



# MINNESOTA CAMPAIGN FINANCE BOARD

190 Centennial Office Building, 658 Cedar St, St Paul, MN 55155

cfb.mn.gov

## Original Statement of Economic Interest for Appointed Public Officials

### Filing instructions

(General instructions for completing the form start on page 2)

- The statement must be received by the Campaign Finance and Public Disclosure Board within:  
14 days after undertaking the duties of office if appointment requires senate confirmation or;  
60 days after the effective date of appointment if appointment does not require senate confirmation.
- Late fees will accrue for a statement not received by the due date.
- This form may be filed by mail to the address above, by email to [cfb.eis@state.mn.us](mailto:cfb.eis@state.mn.us), or by fax to 651-539-1196 or 800-357-4114.  
**Fax filers:** Keep the original and a fax confirmation notice as proof of timely filing.
- All information on this statement is public information and may be published on the Board's website.
- It is unlawful to use information filed with the Board for commercial purposes.
- Board staff may be reached by telephone at 651-539-1187 or 800-657-3889 or by email at [cfb.eis@state.mn.us](mailto:cfb.eis@state.mn.us).

### Individual information

### Employment information

Name	Occupation
Address at which you wish to receive mail from the Board (You may use either a home or business address)	Name of employer (You may need to include your employer as a source of compensation on page 2. Also indicate here if self-employed or unemployed.)
City, state, zip	Business address (This address will be posted on the Board's website)
Telephone (Optional, may be a business number, will not be published on the Board's website)	Business city, state, zip
Email address	

### Public official information

Name of agency	Public official position
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### Certification

I, \_\_\_\_\_, certify that the information contained on this form, including information  
(Print or type name) on the schedules, is complete, true, and correct.

Signature

Date

**Notice: Any person who signs and certifies to be true a statement which the person knows contains false information or omits required information is subject to a civil penalty imposed by the Board of up to \$3,000 and is subject to criminal prosecution for a gross misdemeanor.**

This document is available in alternative formats to individuals with disabilities by calling 651-539-1180 or 800-657-3889 or through the Minnesota Relay Service at 800-627-3529.

## Reporting period

The reporting period is the calendar month before the month with the effective date of your appointment. For example, if the effective date of your appointment is May 15, the reporting period is April 1 – April 30.

## Sources of compensation

List all businesses from which you or your spouse received more than \$250 in compensation in any calendar month during the reporting period. You should include any employer listed on the first page if it is a business as described below.

“Business” means an association, corporation, partnership, limited liability company, limited liability partnership, or other organized legal entity. An individual acting alone in the individual's own capacity, such as in the form of a sole proprietorship, is not a business for purposes of this statement, nor is a government agency.

"Compensation" means any payment for labor or personal services as a director, officer, owner, member, partner, employer, or employee of a business. Compensation does not include payments that do not result from the performance of services, such as rental income, social security payments, unemployment compensation, workers' compensation, pension benefits, or insurance benefits.

----- Check each applicable box-----

Name of source	Director	Officer	Owner	Member	Partner	Employer	Employee

## Compensation from lobbyist, lobbyist principal, or interested person, for services as an independent contractor or consultant

Complete this section if you as an individual received more than \$250 in compensation in any calendar month during the reporting period for consulting or independent contractor services provided to a lobbyist, lobbyist principal, or interested person. The \$250 in compensation does not include payment for actual and reasonable expenses received while providing services as a consultant or independent contractor.

If payment was delivered by an individual or association on behalf of a lobbyist, lobbyist principal, or interested person you must list both the individual or association that delivered the payment, and the lobbyist, lobbyist principal, or interested person that received the services you provided.

A list of lobbyists in Minnesota is available on the Board's website at [cfb.mn.gov/reports/current-lists/#/all-registered-lobbyists/all/](http://cfb.mn.gov/reports/current-lists/#/all-registered-lobbyists/all/).

A list of lobbyist principals in Minnesota is available on the Board's website at: [cfb.mn.gov/reports/current-lists/#/lobbying-entities/all/](http://cfb.mn.gov/reports/current-lists/#/lobbying-entities/all/).

An “interested person” means a person or a representative of a person or association that has a direct financial interest in a decision that the individual receiving the compensation is authorized to make as a public or local official or will be authorized to make if the individual becomes a public or local official. To be direct, the financial interest of the person or association paying the compensation to the individual must be of greater consequence to the payer than the general interest of other residents or taxpayers of the individual's governmental unit.

Name of lobbyist, lobbyist principal, or interested person	Name of individual or association that delivered payment on behalf of lobbyist, lobbyist principal, or interested person (if any)

## Business ownership

If you or your spouse own 25% or more of a business **and** received more than \$250 in any month during the reporting period as an employee of that business, list the business or professional activity category from page 5 that best describes the main function of the business. Please provide information for both you and your spouse, but do not disclose specific ownership details of the listed items.

Business or professional activity category (See page 5)

## Independent contracting

If you or your spouse received more than \$2,500 for work as an independent contractor in the past 12 months, list the business or professional activity category from page 5 that best describes the nature of that work. Please provide information for both you and your spouse, but do not disclose specific ownership details of the listed items.

Business or professional activity category (See page 5)

## Securities

List all businesses whose securities are valued at more than \$10,000 that you or your spouse individually or jointly held at any time during the reporting period. Use the definition of business from the Sources of compensation section on the previous page.

“Securities” means stocks, shares, bonds, warrants, options, pledges, notes, mortgages, annuities, debentures, leases, and commercial paper in any corporation, partnership, trust, or other association. “Securities” does not include shares of mutual funds, shares of exchange-traded funds, or defined benefit pension plans. For stocks, list the name of the business. Do not list the exchange symbol, total shares, or value of a security.

For retirement accounts like IRAs or 401(k)s, list the individual investment items held through the account. For example, if you have an IRA managed by Charles Schwab, do not list Charles Schwab – IRA. Instead, obtain an itemized listing of the investments held in the IRA and report those investments that meet the definition of security and exceed \$10,000 in value. Please provide information for both you and your spouse, but do not disclose specific ownership details of the listed items.

Name of business or security	Name of business or security

## Real property

Do not report your homestead. Report interests in all other real property located in Minnesota that you and your spouse have held individually or jointly at any time during the reporting period. You must report for you and your spouse the following interests: a fee simple interest (you are an owner, even if you owe a mortgage), a contract for deed as a buyer or seller, or a mortgage that you hold as a seller. This includes a list of all real property in Minnesota owned by a partnership in which you or your spouse holds an interest.

Report an option to buy if the value of the option is more than \$2,500 or if the fair market value of the optioned property is more than \$50,000 even if the value of the option itself is \$2,500 or less. For each property list the county in which the property is located. Also, list the street address and city, or if the property does not have a street address, the section, township, and range where the property is located and the approximate acreage.

Upon written request and for good cause shown, the Board may waive the requirement that a public official disclose the address of a secondary residence of the official. Contact Board staff if you want to request this waiver. Please provide information for both you and your spouse but do not disclose specific ownership details of the listed items.

----- Check one -----

MN County	Street address and city; or section, township, and range	Own (Even if paying a mortgage)	Contract for deed (As buyer or seller)	Option to buy: Option value greater than \$2,500	Option to buy: Property value greater than \$50,000	Mortgage (Only if held as seller)	Acreage (If applicable)

## Government agency interests

Complete this schedule if you or your spouse held, or owned 25% or more of a business that held, a contract, professional license, lease, or franchise, issued or entered into by the government agency on which you serve. Describe each contract, professional license, lease, or franchise issued by the agency on which you serve, but do not identify if the contract, professional license, lease, or franchise was issued to you, your spouse, or a business.

Description of contract, professional license, lease, or franchise	Description of contract, professional license, lease, or franchise

## Honoraria

Report the amount and source of each honoraria worth more than \$250 received during the reporting period, including the source's name and address. An honorarium is anything of value received for a service when there is no obligation on the part of the giver to pay. Examples of honoraria could include payment for speeches, presentations, or articles.

Amount	Source

### Pari-mutuel horse racing interests

Report any investment, ownership, or interest in property connected to pari-mutuel horse racing in the United States or Canada, including a race horse. Include direct, indirect, partial or full interests held by you or an immediate family member.

----- Check one -----

	Partial interest	Full interest	Description of interest (Horse, stable, etc.)
Official direct interest			
Official indirect interest			
Family interest			

### Business and professional activity categories

Use these categories for the business ownership and independent contracting categories on page 3. If you need more information to decide which category to use, please see the chart on the Internal Revenue Service website at [catirs.gov/instructions/i1040sc#idm140495537003200](http://catirs.gov/instructions/i1040sc#idm140495537003200).

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| <ul style="list-style-type: none"> <li>Accommodation</li> <li>Administrative and Support Services</li> <li>Agriculture, Forestry, Hunting, &amp; Fishing</li> <li>Agriculture &amp; Forestry Support Activities</li> <li>Amusement, Gambling, &amp; Recreation Industries</li> <li>Broadcasting (except internet) &amp; Telecommunications (including internet service providers)</li> <li>Construction of Buildings</li> <li>Construction - Heavy and Civil Engineering</li> <li>Construction - Specialty Trade Contractors</li> <li>Credit Intermediation &amp; Related Activities</li> <li>Data Processing Services (including internet publishing)</li> <li>Educational Services</li> <li>Food Services &amp; Drinking Places</li> <li>Health Care - Ambulatory Health Care Services</li> <li>Health Care - Hospitals</li> <li>Health Care - Nursing &amp; Residential Care Facilities</li> <li>Information (publishing industries except internet)</li> <li>Insurance Agents, Brokers, &amp; Related Activities</li> <li>Manufacturing - Chemical</li> <li>Manufacturing - Food</li> <li>Manufacturing - Leather &amp; Allied Product</li> <li>Manufacturing - Nonmetallic Mineral Product</li> <li>Manufacturing - Other</li> <li>Mining</li> <li>Motion Picture &amp; Sound Recording</li> <li>Museums, Historical Sites, &amp; Similar Institutions</li> <li>Performing Arts, Spectator Sports, &amp; Related Industries</li> <li>Personal &amp; Laundry Services</li> <li>Professional, Scientific, &amp; Technical Services (Architectural, Engineering, &amp; Related Services)</li> <li>Professional, Scientific, &amp; Technical Services (Computer Systems Design &amp; Related Services)</li> <li>Professional, Scientific, &amp; Technical Services (Legal, Accounting, Payroll, &amp; Tax Preparation Services)</li> </ul> | <ul style="list-style-type: none"> <li>Professional, Scientific, &amp; Technical Services (Specialized Design Services)</li> <li>Professional, Scientific, &amp; Technical Services (Other)</li> <li>Real Estate</li> <li>Religious, Grantmaking, Civic, Professional, &amp; Similar Organizations</li> <li>Rental &amp; Leasing Services</li> <li>Repair &amp; Maintenance Services</li> <li>Retail - Building Material &amp; Garden Equipment &amp; Supplies Dealers</li> <li>Retail - Clothing &amp; Accessories Stores</li> <li>Retail - Electronic &amp; Appliance Stores</li> <li>Retail - Food &amp; Beverage Stores</li> <li>Retail - Furniture &amp; Home Furnishing Stores</li> <li>Retail - Gasoline Stations</li> <li>Retail - General Merchandise Stores</li> <li>Retail - Health &amp; Personal Care Stores</li> <li>Retail - Motor Vehicle &amp; Parts Dealers</li> <li>Retail - Sporting Goods, Hobby, Book, &amp; Music Stores</li> <li>Retail - Miscellaneous Store Retailers</li> <li>Retail - Non-store Retailers</li> <li>Securities, Commodity Contracts, &amp; Other Financial Investments &amp; Related Activities</li> <li>Social Assistance</li> <li>Transportation</li> <li>Transportation (couriers and messengers)</li> <li>Unclassified Establishments (unable to classify)</li> <li>Utilities</li> <li>Warehousing &amp; Storage Facilities</li> <li>Waste Management &amp; Remediation Services</li> <li>Wholesale Trade - Merchant Wholesalers (Durable Goods)</li> <li>Wholesale Trade - Merchant Wholesalers (Nondurable Goods)</li> <li>Wholesale Electronic Markets and Agents &amp; Broker</li> </ul> |
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