

May 17, 2022

Dear Appellate Court Judicial Candidate:

The forms and information enclosed in this packet are to assist you in meeting the requirements of Minnesota Statutes Chapter 10A, which governs campaign finance and disclosure for state-level offices in Minnesota. As a candidate for election, you will be required to meet various statutory requirements. Please review this letter and the enclosed disclosure calendar for information about registration requirements and reporting deadlines.

DO YOU NEED TO REGISTER A PRINCIPAL CAMPAIGN COMMITTEE WITH THE BOARD?

If you spend only your own money on your campaign, you are not required to register a principal campaign committee with the Board. A candidate who accepts contributions from others must register a committee within 14 days of the date on which the total value of contributions received from sources other than the candidate exceeds \$750. If you need to register a principal campaign committee the necessary form is included in this packet.

If you are not required to register a principal campaign committee but you spend more than \$750 (not including the filing fee to appear on the ballot) of your own money to influence your election you must still file Reports of Receipts and Expenditures that disclose your financial activity on behalf of your campaign. You may print a copy of the Report of Receipts and Expenditures form from the Board's web site at www.cfb.mn.gov or call the Board office at 651-539-1188 or 800-657-3889 and request that a form be mailed to you. The reports are due June 14, 2022, July 25, 2022, September 27, 2022, and October 31, 2022.

UPCOMING CRITICAL DEADLINE:

• JUNE 14, 2022, REPORT OF RECEIPTS AND EXPENDITURES If you register a campaign committee with the Board, or are required to report personal expenditures as explained above, you will need to file a report by June 14, 2022. This report covers the period of January 1, 20221 through May 31, 2022.

ECONOMIC INTEREST STATEMENT REQUIREMENT - A candidate elected to judicial office will be required to file an Economic Interest Statement with the Board within 60 days of assuming office. An Economic Interest Statement shows who employs the person completing the form, where the person owns non-homesteaded real property in Minnesota, the person's financial investments, and whether the person has any financial interests in horseracing. The Board puts information from the completed Economic Interest Statement on its website to make it easier for people to know when an elected official could have a conflict of interest. If you are elected to judicial office the Board will send you an Economic Interest Statement form to complete after you assume office.

ELECTRONIC FILING REQUIRED - All state-level candidates with a registered committee are required to file the periodic Report of Receipts and Expenditures electronically. The Board provides at no charge the Campaign Finance Reporter Software. The software may be downloaded from the Board's website at www.cfb.mn.gov - click on the Filer Resources menu option, and then select Campaign Finance Reporter download page. The Board may waive the requirement to file electronically if the candidate can show good cause why the waiver should be granted. Contact Board staff for more information on the waiver process.

Continued on back

- 1 -

CANDIDATE / TREASURER TRAINING - Staff periodically provides online training sessions, check the calendar of upcoming training sessions on the Boards website at: https://train.cfb.mn.gov/training/. The Board has produced a candidate handbook that is a great reference for treasurers and first time candidates, it is available on the website at: https://cfb.mn.gov/filer-resources/self-help/handbooks/candidates .

Forms Included in This Packet

- Registration Form for a Campaign Committee
- Contribution Limits
- 2022 Disclosure Calendar

If you have questions about this packet, please call Melissa Stevens, Compliance Officer, at 651-539-1188, or melissa.stevens@state.mn.us.

Sincerely,

Jeff Sigurdson

Executive Director

H Sigurdion

This document is available in alternative formats to individuals with disabilities by calling 651-539-1180; 800-657-3889; or through the Minnesota Relay Service at 800-627-3529.

Campaign Finance and Public Disclosure Board

190 Centennial Office Building, 658 Cedar St, St Paul, MN 55155

https://cfb.mn.gov/



Registration and Statement of Organization Principal Campaign Committee

under Minn. Stat. §§ 10A.14 and 10A.19

Instructions

- This statement is due at the Campaign Finance and Public Disclosure Board office within 14 days after the Candidate raises or spends in excess of \$750, or within 10 days after any change in previously filed information.
- All required sections must be filled in before the committee can be registered.
- This form may be emailed to cf.board@state.mn.us or faxed to 651-539-1196; 800-357-4114
- All information on this form or report is public information and may be published on the Board's website at https://cfb.mn.gov/
- It is unlawful to use this information for commercial purposes.
- Do not use pencil or red ink.
- Board staff may also be reached by phone at 651-539-1187 or 800-657-3889 or by email at cf.board@state.mn.us

| | Registration |
|---|---|
| New Registration | Amendment: Registration No. |
| | Candidate |
| Candidate name | |
| Address (Line 1) | |
| Address (Line 2) | |
| City, state, zip | |
| Telephone (Daytime) | Email address (Required, or write "No email") |
| | Office sought |
| Constitutional office (Specify) Supreme Court Appeals C | |
| | Party affiliation |
| Democratic Farmer Labor Grassroots-L Cannabis F | |
| Republican Party Minnesota Green Party | v Minn |

| Committee | (Required) | Chair (Required) | |
|---|------------------------|---|--|
| Committee name | | Chair name | |
| Address (Line 1) | | Address (Line 1) | |
| Address (Line 2) | | Address (Line 2) | |
| City, state, zip | | City, state, zip | |
| Telephone (Daytime) | Fax number | Telephone (Daytime) | |
| Committee website address | | Email address (Required, or write "No email") | |
| Treasurer | (Required) | Deputy treasurer (Optional) | |
| Treasurer name | | Deputy treasurer name | |
| Address (Line 1) | | Address (Line 1) | |
| Address (Line 2) | | Address (Line 2) | |
| City, state, zip | | City, state, zip | |
| Telephone (Daytime) | | Telephone (Daytime) | |
| Email address (Required ,or write "No email") | | Email address | |
| Committee ba | nk account(s) (Must be | opened before registering committee) | |
| 1. Name of bank | | 2. Name of bank | |
| Address of bank (Line 1) | | Address of bank (Line 1) | |
| Address of bank (line 2) | | Address of bank (Line 2) | |
| City, state, zip of bank | | City, state, zip of bank | |
| | Certifi | cation | |
| | | | |
| l, | | fy that this statement represents the single registration for | |
| Print or typ | | is candidate for this office and that the statement is complete, ue, and correct. | |
| Signature of trea | surer or candidate | Date | |

Any person who signs and certifies to be true a report or statement which the person knows contains false information, or who knowingly omits required information, is subject to a civil penalty imposed by the Board of up to \$3,000 and is subject to criminal prosecution for a gross misdemeanor.

2021 – 2022 ELECTION CYCLE SEGMENT CONTRIBUTION LIMITS

All contribution limits apply to the total of cash contributions, in-kind contributions, and loans received during the two-year period from January 1, 2021, through December 31, 2022.

Individual and Candidate Contribution Limits. Applies to the aggregate of contributions received during 2021 and 2022 from an individual, political committee, or a political fund (excluding political party units), unregistered associations, and from the candidate's personal funds.

| Office | 2021 - 2022 Contribution Limit Total Amount for Two-Year Period | 2021 – 2022 Candidate's Personal Contribution Limit - Total Amount for Two-Year Period (applicable only to candidates who have signed a public subsidy agreement) |
|--------------------------------------|---|---|
| Governor/Lt. Governor | \$4,000 | \$20,000 |
| Attorney General | \$2,500 | \$12,500 |
| Secretary of State and State Auditor | \$2,000 | \$10,000 |
| Senate | \$1,000 | \$5,000 |
| House of Representatives | \$1,000 | \$5,000 |
| Judicial Office | \$2,500 | Not applicable |

Aggregate Political Party Unit and Terminating Principal Campaign Committee Contribution Limits. Applies to the aggregate of contributions received during 2021 and 2022 from all political party units and terminating principal campaign committees. Party units include a party caucus in the legislature, a state party, and party units in legislative districts, congressional districts, counties, municipalities, and precincts in aggregate.

| Office | 2021 - 2022 Political Party and Terminating Committee Contribution Limit Total Amount for Two-Year Period |
|-----------------------------------|---|
| Governor/Lt. Governor | \$40,000 |
| Attorney General | \$25,000 |
| Secretary of State, State Auditor | \$20,000 |
| Senate | \$10,000 |
| House of Representatives | \$10,000 |
| Judicial Office | \$25,000 |

Aggregate contribution limits. All candidates (except judicial candidates) are subject to an aggregate contribution limit. This limit is not dependent on the signing of a public subsidy agreement. The aggregate contribution limit does not increase for first-time candidates or for candidates who do not sign a public subsidy agreement. This limit applies to the aggregate of all contributions and loans from lobbyists, political committees or political funds, and associations not registered with the Board.

| Office | Aggregate Limit for 2021 - 2022 Election Cycle Segment |
|------------------------------------|--|
| Governor/Lt. Governor* | \$846,500 |
| Attorney General* | \$145,200 |
| Secretary of State, State Auditor* | \$96,800 |
| Senate* | \$21,800 |
| House of Representatives* | \$14,500 |

CAMPAIGN EXPENDITURE LIMITS FOR 2021 - 2022 ELECTION CYCLE SEGMENT

All expenditure limits apply to the total campaign expenditures incurred during the two-year period from January 1, 2021, through December 31, 2022. All expenditure limits apply only to candidates who sign a public subsidy agreement.

| Office | A 2021 - 2022 Base Spending Limit | B 2021 – 2022 Limit for Candidate Running for Office for the First Time | C 2021 – 2022 Limit for Candidate with Closely Contested Primary | D 2021 – 2022 Limit for First Time Candidate with Closely Contested Primary |
|---------------------------------------|--|---|--|---|
| Governor/Lt. Governor* | \$4,232,700 | \$4,655,970 | \$5,079,240 | \$5,587,164 |
| Attorney General* | \$725,800 | \$798,380 | \$870,960 | \$958,056 |
| Secretary of State, State Auditor* | \$483,900 | \$532,290 | \$580,680 | \$638,748 |
| Senate* | \$109,100 | \$120,010 | \$130,920 | \$144,012 |
| House of Representatives* | \$72,700 | \$79,970 | \$87,240 | \$95,964 |

Spending limit. The spending limit includes the total of:

- 1. Monetary campaign expenditures,
- 2. In-kind campaign expenditures, and
- 3. Unpaid campaign expenditures.

Election Cycle Segments. The spending limit is higher in a two-year segment during which an election is held for the office sought.

First-Time Candidate. A candidate is eligible for a 10% increase in the base campaign expenditure limit if the following conditions are met:

- 1. The candidate has not previously held the same office. For the legislature the office is House of Representatives or State Senate, without regard to any specific district.
- 2. The candidate has not previously been on the primary or general election ballot for that office.
- 3. The candidate has not in the past 10 years raised or spent more than \$750 in a run for any other office whose territory now includes a population that is more than one-third of the population in the territory of the office the candidate is now seeking.

Closely Contested Primary election. A candidate is eligible for a 20% spending limit increase for having a closely contested primary election if the following conditions are met:

- 1. The candidate won the primary election, and had at least one opponent in the primary election,
- 2. The candidate received fewer than twice as many votes as any one of the opponents in the primary. The closely contested primary spending limit increase is available for use only after the primary election.

This document is available in alternative formats to individuals with disabilities by calling 651-539-1180 or 800-657-3889; or for TTY/TDD communications contact us through the Minnesota Relay Service at 800-627-3529.



2022 Disclosure Calendar for Candidates for Constitutional Offices and Appellate Courts

Minnesota Campaign Finance and Public Disclosure Board

Please Note: The requirements specified in this calendar through May 31, 2022, apply to all candidates for constitutional offices and appellate courts. After that date, candidates who did not file an affidavit of candidacy to be on the 2022 ballot should follow the calendar for candidates not running for office. 2022 January 31 **2021 year-end report of receipts and expenditures due**. Period covered: 1/1/2021 through 12/31/2021. February 1 \$25 per day late filing fee begins for failure to file the year-end report of receipts and expenditures. January 31 Legislative session. Constitutional candidates may not accept or solicit contributions from through May 23 political committees, political funds, lobbyists, or unregistered associations. This prohibition applies to incumbent and non-incumbent candidates, but does not apply to judicial and special election candidates March 31 Last day of transactions included in the first report of receipts and expenditures. April 14 First report of receipts and expenditures due. Period covered: 1/1/2022 through 3/31/2022. April 15 \$25 per day late filing fee begins for failure to file the first report of receipts and expenditures. May 16 Publication of estimates of election year 2022 public subsidy amounts available for constitutional candidates. May 17 Candidate filing period opens to run for office. Constitutional candidates must file a statement of economic interest within 14 days after filing for office. Judicial candidates do not have to file a statement of economic interest until after taking the oath of office. May 31 Last day of transactions included in the second report of receipts and expenditures. Last day for candidates to file to run for office. May 31 June 14 Notification to constitutional candidates of the minimum amount of public subsidy they may expect to receive. June 14 Second report of receipts and expenditures due. Period covered: 1/1/2022 through 5/31/2022. June 15 \$25 per day late filing fee begins for failure to file the second report of receipts and

expenditures.

| July 18 | Last day for constitutional candidates to raise contributions to qualify for public subsidy. |
|-----------------------------|--|
| July 18 | Last day of transactions included in the pre-primary report of receipts and expenditures. |
| July 19 | Last day for constitutional candidates to sign and file a Public Subsidy Agreement. |
| July 19 through August 8 | 24 Hour Reporting of Large Contributions – Pre-primary-election Contributions and loans from any one source totaling MORE than 50% of the election segment limit for constitutional office candidates or more than \$2,000 for appellate court candidates, must be reported to the Board in person by the end of the next business day after receipt or by electronic means within 24 hours after receipt and also on subsequent reports of receipts and expenditures for the year. Failure to file the notice on time will result in a \$50 per day late filing fee. 50% of the election segment limit is \$2,000 for governor/lieutenant governor candidates, \$1,250 for attorney general candidates, and \$1,000 for secretary of state and state auditor candidates. Note: Candidates who are unopposed at the primary election do not need to report large contributions during this period. |
| July 22 | 60 th day after the legislature adjourned. Constituent services by constitutional candidates (incumbents only) after this date are reported as 100% campaign expenditures. |
| July 25 | Last day for constitutional candidates to file an Affidavit of Contributions to qualify for public subsidy. |
| July 25 | Third report of receipts and expenditures due – Pre-primary-election. Period covered: 1/1/2022 through 7/18/2022. |
| July 26 | \$50 per day late filing fee begins for failure to file the third report of receipts and expenditures. |
| August 9 | PRIMARY ELECTION |
| August 23 | Public subsidy payment distributed to eligible constitutional candidates not later than one week after the canvassing board certification of the primary election. |
| September 20 | Last day of transactions included in the fourth report of receipts and expenditures. |
| September 27 | Fourth report of receipts and expenditures due . Period covered: 1/1/2022 through 9/20/2022. Note: Candidates who lost the primary election do not need to file this report. |
| September 28 | \$25 per day late filing fee begins for failure to file the fourth report of receipts and expenditures. |
| October 24 | Last day of transactions included in the fifth report of receipts and expenditures. |

October 25 through

November 7

24 Hour Reporting of Large Contributions – Pre-general-election

Contributions and loans from any one source totaling MORE than 50% of the election segment limit for constitutional office candidates or more than \$2,000 for appellate court candidates, must be reported to the Board in person by the end of the next business day after receipt or by electronic means within 24 hours after receipt and also on subsequent reports of receipts and expenditures for the year. Failure to file the notice on time will result in a \$50 per day late filing fee. 50% of the election segment limit is \$2,000 for governor/lieutenant governor candidates, \$1,250 for attorney general candidates, and \$1,000 for secretary of state and state auditor candidates. **Note:** Candidates who lost the primary election do not need to report large contributions during this period.

October 31

Fifth report of receipts and expenditures due – Pre-general-election. Period covered: 1/1/2022 through 10/24/2022. Note: Candidates who lost the primary election do not need to file this report.

November 1

\$50 per day late filing fee begins for failure to file the fifth report of receipts and

expenditures.

November 8

GENERAL ELECTION

December 31

Public subsidy agreements for constitutional candidates expire.

2023

January 31

2022 year-end report of receipts and expenditures due. Period covered: 1/1/2022 through 12/31/2022.

February 1

\$25 per day late filing fee begins for failure to file the year-end report of receipts and expenditures.