - 17	14	\$1,250.00
Layman, Sandy	House - 5B	69	\$5,633.57
Limmer, Warren	Senate - 34	28	\$2,400.00
Loonan, Robert (Bob)	House - 55A	85	\$6,950.00
Lucero, Eric	House - 30B	87	\$7,395.00
Lueck, Dale	House - 10B	18	\$1,000.00
Maresh, William	House - 36A	11	\$750.00
Mathews, Andrew	Senate - 15	40	\$2,929.04
McDonald, Joseph (Joe)	House - 29A	18	\$1,550.00
Mekeland, Shane	House - 15B	15	\$1,225.00
Miller, Tim	House - 17A	52	\$4,465.00
Miller, Jeremy	Senate - 28	62	\$4,963.33
Mueller, Patricia	House - 27B	31	\$2,424.50
Munson, Jeremy	House - 23B	23	\$1,625.49
Myhra, Pam	House - 56A	53	\$3,592.04
Nash, Jim	House - 47A	40	\$3,200.00
Nelson, Nathan	House - 11B	38	\$3,300.00
Nelson, Tom	House - 23A	2	\$150.00

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Nelson, Carla	Senate - 26	58	\$3,916.42
Neu, Anne	House - 32B	18	\$1,325.00
Newman, Scott	Senate - 18	45	\$3,378.33
Novotny, Paul	House - 30A	28	\$1,423.80
O'Driscoll, Tim	House - 13B	50	\$3,663.58
Olson, Mark	House - 15B	3	\$200.00
O'Neill, Marion Olivia	House - 29B	1	\$50.00
Osmek, David	Senate - 33	33	\$2,459.41
Pafko, Frank	House - 63B	11	\$900.00
Petersburg, John	House - 24A	25	\$1,866.67
Pierson, Nels	House - 26B	2	\$100.00
Pratt, Eric	Senate - 55	1	\$100.00
Pugh, Cynthia (Cindy)	House - 33B	2	\$100.00
Rarick, Jason	Senate - 11	130	\$9,885.23
Relph, Jerry	Senate - 14	25	\$2,350.00
Robbins, Kristin	House - 34A	54	\$4,123.21
Rose, Brett	House - 66A	1	\$100.00
Rosen, Julie	Senate - 23	33	\$2,041.67
Runbeck, Linda	House - 38A	59	\$4,838.16
Ruud, Carrie	Senate - 10	3	\$140.00
Sausser, Melissa	Senate - 58	11	\$900.00
Schomacker, Joe	House - 22A	14	\$891.66
Schwanke, Ben	Senate - 42	43	\$2,150.00
Scott, Peggy Sue	House - 35B	82	\$7,245.24
Senjem, David	Senate - 25	48	\$3,876.92

<b>Candidate Name</b>	<b>Office Sought</b>	<b>Contribution Number</b>	<b>Refund</b>
Settell, Tomas	Senate - 52	9	\$550.00
Sina, Candice (Candy)	Senate - 42	48	\$3,175.96
Smith, Dennis	House - 34B	5	\$350.00
Stanton, Linda	Senate - 53	36	\$2,555.83
Swedzinski, Christopher	House - 16A	64	\$5,000.00
Theis, Tama	House - 14A	37	\$3,158.33
Torkelson, Paul	House - 16B	47	\$3,724.99
Ulrick, John	House - 15B	26	\$1,870.00
Urdahl, Dean	House - 18A	37	\$3,075.00
Utke, Paul	Senate - 2	77	\$3,770.00
Weber, Bill	Senate - 22	52	\$4,077.77
West, Nolan	House - 37B	67	\$5,125.00
Westrom, Torrey	Senate - 12	56	\$4,308.33
Wolf, Joe	House - 11B	1	\$50.00
Zerwas, Nicholas	House - 30A	22	\$1,900.00
	<b>Party Total</b>	<b>5,268</b>	<b>\$393,341.36</b>
	<b>Grand Total</b>	<b>11,567</b>	<b>\$802,769.93</b>

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## 2019 Contribution Refund Summary for Political Party Units

Note: Contributions from a married couple filing jointly are reported as one contribution

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Party Units	Contribution Number	Refund
<b>Democratic Farmer Labor Party</b>		
1st Senate District DFL	5	\$450.00
2nd Congressional District DFL	16	\$696.28
3rd Congressional District DFL	6	\$435.00
5B House District DFL	7	\$360.00
5th Congressional District DFL	1	\$50.00
5th Senate District DFL	1	\$40.00
6th Congressional District DFL	9	\$621.42
6th Senate District DFL	2	\$150.00
8th Congressional District DFL	1	\$100.00
8th Senate District DFL	4	\$250.00
9th Senate District DFL	1	\$50.00
10th Senate District DFL	1	\$50.00
11A House District DFL	1	\$50.00
11th Senate District DFL	1	\$50.00
12th Senate District DFL	2	\$200.00
13th Senate District DFL	17	\$1,266.67
14th Senate District DFL	9	\$620.00
16th Senate District DFL	36	\$2,534.98
19th Senate District DFL	2	\$100.00
20th Senate District DFL	7	\$350.00
22nd Senate District DFL	3	\$200.00

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
25B House District DFL (Olmsted-25)	64	\$4,092.75
25th Senate District DFL	4	\$200.00
26th Senate District DFL	1	\$100.00
29th Senate District DFL	25	\$1,920.00
30th Senate District DFL	1	\$50.00
31st Senate District DFL	4	\$300.00
32nd Senate District DFL	27	\$1,900.00
33rd Senate District DFL	43	\$3,070.00
34th Senate District DFL	74	\$5,310.00
35th Senate District DFL	17	\$1,301.30
36th Senate District DFL	2	\$150.00
37th Senate District DFL	1	\$100.00
38th Senate District DFL	11	\$730.00
39th Senate District DFL	14	\$1,185.00
40th Senate District DFL	1	\$100.00
41st Senate District DFL	8	\$360.94
43rd Senate District DFL	2	\$150.00
44th Senate District DFL	65	\$4,674.51
45th Senate District DFL	10	\$570.00
46th Senate District DFL	19	\$1,139.99
47th Senate District DFL	16	\$1,020.00
48th Senate District DFL	27	\$1,853.33
49th Senate District DFL	28	\$1,875.00
50th Senate District DFL	17	\$1,075.00
51st Senate District DFL	8	\$469.60
52nd Senate District DFL	8	\$606.58

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
53rd Senate District DFL	14	\$1,050.00
54th Senate District DFL	7	\$425.00
55th Senate District DFL	1	\$30.00
56th Senate District DFL	15	\$1,120.00
57th Senate District DFL	9	\$497.61
58th Senate District DFL	1	\$29.41
59th Senate District DFL	3	\$175.00
60th Senate District DFL	1	\$100.00
61st Senate District DFL	10	\$716.67
62nd Senate District DFL	2	\$150.00
63rd Senate District DFL	6	\$400.00
64th Senate District DFL	15	\$725.30
65th Senate District DFL	1	\$25.00
66th Senate District DFL	1	\$50.00
Aitkin County DFL	30	\$2,600.00
Becker County DFL	58	\$4,658.33
Beltrami County DFL	54	\$4,020.00
Big Stone County DFL	34	\$2,950.00
Blue Earth County DFL	4	\$350.00
Brown County DFL	30	\$2,175.00
Cass County DFL	40	\$3,077.00
Clay County DFL	19	\$1,229.44
Clearwater County DFL	7	\$550.00
Cook County DFL	17	\$1,410.00
Cottonwood County DFL	5	\$400.00
Crow Wing County DFL	20	\$1,650.00

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
DFL House Caucus	647	\$39,369.55
DFL Senate Caucus	208	\$11,306.54
Dodge County DFL	9	\$700.00
Douglas County DFL	45	\$3,430.00
Duluth DFL	8	\$419.99
Faribault County DFL	10	\$700.00
Fillmore County DFL	12	\$870.00
Freeborn County DFL	45	\$3,600.00
Goodhue County DFL (Goodhue 21)	24	\$1,597.72
Grant County DFL	45	\$2,425.00
Houston County DFL	29	\$2,100.00
Hubbard County DFL	38	\$2,910.00
Itasca County DFL	22	\$1,500.00
Jackson County DFL	2	\$150.00
Kanabec County DFL	4	\$350.00
Kandiyohi County DFL	31	\$2,185.00
Koochiching County DFL	4	\$215.00
Lac qui Parle County DFL	25	\$2,000.00
Lake County DFL	3	\$300.00
Lake of the Woods DFL	17	\$783.34
LeSueur County DFL	17	\$1,350.00
Lyon County DFL	11	\$850.00
Martin County DFL	4	\$350.00
McLeod County DFL	30	\$2,380.00
Meeker County DFL	14	\$1,200.00
Mille Lacs County DFL	18	\$1,550.00

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
Minn DFL State Central Committee	8906	\$551,247.18
Morrison County DFL	73	\$6,250.00
Mower County DFL	16	\$1,300.00
Murray County DFL	31	\$2,600.00
Nobles County DFL	20	\$1,325.00
Otter Tail County DFL	20	\$1,375.00
Pipestone County DFL	17	\$1,250.00
Polk County DFL	34	\$2,700.00
Pope County DFL	14	\$899.99
Redwood County DFL	1	\$100.00
Renville County DFL	2	\$200.00
Rice County DFL	29	\$1,330.00
Rock County DFL	19	\$1,750.00
Sherburne County DFL	2	\$200.00
Sibley County DFL	7	\$550.00
St Louis County DFL (St Louis-06)	41	\$2,825.00
St Paul DFL	2	\$93.07
Stearns County DFL (Stearns-12)	5	\$400.00
Steele County DFL	10	\$850.00
Stevens County DFL	20	\$1,260.00
Swift County DFL	14	\$1,170.00
Todd County DFL	23	\$1,600.00
Traverse County DFL	2	\$200.00
Wabasha County DFL	40	\$2,975.00
Wadena County DFL	25	\$1,750.00
Waseca County DFL	42	\$3,350.00

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
Watonwan County DFL	27	\$2,050.00
Wilkin County DFL	3	\$300.00
Winona County DFL	27	\$1,874.07
Yellow Medicine County DFL	7	\$450.00
<b>Subtotals:</b>	<b>11,834</b>	<b>\$752,308.56</b>

### **Grassroots Party**

Grassroots-Legalize Cannabis Party	16	\$850.00
<b>Subtotals:</b>	<b>16</b>	<b>\$850.00</b>

### **Green Party of Minnesota**

Green Party of Minn	165	\$1,950.13
<b>Subtotals:</b>	<b>165</b>	<b>\$1,950.13</b>

### **Independence Party of Minnesota**

Independence-Alliance Party	21	\$1,650.00
<b>Subtotals:</b>	<b>21</b>	<b>\$1,650.00</b>

### **Legalize Marijuana Now Party**

Legal Marijuana Now Party	6	\$350.00
<b>Subtotals:</b>	<b>6</b>	<b>\$350.00</b>

### **Libertarian Party of Minn**

Libertarian Party of Minn	11	\$700.00
<b>Subtotals:</b>	<b>11</b>	<b>\$700.00</b>

### **Republican Party of Minnesota**

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
1st Congressional District RPM	1	\$100.00
2nd Congressional District RPM	47	\$1,780.93
4th Congressional District RPM	2	\$45.83
6th Congressional District RPM	62	\$3,210.00
7A House District RPM	5	\$450.00
7th Congressional District RPM	1	\$43.99
8th Congressional District RPM	4	\$175.00
13th Senate District RPM	2	\$81.81
14th Senate District RPM	15	\$770.40
15B House District RPM	2	\$150.00
30th Senate District RPM	10	\$650.00
31st Senate District RPM	4	\$300.00
33rd Senate District RPM	12	\$850.00
34th Senate District RPM	10	\$750.00
35th Senate District RPM	31	\$1,707.80
36th Senate District RPM	3	\$300.00
38th Senate District RPM	1	\$100.00
3B House District RPM	3	\$250.00
40th Senate District RPM	2	\$150.00
41st Senate District RPM	4	\$250.00
42A House District RPM	10	\$650.00
42B House District RPM	3	\$150.00
45th Senate District RPM	1	\$100.00
46th Senate District RPM	22	\$1,274.85
48th Senate District RPM	32	\$2,083.33
49th Senate District RPM	119	\$8,233.33

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
50th Senate District RPM	1	\$100.00
51st Senate District RPM	31	\$2,383.33
52A House District RPM	8	\$550.00
52B House District RPM	3	\$190.00
53rd Senate District RPM	7	\$510.00
54th Senate District RPM	1	\$50.00
56th Senate District RPM	3	\$110.00
57th Senate District RPM	5	\$450.00
58th Senate District RPM	2	\$100.00
60th Senate District RPM	4	\$200.00
61st Senate District RPM	2	\$100.00
63rd Senate District RPM	4	\$300.00
64th Senate District RPM	4	\$145.00
65th Senate District RPM	1	\$100.00
66A House District RPM	1	\$65.00
66B House District RPM	2	\$100.00
Aitkin County RPM	2	\$100.00
Becker County RPM	5	\$400.00
Beltrami County RPM	48	\$3,683.63
Benton County RPM	3	\$140.00
Blue Earth County RPM	14	\$770.00
Brown County RPM	28	\$1,972.60
Carlton County RPM	34	\$2,725.00
Carver County RPM	39	\$3,175.00
Cass County RPM	11	\$750.00
Chippewa County RPM	4	\$350.00

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
Chisago County RPM	6	\$450.00
Clay County RPM	17	\$1,193.17
Clearwater County RPM	37	\$3,150.00
Cook County RPM	6	\$500.00
Cottonwood County RPM	1	\$50.00
Crow Wing County RPM	4	\$200.00
Dodge County RPM	4	\$400.00
Douglas County RPM	18	\$1,500.00
Faribault County RPM	16	\$1,450.00
Fillmore County RPM	19	\$1,500.00
Freeborn County RPM	10	\$764.28
Goodhue County RPM	33	\$2,750.00
Grant County RPM	42	\$3,700.00
Houston County RPM	3	\$300.00
HRCC	2522	\$137,332.34
Hubbard County RPM	3	\$300.00
Itasca County RPM	7	\$650.00
Jackson County RPM	32	\$2,675.00
Kanabec County RPM	9	\$750.00
Kandiyohi County RPM	1	\$80.00
Lac qui Parle County RPM	31	\$2,666.66
Lake County RPM	10	\$900.00
Lake of the Woods RPM	1	\$100.00
LeSueur County RPM	80	\$6,900.00
Lyon County RPM	5	\$366.66
Marshall County RPM	5	\$400.00

<b>Party Units</b>	<b>Contribution Number</b>	<b>Refund</b>
Martin County RPM	2	\$125.00
McLeod County RPM	68	\$5,340.73
Mower County RPM	33	\$2,891.67
Murray County RPM	8	\$625.00
Nicollet County RPM	21	\$1,600.00
Nobles County RPM	6	\$475.00
Olmsted County RPM	17	\$1,375.00
Otter Tail County RPM	22	\$1,630.00
Pennington County RPM	35	\$2,850.00
Pine County RPM	5	\$380.00
Pipestone County RPM	27	\$2,024.99
Polk County RPM	5	\$400.00
Pope County RPM	35	\$2,875.00
Redwood County RPM	25	\$1,874.75
Renville County RPM	47	\$3,923.07
Republican Party of Minn	6750	\$495,244.57
Rice County RPM	17	\$1,150.00
Rock County RPM	16	\$1,300.00
Roseau County RPM	2	\$200.00
Scott County RPM	2	\$120.00
Senate Victory Fund (SVF)	746	\$50,376.97
St Louis County RPM	39	\$2,250.00
Steele County RPM	34	\$3,050.00
Swift County RPM	2	\$150.00
Todd County RPM	40	\$2,800.00
Traverse County RPM	5	\$300.00







# MINNESOTA CAMPAIGN FINANCE BOARD

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**Date:** September 30, 2020

**To:** Board members  
Counsel Hartshorn

**From:** Andrew Olson, Legal/Management Analyst

**Subject:** Enforcement report for consideration at the October 7, 2020 Board meeting

## **A. Discussion Items**

### **1. Duluth DFL (20575) – Late fees and civil penalty for reports of receipts and expenditures**

The Duluth DFL incurred the maximum \$1,000 late filing fee and \$1,000 civil penalty for its 2016 year-end report and the maximum \$1,000 late filing fee for its 2017 year-end report. A civil penalty was not imposed for the 2017 year-end report because the matter was referred to the Attorney General's Office prior to Board staff sending a certified letter regarding the failure to file that report. At its August 2020 meeting the Board adopted findings regarding an investigation involving the Duluth DFL. The findings discuss in detail the problems the party unit had with its former treasurer. The party unit selected a new treasurer, Kelli Latuska, in June 2017.

Counsel for the Duluth DFL, David Zoll, requested that the Board waive the \$3,000 owed for the 2016 and 2017 year-end reports. In his request Mr. Zoll explains that the party unit's former treasurer repeatedly assured the Duluth DFL that she would promptly file the party unit's 2016 year-end report. Mr. Zoll explained that once Ms. Latuska obtained access to the party unit's financial records in 2017, it was clear there was a substantial cash balance discrepancy, the party unit's former treasurer did not cooperate with efforts to resolve the reporting issues, and Ms. Latuska was not comfortable certifying a report as accurate without confirming its accuracy. Mr. Zoll also points out that the Board has already imposed a \$1,500 civil penalty against the Duluth DFL for being affiliated with a person who falsely certified a campaign finance report.

The party unit reported a cash balance of \$1,343 as of July 20, 2020, and that balance does not account for the \$1,500 civil penalty imposed by the Board in August. In November 2006 the party unit was granted a waiver of \$3,500 in late filing fees and civil penalties for its 2003 year-end and 2004 pre-primary and pre-general reports because there was a transition between treasurers and notices regarding the reports were sent to the former treasurer. The party unit has not been granted a waiver of any late filing fees or civil penalties since then. Mr. Zoll intends to appear before the Board at the October 7, 2020, Board meeting.

## B. Waiver Requests

#	Committee/ Entity	Late Fee/ Civil Penalty	Report Due	Factors	Prior Waivers	Recommended Action
1	Minnesota Realtors Political Action Committee (70006)	\$200 LFF	June 2020	Person responsible for preparing the reports was out of the organization's office for over a month while she was ill with COVID-19. The reports were due 6/15/2020 and were filed 6/25/2020 and 6/26/2020, respectively. The MN Realtors PAC reported a cash balance of \$948,800 as of 7/20/2020. REALIEF has not reported any financial activity since it registered in Sept. 2018.	\$450 LFF waived in Aug. 2010 due to software issue	Waive
2	REALIEF (41213)	\$225 LFF	June 2020		No	Waive
3	51st Senate District RPM (20424)	\$200 LFF	2020 Pre-Primary	Treasurer was experiencing medical problems that made it difficult to complete and file the report. The report was due 7/27/2020 and was filed 8/2/2020. The party unit reported a cash balance of \$1,263 as of 7/20/2020.	No	Waive
4	Jeanne Massey (2267)	\$75 LFF	1st 2020 Lobbyist	Report was completed on time and lobbyist thought the report was filed but it was only saved on the Board's website rather than submitted. Report was due 6/15/2020 and was filed 6/18/2020 after the error was discovered.	No	Waive
5	Senator (John) Marty Volunteer Committee (11880)	\$50 LFF	2020 Pre-Primary	Treasurer mistakenly initialized a new reporting year within the CFR software on the due date and then was unable to file the report. The report was due 7/27/2020 and was filed the following day after Treasurer was able to contact Board staff. The committee reported a cash balance of \$21,126 as of 7/20/2020.	No	Waive
6	13th Senate District DFL (20505)	\$650 LFF	2020 Pre-Primary	Treasurer thought that local party units are only required to file a year-end report. Report was due 7/27/2020 and was filed 8/14/2020. The party unit reported a cash balance of \$588 as of 7/20/2020.	No	Reduce to \$100
7	Torkelson (Paul) for State Representative (16697)	\$50 LFF	Pre-Primary 24-Hour Notice	Large contribution was received on Friday, 7/31/2020, and a 24-hour notice was filed on Monday, 8/3/2020. Candidate thought weekends were not counted as business days, which is correct if a 24-hour notice is filed in person, but if filed electronically it must be filed within 24 hours after receipt of the contribution. The committee reported a cash balance of \$10,228 as of 7/20/2020.	No	None
8	Carpenters Local 930 PAC (30600)	\$50 LFF	2020 Pre-Primary	Report was filed one day late due to an oversight by the Treasurer. The only activity disclosed by the report was \$399 in non-itemized contributions. The fund reported a cash balance of \$798 as of 9/15/2020.	No	None

## C. Informational Items

### 1. Payment of civil penalty for corporate contribution

Cragun Corporation, \$400

**2. Payment of civil penalty for disclaimer violation**

Reed Perkins for Senate District 1, \$100

**3. Payment of late filing fee for 2020 pre-primary report of receipts and expenditures**

66A House District RPM, \$200

53<sup>rd</sup> Senate District DFL, \$200

Committee to Elect John Hoffman, \$50

Right Now Minnesota, \$50

Larkin Hoffman Political Fund, \$50

TakeAction Political Fund, \$50

**4. Payment of late filing fee for 2020 1<sup>st</sup> quarter report of receipts and expenditures**

Constitution Party of Minnesota, \$250

**5. Payment of late filing fee for 2019 year-end report of receipts and expenditures**

Rebecca Peichel for House, \$225

**6. Payment of late filing fee for 2018 year-end report of receipts and expenditures**

Fateh (Omar) for House LLC, \$1,000

Rebecca Peichel for House, \$225

**7. Payment of late filing fee for 2017 year-end report of receipts and expenditures**

Rebecca Peichel for House, \$50

**8. Payment of late filing fee for lobbyist disbursement report due 6/15/2020**

Simon Opatz, \$225

Monica Meyer, \$125

Matthew Benda, \$125

Joseph Weber, \$100

Heather Corcoran, \$75

Cory Bennett, \$75

Carol Overland, \$50

William Amberg, \$50

Jonathan Curry, \$50

Dorian Grilley, \$50

David Anderson, \$25

Jeffery Anderson, \$25

**9. Payment of late filing fee for 2019 Annual EIS**

Chester Raguse, \$20

**10. Forwarded anonymous contribution**

Pam Myhra For House, \$60

David J. Zoll  
djzoll@locklaw.com  
Direct: 612.596.4028

LOCKRIDGE  
GRINDAL  
NAUEN  
P. L. L. P.  
Attorneys at Law  
[www.locklaw.com](http://www.locklaw.com)

MINNEAPOLIS  
Suite 2200  
100 Washington Avenue South  
Minneapolis, MN 55401-2179  
T 612.339.6900  
F 612.339.0981

September 25, 2020

**VIA E-MAIL**

Jeff Sigurdson  
[jeff.sigurdson@state.mn.us](mailto:jeff.sigurdson@state.mn.us)  
Executive Director  
Minnesota Campaign Finance Board  
190 Centennial Office Building  
658 Cedar Street  
St. Paul, MN 55155-1603

Re: Duluth DFL Request to Waive Late Fees and Civil Penalties

Dear Jeff:

I write to request that the Campaign Finance Board waive the late fees and civil penalties relating to the Duluth DFL's 2016 and 2017 Year-End Reports. As you know, the Board conducted an investigation of the Duluth DFL and its former Treasurer. The Board's Findings, Conclusions, and Order dated September 14, 2020 (the "Order") include a detailed recitation of the facts which are relevant to this request.

Tamara Jones served as the treasurer of the Duluth DFL from June 2014 to June 2017. Order at 1. Ms. Jones filed pre-primary and pre-general reports in 2016 but did not file the Year-End Report. Order at 1. The investigation showed that, throughout Ms. Jones' tenure as treasurer, she had the sole access to the party unit's checking account and financial records and that she would report that various financial issues had been resolved when, in fact, they had not been addressed. Order at 3. After Kelli Latuska assumed the role of treasurer in 2017, Ms. Jones repeatedly assured Ms. Latuska that the late Year-End Report for 2016 would be filed. Order at 3. Once Ms. Latuska was finally able to obtain access to the party unit's bank records in 2017, she discovered that the balance in the bank account was thousands of dollars off from the balance on the most recent report. Order at 3-4.

Ms. Latuska did not have the records necessary to reconcile the discrepancy and Ms. Jones did not cooperate with the efforts by Ms. Latuska and other party unit officers to obtain the necessary information. Order at 4. When contacted by Board staff regarding the missing reports, Ms. Latuska tried to convey the difficulty she was facing in obtaining the necessary information and the fact that she was not comfortable signing and submitting a report until she could confirm its accuracy. Order at 4; *see also* Zoll Letter to Sigurdson (June 19, 2019). Ultimately, the Duluth DFL obtained copies of bank statements and cancelled checks and talked with party unit members

Jeff Sigurdson  
September 25, 2020  
Page 2

who were involved in various transactions to determine their purpose and complete the reports for 2016 and 2017. Order at 2.

As set forth above, the delay in submitting the 2016 and 2017 reports was caused by the reporting and record keeping issues addressed in the Board's Order. The Board already has imposed civil penalties of \$1,500 against the Duluth DFL for being associated with a person who falsely certified a campaign finance report, Ms. Jones, but specifically declined to impose a civil penalty against the Duluth DFL for being associated with someone who kept inadequate records. No further late fees or civil penalties are warranted or justified in this matter. Accordingly, the Duluth DFL respectfully requests that the Board waive any additional late fees and civil penalties relating to the filing of the 2016 and 2017 Year-End Reports.

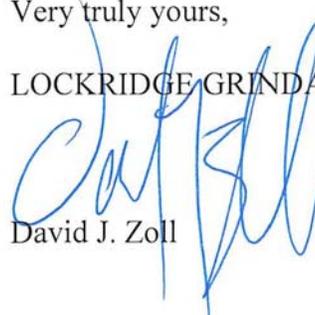
I am available to appear at the Board's October 7, 2020 meeting.

Thank you.

Very truly yours,

LOCKRIDGE GRINDAL NAUEN P.L.L.P.

David J. Zoll



DJZ

c: Duluth DFL  
Charles N. Nauen

Minnesota Realtors Political Action Committee (70006)

REALIEF (41213)

**Note: The MN Homeowners Alliance Independent Expenditure Fund (30672) is a political fund and has reported no financial activity in 2020 so it was not required to file a June 2020 report.**

**From:** Celina Carpenter <[ccarpenter@mnrealtor.com](mailto:ccarpenter@mnrealtor.com)>  
**Sent:** Tuesday, September 22, 2020 12:58 PM  
**To:** Engelhardt, Megan (CFB) <[megan.engelhardt@state.mn.us](mailto:megan.engelhardt@state.mn.us)>  
**Subject:** Minnesota Realtors Assoc Late Filing Fee Waiver

---

I would like to have a fee waived on our late filing for:  
Minn Realtors political Action Committee  
REALIEF  
MN Homeowners Alliance Independent Expenditure Fund

These reports were late due to the fact that I was out for over a month with Covid-19. I was too sick and contagious to come into the office.  
This is a very rare instance where we would file them late, if we could have the fees waived, we would appreciate it.

Thanks.....

## CELINA CARPENTER

Staff Accountant  
5750 Lincoln Drive | Edina, MN 55436  
952.935.8313 | [ccarpenter@mnrealtor.com](mailto:ccarpenter@mnrealtor.com) | [www.mnrealtor.com](http://www.mnrealtor.com)



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## REQUEST FOR WAIVER OF LATE FEE

To: CFB Board

I would like to request a waiver of the \$200 fine for submitting the July 27 Preprimary Report for Senate District 51 late. This year has been a difficult one for me.

So far this year, I've had 2 surgeries, 2 separate infections, one which went on for months due to the surgeon giving me the wrong antibiotic, and 2 months of radiation. I was referred to an Oncologist, Genetic Counselor, Plastic Surgeon, Infectious Disease Doctor, and a Colorectal Doctor and had several biopsies, ultrasounds, MRIs and CT scans. Also, I have another surgery planned for October with the colorectal doctor.

I tried to keep up with the treasurer stuff, but I felt very overwhelmed from all the medical problems along with riots going on and Covid and had trouble focusing. Although I had everything in a spreadsheet that balanced with the bank, when I tried to get the CFR to balance, I still had trouble concentrating and felt confused and panicked because it was past the due date. I went over and over this for days and finally gave up; but when I printed out the final report, I saw what the problem was, and was able to fix it before I submitted it.

I spoke about this with one of my doctors, and she said this was not surprising considering everything going on, and she offered to send you a letter or email if that would be helpful. I'm hoping to feel well enough to submit the next report on Oct. 20th before my next surgery on the 21st.

Candice Reyes  
Treasurer for SD51 (#20424)

**From:** Jeanne Massey <[jeanne.massey@fairvotemn.org](mailto:jeanne.massey@fairvotemn.org)>  
**Sent:** Monday, September 07, 2020 3:19 PM  
**To:** Engelhardt, Megan (CFB) <[megan.engelhardt@state.mn.us](mailto:megan.engelhardt@state.mn.us)>  
**Subject:** Late fee waiver request

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Dear Megan and Campaign Finance Board,

I'm writing to request a waiver on the late fee for our report (Reg. No. 2267) due June 15. The report was completed on time by our accountant and reviewed by me, but I simply failed to submit it. I thought the process was completed and it wasn't. We've always submitted our reports in the timely fashion and hope you will waive the fee on this late submission. We will be sure it doesn't happen again.

Thank you for your consideration and please let me know if you have any questions.

Jeanne Massey  
Executive Director | FairVote MN  
[Jeanne.massey@fairvotemn.org](mailto:Jeanne.massey@fairvotemn.org)  
612-850-6897

## Senator (John) Marty Volunteer Committee (11880)

**From:** James Carr <[mathpert@gmail.com](mailto:mathpert@gmail.com)>  
**Sent:** Wednesday, September 16, 2020 10:10 PM  
**To:** Engelhardt, Megan (CFB) <[megan.engelhardt@state.mn.us](mailto:megan.engelhardt@state.mn.us)>  
**Subject:** Request for reduction or Waiver of Late Filing Fee

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Reg. No. 11880

Report of Receipts and Expenditures due on July 27, 2020

Dear Members of the Board:

I am the treasurer of the Senator (John) Marty Volunteer Committee. It was my responsibility to get this report filed on time and I let it go until the last minute. I was rushed that last evening and I thought I should do a Final Initialization of 2020. It turned out that I had **already done** a Final Initialization of 2020. So the program did a Final Initialization of 2021. From there I couldn't proceed any further. I sent a message in to the support people of the Minnesota Campaign Finance Board asking for help. The next morning I was called back and I was helped to delete 2021 so that 2020 came back as the current year and I finished my report and sent it in one day late. It was my fault that I hadn't gotten this report done earlier.

I hope that you can reduce or waive the \$50.00 late filing fee for this report.

James Carr

Treasurer for the Senator (John) Marty Volunteer Committee

763-478-1755

[mathpert@gmail.com](mailto:mathpert@gmail.com)

## 13th Senate District DFL (20505)

**From:** Thomas Schutz <[tenschutz59@gmail.com](mailto:tenschutz59@gmail.com)>  
**Sent:** Saturday, September 12, 2020 12:07 PM  
**To:** Engelhardt, Megan (CFB) <[megan.engelhardt@state.mn.us](mailto:megan.engelhardt@state.mn.us)>  
**Subject:** Re: 13th Senate District DFL - 20505

Hi Megan,

I apologize. We were under the impression that local units only needed to do the annual report. This oversight won't happen again. Please accept my apologies and we respectfully request a waiver (or reduction) of the fees incurred due to my personal negligence.

Sincerely,  
Thomas Schutz, Treasurer  
13th Senate District DFL - 20505

PS. Do political party units such as SD13 DFL need to submit a pre-general report?

## Torkelson (Paul) for State Representative (16697)

**From:** Paul Torkelson <[ptorkels@gmail.com](mailto:ptorkels@gmail.com)>  
**Sent:** Tuesday, September 29, 2020 6:55 AM  
**To:** Engelhardt, Megan (CFB) <[megan.engelhardt@state.mn.us](mailto:megan.engelhardt@state.mn.us)>  
**Subject:** Late Filing Fee

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Megan,

I received this contribution on Friday July 31st. It was deposited on Monday August 3rd. I do not have a record of the report date but I assume it was also August 3rd. I did not know that CFB considers weekend days as if they are business days.

Thanks for your consideration of this matter.

Paul Torkelson

**From:** Ken Huling <[khuling@ncsrcc.org](mailto:khuling@ncsrcc.org)>  
**Sent:** Wednesday, September 23, 2020 1:12 PM  
**To:** Engelhardt, Megan (CFB) <[megan.engelhardt@state.mn.us](mailto:megan.engelhardt@state.mn.us)>  
**Subject:** Late Fee Waiver

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Good Afternoon Megan,

I apologize for the late filing of the Receipts and Expenditures report due on July 27<sup>th</sup> 2020. This was an accidental oversight on my part. In the past I have been timely with my obligations as Financial Secretary of Carpenters Local 930 PAC Fund. This will not happen again, Thank you for your consideration in this matter.

Ken Huling  
Cell: 320-249-3189  
Office: 320-252-1412  
Fax: 320-253-3366  
[khuling@ncsrcc.org](mailto:khuling@ncsrcc.org)

Business Representative  
North Central States Regional Council of Carpenters  
Serving Iowa, Minnesota, Nebraska, North Dakota, South Dakota and Wisconsin  
24086 Highway 15 #102  
St. Augusta, Mn 56301  
<http://www.northcountrycarpenter.org>  
<http://www.facebook.com/ncsrcc>  
Twitter: @NCSRCCarpenters

To receive text messages, text the phrase "NCSRCC" to the number 33222. Message and data rates may apply.





# MINNESOTA CAMPAIGN FINANCE BOARD

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**DATE:** September 30, 2020  
**TO:** Board members  
**FROM:** Jodi Pope, Legal/Management Analyst **TELEPHONE:** (651) 539-1183  
**RE:** Prima facie determination finding no violation

Complaints filed with the Board are subject to a prima facie determination made by the Board chair in consultation with staff. If the Board chair determines that a complaint states a violation of Chapter 10A or the provisions of Chapter 211B under the Board's jurisdiction, the complaint moves forward to a probable cause determination by the full Board.

If, however, the chair determines that a complaint does not state a prima facie violation, the chair must dismiss the complaint without prejudice. When a complaint is dismissed, the complaint and the prima facie determination become public data. The following complaint was dismissed by the chair and the prima facie determination is provided here as an informational item to the other board members. No further action of the Board is required.

## **Complaint regarding Perry Nouis**

On September 15, 2020, the Board received a complaint submitted by Greg Laden regarding the Perry Nouis for Minnesota committee. Perry Nouis for Minnesota is the principal campaign committee of Perry Nouis, a candidate for Minnesota House District 44A.

The complaint alleged that the Nouis committee had signs and a website that indicated that Mr. Nouis was the incumbent representative for the district rather than a candidate for that office. The complaint argued that the signs and the website violated the provisions in Minnesota Statutes section 211B.03 regarding the use of the term "re-elect" by nonincumbents. The complaint also may have alleged a violation of Minnesota Statutes section 211B.06, which prohibits the dissemination of false campaign material.

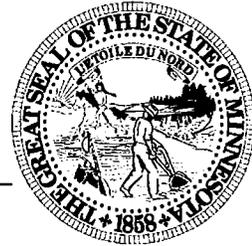
Although the Board has jurisdiction to investigate some violations of Minnesota Statutes Chapter 211B, it does not have jurisdiction to investigate the violations of Minnesota Statutes sections 211B.03 or 211B.06 alleged in the complaint. On September 16, 2020, the chair therefore concluded that the complaint did not allege a prima facie violation of any statute under the Board's jurisdiction and dismissed the complaint without prejudice.

### Attachments:

Complaint  
Prima facie determination

# Campaign Finance & Public Disclosure Board

190 Centennial Office Building, 658 Cedar St, St Paul, MN 55155      cfb.mn.gov



MINNESOTA CAMPAIGN FINANCE BOARD  
20 SEP 15 AM 11:10

## Complaint for Violation of the Campaign Finance and Public Disclosure Act

All information on this form is confidential until a decision is issued by the Board.  
A photocopy of the entire complaint, however, will be sent to the respondent.

### Information about complaint filer

Name of complaint filer <b>Greg Laden</b>	
Address <b>15425 40<sup>th</sup> Ave N</b>	Email address <b>Laden.greg@gmail.com</b>
City, state, and zip <b>Plymouth, MN 55446</b>	Telephone (Daytime) <b>612 306 6344</b>

### Identify person/entity you are complaining about

Name of person/entity being complained about <b>Perry Nouis</b>
Address <b>4081 QUANTICO LANE N</b>
City, state, zip <b>Plymouth, MN 55446</b>
Title of respondent (If applicable)
Board/Department/Agency/District # (If legislator)

Signature of person filing complaint

15pt/120

Date

Send completed form to:

Campaign Finance & Public Disclosure Board  
190 Centennial Office Building  
658 Cedar Street  
St. Paul, MN 55155

If you have questions:

Call 651-539-1189, 800-657-3889, or for TTY/TDD communication contact us through the Minnesota Relay Service at 800-627-3529. Board staff may also be reached by email at [cf.board@state.mn.us](mailto:cf.board@state.mn.us).

This document is available in alternative formats to individuals with disabilities by calling 651-539-1180, 800-657-3889, or through the Minnesota Relay Service at 800-627-3529.

Give the statutory cite to the section of Chapter 10A, Chapter 211B, MN CFB 2020 Handbook - page 24 or Minnesota Rules you believe has been violated: \_\_\_\_\_

You will find the complete text of Chapter 10A, Chapter 211B, and Minnesota Rules chapters 4501 - 4525 on the Board's website at [www.cfboard.state.mn.us](http://www.cfboard.state.mn.us).

### Nature of complaint

Explain in detail why you believe the respondent has violated the campaign finance and public disclosure laws. Attach an extra sheet of paper if necessary. Attach any documents, materials, minutes, resolutions, or other evidence to support your allegations.

The candidate indicates on his lawn sign that he IS the state rep for District 44A, not that he is running FOR that position. He has a more recent lawn sign that is correct, but those in violation are still out on people's lawns. He does something similar on his web site.

Minnesota Statutes section 10A.022, subdivision 3, describes the procedures required for investigating complaints. A full description of the complaint process is available on the Board's website.

Briefly, the Board will notify you when it has received your complaint. The Board must send a copy of the complaint to the respondent. Complaints and investigations are confidential. Board members and staff cannot talk about an investigation except as required to carry out the investigation or to take action in the matter. After the Board issues a decision, the record of the investigation is public.

The law requires a complaint to go through two stages before the Board can begin an investigation: a prima facie determination and a probable cause decision. If the complaint does not pass one of the stages, it must be dismissed.

The Board has 10 business days after receiving your complaint to determine whether the complaint is sufficient to allege a prima facie violation of the campaign finance laws. If the Board determines that the complaint does allege a prima facie violation, the Board has 45 days to decide whether probable cause exists to believe a violation that warrants a formal investigation has occurred. Both you and the respondent have the right to be heard on the issue of probable cause before the Board makes this decision. The Board will notify you if the complaint moves to the probable cause stage.

If the Board determines that probable cause does not exist, the Board will dismiss the complaint. If the Board determines that probable cause exists, the Board will start an investigation. The Board will send you monthly updates regarding the status of the investigation. At the end of the investigation, the Board will offer you and the respondent the opportunity to be heard before the Board makes a final decision.

In most cases, the Board will issue findings, conclusions, and an order as its decision. For a spending or contribution limits violation, the Board can enter into a conciliation agreement with the respondent instead of issuing findings, conclusions, and an order. The Board's final decision will be sent to you and posted on the Board's website.

**STATE OF MINNESOTA  
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

**PRIMA FACIE  
DETERMINATION**

IN THE MATTER OF THE COMPLAINT OF GREG LADEN REGARDING THE PERRY NOUIS FOR MINNESOTA COMMITTEE

On September 15, 2020, the Campaign Finance and Public Disclosure Board received a complaint submitted by Greg Laden regarding the Perry Nouis for Minnesota committee. Perry Nouis for Minnesota is the principal campaign committee of Perry Nouis, a candidate for Minnesota House District 44A.

The complaint alleges that the Nouis committee has signs and a website that indicate that Mr. Nouis is the incumbent representative for the district rather than a candidate for that office. Although the complaint describes the signs and the website, it does not include any pictures or screen shots of the material. The complaint argues that the signs and the website violate the provisions in Minnesota Statutes section 211B.03 regarding the use of the term "re-elect" by nonincumbents. In addition, Minnesota Statutes section 211B.06 prohibits the dissemination of false campaign material.

**Determination**

Minnesota Statutes section 10A.022, subdivision 3, gives the Board jurisdiction to investigate violations of the disclaimer, use of campaign funds, and corporate contribution provisions in Minnesota Statutes sections 211B.04, 211B.12, and 211B.15. The Board does not have jurisdiction to investigate violations of the provisions in Minnesota Statutes sections 211B.03 or 211B.06 governing the use of the term "re-elect" or the dissemination of false campaign material.

The complaint here alleges that the Nouis committee's signs and website violate Minnesota Statutes sections 211B.03 and 211B.06 by indicating that Mr. Nouis is the incumbent representative rather than a candidate for that office. The Board, however, has no jurisdiction to investigate violations of Minnesota Statutes sections 211B.03 or 211B.06. The chair therefore concludes that the complaint does not state a prima facie violation of any provision under the Board's jurisdiction. For this reason, the complaint is dismissed without prejudice.

Pursuant to Minnesota Statutes section 10A.022, subdivision 3, paragraph (c), this prima facie determination is made by a single Board member and not by any vote of the entire Board.



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Gary Haugen, Chair  
Campaign Finance and Public Disclosure Board

Date: 9/16/2020

**CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD  
October 2020**

**ACTIVE FILES**

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Served by Mail	Default Hearing Date	Date Judgment Entered	Case Status
Sandra (Sandi) Blaeser		2018 Public Official Statement of Economic Interest	\$100 LFF and \$1,000 CP	9/23/20				File received from Board 9/11/2020
		2019 Public Official Statement of Economic Interest	\$100 LFF and \$1,000 CP					
Chilah Brown Michele Berger	Brown (Chilah) for Senate	Unfiled 2016 Year- End Report of Receipts and Expenditures	\$1,000 LFF \$1,000 CP	3/6/18	8/10/18			Removed from hold 9/14 at Board's request.
		Unpaid late filing fee on 10/31/16 Pre- General Election Report	\$50 LFF					
Kelly Gunderson		Candidate Statement of Economic Interest due 6/16/20	\$100 LFF \$1,000CP	9/23/20				
Beau Hullerman		Candidate Statement of Economic Interest due 6/16/20	\$100 LFF \$1,000CP	9/23/20				
Tim Johnson		Candidate Statement of Economic Interest due 6/16/20	\$100 LFF \$1,000CP	9/23/20				

